

LEGISLATIVE APPROPRIATIONS REQUEST

Fiscal Years 2012 - 2013
September 1, 2011 - August 31, 2013

Submitted to the Governor's Office of Budget,
Planning and Policy and the Legislative Budget Board



Susan Combs

Texas Comptroller of Public Accounts

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Manager, Budget & Internal Accounting

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Chief Deputy Comptroller (Chief Clerk)

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Susan Combs, Texas Comptroller of Public Accounts

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COMPTROLLER OF PUBLIC ACCOUNTS ADMINISTRATOR'S STATEMENT

Introduction

The Comptroller of Public Accounts serves as the chief financial officer for the state of Texas. The office of the Comptroller is an elected post authorized by Article IV, Section 23 of the Texas Constitution. Most of the duties and powers of the office are enumerated in the Texas Tax Code and in Chapter 403 of the Texas Government Code. Senate Bill 20, as passed by the 74th Legislature, amended Chapter 404 of the Government Code to transfer the duties of the State Treasurer to the Comptroller, effective Sept. 1, 1996. House Bill 3560, as passed by the 80th Legislature, amended various chapters of the Government Code to transfer the duties of statewide procurement, fleet management, historically underutilized businesses and support services from the Texas Building and Procurement Commission to the Comptroller's office, effective Sept. 1, 2007.

As Texas' chief financial officer, the agency is the state's chief tax collector, accountant, revenue estimator, treasurer and purchasing manager, serving virtually every citizen in the state. The agency writes the checks, pays the bills and keeps the books for the multi-billion dollar business of Texas state government.

The Comptroller's primary duty is to collect more than 60 separate taxes, fees and assessments, including local sales taxes on behalf of more than 1,500 cities, counties and other local governments. In fiscal 2009, the agency collected \$37.8 billion in state taxes and fees including \$21 billion in state sales taxes, more than \$3 billion in motor fuels taxes and \$4.2 billion in franchise taxes.

LEGISLATIVE APPROPRIATIONS REQUEST FOR FISCAL 2012-13

As directed by the state's leadership, the Comptroller's office is submitting a baseline funding request of \$447.3 million for the fiscal 2012-13 biennium, a decrease of \$58 million below the 2010-11 biennial budget of \$505.3 million. The decrease is primarily due to the 5 percent biennial reduction mandated for all state agencies and the elimination of one-time interagency contracts related to development of the state's Enterprise Resource Planning Project (now known as ProjectONE). The Comptroller's office will not request a restoration of the 5 percent reduction, which represents a loss of \$23 million in General Revenue funding. Any additional cuts would severely hinder the agency's ability to assess, collect and process the revenue the state needs to maintain operations and essential services.

This funding request will enable the agency to:

- Maintain the current employment cap of 3,151.6 full time equivalents and allow the agency to meet its statutorily mandated responsibilities while continuing to provide excellent customer service.
- Support the agency's goal of improving voluntary compliance by conducting 30,000 audits and refund verifications and permitting 4,300 non-permitted taxpayers over the next biennium. This goal will result in an average of \$28 assessed per dollar cost each year of the biennium.
- Maintain a public presence throughout the state, both for tax collections and taxpayer service purposes. While the secondary role of the agency's Enforcement area is to provide information to the public in each of its 29 field office locations, the

area also uses a variety of collection tools to reduce the delinquent population, deter tax evasion and maximize total tax collections. Enforcement collectors will be able to close an average of 269 delinquent accounts each month and the division will collect over \$2 billion in delinquent taxes over the biennium.

- Disseminate accurate and timely tax information and interpret tax policy in order to promote taxpayer compliance. At this level of funding, Tax Assistance professionals will issue over 79,537 responses to taxpayer inquiries and answer more than 1.3 million telephone calls over the biennium.
- Process approximately 9.6 million tax returns over the biennium and deposit receipts in a little over five hours, earning additional interest for the state.

Exceptional Items Request

The Comptroller's office is responsible for efficiently managing the state's fiscal affairs. To further financial and reporting uniformity, the agency is leading the effort to develop, maintain and support Enterprise Resource Planning (ERP), a statewide effort called for by legislators in House Bill 3106, 80th Legislature, Regular Session (2007). ERP, or ProjectONE—Our New Enterprise, will create a single set of real-time books designed to reduce conflicting data and give decision-makers accurate and timely financial and human resource information. The new system offers general ledger, accounts payable, accounts receivable, budgeting, payroll and other modules to help agencies efficiently manage their operations. In 2009, the 81st Legislature appropriated funds to several state agencies to work with the Comptroller's office to develop and implement projects under ProjectONE. Current participating agencies, which comprise over 40 percent of the state budget, include the Texas Department of Transportation, the Health and Human Services agencies and the Department of Information Resources.

In order to provide critical ongoing maintenance and support to these agencies, the Comptroller's office is requesting \$39.9 million in exceptional items for the fiscal 2012-13 biennium. This exceptional items request will enable the agency to provide ongoing services to support, maintain and enhance the system, including hardware, software, maintenance and disaster recovery services. ProjectONE development was funded by interagency contracts (IACs) with participating agencies. In order to better manage and support the implementation efforts completed in phase one of the project, consolidating the funding in one agency for the maintenance and support phase is consistent with similar statewide initiatives implemented by the Comptroller's office in the past, including the Uniform Statewide Accounting System and the Uniform Statewide Payroll System.

Ten Percent Reduction

A 10 percent reduction in General Revenue funding would have a severe impact on agency operations and performance by reducing the biennial budget by over \$44.3 million. This would be in addition to the 5 percent reduction of \$23 million already included in the Comptroller's baseline budget for fiscal 2012-13, resulting in a total reduction of over \$67.3 million for the biennium. A 10 percent reduction could result in the loss of an estimated \$276 million in projected tax revenue over the 2012-13 biennium.

COMPTROLLER OF PUBLIC ACCOUNTS ADMINISTRATOR'S STATEMENT

To achieve the 10 percent cut, the agency would be forced to reduce staffing through attrition and limit funding for specific operating expenses. As instructed by the Legislative Budget Board, the agency's 10 percent reduction options are categorized into two priority items:

Service Reductions (FTEs-Hiring Freeze) - Salaries comprise over 77 percent of the agency's annual budget. Already faced with the potential loss of the agency's most senior, experienced and productive staff due to anticipated retirements, these reductions would exacerbate an already difficult workforce situation in the tax administration, revenue administration, fiscal management and information technology areas. A 10 percent reduction would force the agency to decrease the workforce through attrition from 3,151.6 to 2,836.6 full time equivalents (FTEs), or 315 FTEs.

Service Reductions (Other) - Other areas targeted for reduction would include travel, contract programming and systems support, printing and other operating expenses.

The impact to specific areas of the agency's budget affected by a 10 percent reduction would include the following:

Tax Administration

A loss of 85 FTEs in Audit would severely impact the agency's ability to conduct tax due assessments. Audit completions would decline by 5,084 audits, resulting in a loss of an estimated \$100 million in projected tax revenue over the 2012-13 biennium. Demand for greater audit coverage increases in difficult economic times as weaker businesses fail and new businesses open. With reduced staffing, audit coverage is projected to drop from approximately 0.60 percent to 0.49 percent in fiscal 2012-13. Reduced audit activity eventually results in decreased levels of voluntary taxpayer compliance, which undermines timely, accurate tax payments. A reduction in voluntary compliance could lower the reporting accuracy on audits from 97 percent to 83 percent in fiscal 2012-13. Decreased voluntary compliance would further reduce state revenue at a time when it's needed to fund critical state services. A reduction in staff would adversely impact the agency's ability to carry out legislative mandates such as those in House Bill 11, as passed by the 80th Legislature, Regular Session (2007), requiring beer, wine and tobacco wholesalers to provide information to the agency on their sales to retailers. In addition, House Bill 3, as passed by the 79th Legislature, 3rd Called Session (2006), required revisions to the state's franchise tax by changing the tax base, lowering the rate and extending coverage to additional business types. Audit coverage of this complex tax would decline.

The loss of 56 FTEs in Enforcement would severely impact delinquent tax collections and result in the loss of over \$176 million in potential tax revenue over the biennium. With sales tax delinquent collections declining and sales tax delinquent collection assignments increasing, the potential reduction in staff and the associated revenue losses would further burden state and local budgets already short of funds. This reduction in staff would result in delinquent cases aging longer, thus increasing the time required to close a delinquent account by 13 days. The amount of time available for collection efforts would be reduced and the number of cases closed per collector would decrease by 30. Approximately 18 fewer taxpayer seminars would be provided. Overall taxpayer service would decrease and result in lower levels of tax compliance and revenue for the state.

A loss of 19 staff in the Tax Policy area would adversely affect the agency's ability to provide accurate, consistent and timely tax information to taxpayers, legislators and other state agencies. The Comptroller's office would be unable to efficiently and effectively implement new tax law changes, amend tax publications and amend administrative rules. The average time taken to respond to taxpayer correspondence would increase over 28 percent in fiscal 2012 and 56 percent in fiscal 2013. The Tax Assistance call center would handle nearly 900 fewer telephone inquiries per day, resulting in taxpayers either waiting significantly longer or not receiving assistance. A 10 percent reduction would also reduce this area's funding for postage. When tax laws change, the agency develops rules and bulletins to help taxpayers understand and comply with those laws. Reduced staffing levels and the inability to communicate effectively and efficiently with taxpayers would eventually result in an increase in taxpayer reporting errors, negative tax filing patterns and a significant decrease in voluntary taxpayer compliance.

Revenue Administration

A loss of 51 FTEs in the Revenue Administration area would impede the timely processing of all tax payments within three days of receipt (as required by statute), resulting in lost interest to the state. There would also be an estimated average 17 percent increase in the time required to generate taxpayer refunds, resulting in an increase in credit interest paid by the state.

The time it takes to return tax allocations to local jurisdictions would increase from 21 days to 28 days in order to reflect the additional one week required to process all sales tax return data and money for each monthly allocation. This would severely affect the cash flow for local jurisdictions. The ability to handle electronic filing, customer service calls and the processing of franchise tax data would also be seriously impacted. Collection actions, including hot check billings, liens, certifications to the Attorney General, bankruptcy claims filed, successor liability audits processed and billed, securities forfeited and warrants held would decrease, which would jeopardize the agency's ability to protect the state's financial interests. The impact of the 10 percent reduction would not be limited to staff. Services from temporary agencies needed during quarterly and annual sales tax peaks, as well as the annual franchise tax peak, would be significantly reduced. Without the assistance of temporary staff, the annual franchise tax processing peak that occurs in May could extend for an additional month.

Staff decreases would negatively impact the Unclaimed Property Program. During fiscal 2009, approximately \$324 million in unclaimed property was voluntarily reported and remitted to Texas. It's estimated that a staffing reduction in the education, outreach and support functions of this area would reduce the amount of unclaimed property reported during the biennium by one or two percent or \$7 million to \$14 million. A reduction in funding for the Unclaimed Property publication would also have a negative impact on the agency's ability to reunite rightful owners with their cash and other property being held by the state.

Treasury

A loss of 6 FTEs in Treasury Operations would result in delays in processing state funds and negatively impact investment income earnings. At today's interest rates, with an average daily deposit of approximately \$478 million, a processing delay of only one day

COMPTROLLER OF PUBLIC ACCOUNTS ADMINISTRATOR'S STATEMENT

would cost the state millions in lost interest over the biennium. Treasury Operations monitors depository relationships with approximately 320 approved state depositories and oversees deposits totaling more than \$500 million. Pledged collateral to secure state deposits against bank failure is monitored and revalued daily to ensure the valuation is accurate and covers amounts deposited. If the agency's ability to monitor these pledged securities is impacted by staff reductions and a depository bank were to fail and its state deposits were under collateralized, the state would experience a significant loss of funds. In addition, the timely and accurate reconciliation of approximately 13,500 bank accounts holding state funds in the amount of \$560 million would be compromised and reconciliations would no longer be performed accurately and timely. Fraud and/or misuse of these funds would result in a loss to the state.

Fiscal Management

The loss of 26 FTEs in the agency's Fiscal Management area would impact the 174 state agencies and institutions of higher education the agency serves, as well as the payees they do business with. Staff reductions would potentially compromise the accuracy and timing of the issuance of the state's *Comprehensive Annual Financial Report* and the *Annual Cash Report*. Failure to issue timely financial reports could adversely affect the state's bond rating and increase the cost of borrowing.

In addition, the number of agency appropriations analyzed; turnaround time for bill costing; state agencies' compliance with the General Appropriations Act and other laws and rules; number of post-payment audits completed; training and support for agencies and institutions using the statewide financial systems; response times for inquiries on accounting and payment processing; system maintenance; support for vendors/payees receiving payments from the state; and timely communication with customers would suffer.

Texas Procurement and Support Services (TPASS)

The loss of staff in the TPASS area would have a negative impact on the agency's implementation of statutorily required contracts for commodities above \$25,000 and services above \$100,000. Currently, there are over 850 statewide contract solutions available to state agencies and approximately 1,900 cooperative members in cities, counties and local areas of government. With inadequate staffing to manage and source contract opportunities, "price creep" or overall loss of statewide contracts could result.

As the chief purchaser for the state, the Comptroller's office has implemented a highly successful strategic sourcing initiative that has already created millions of dollars in savings while streamlining purchasing processes statewide. Since Nov. 2008, the agency has already achieved an annual savings of \$51 million for the state. Staff reductions would impact the agency's ability to review other areas for additional savings opportunities.

General Counsel

A loss of 11 FTEs in the Administrative Hearings area would create significant delays in the tax hearings process and impede the timely receipt and deposit of state tax revenue identified through audits or other assessments. Since the hearings process is an integral

part of the overall tax administration function, any delays or backlogs in this area would have a ripple effect across the agency.

Property Tax

A loss of 11 Property Tax Assistance staff would impede the agency's ability to comply with Section 403.302 of the Government Code, which requires the agency to conduct the school district Property Value Study (PVS) to determine the level of property tax wealth in each school district for state funding purposes. This study, an independent estimate mandated by the Legislature, is designed to ensure equitable school funding. A reduction in staff would result in a decrease in the number of properties included in the PVS from 85,000 to 60,000. The smaller the number of samples included in the PVS the less accurate the findings, resulting in greater variability and an increase in the margin of error for the PVS from 5 percent to 20 percent. The larger the margin of error, the more likely a school district will receive a local value assignment, thus increasing the amount of state funding to schools. With billions of dollars of school aid depending on the study, any reduction in the number of samples collected could have a negative impact on the amount and equitability of state funding distributed to schools. In addition, the average direct cost per property included in the PVS would increase from \$50 per parcel to \$80 due to increased travel costs resulting from field appraisers traveling greater distances to compensate for fewer staff. Also, a 10 percent reduction would negatively impact the Methods and Assistance Program review process enacted by the 81st Legislature. Funding for consultants would no longer be available to assist the agency in reviewing one-half of the county appraisal districts each year to ensure appraisal uniformity and accountability.

Fiscal Studies

A loss of 10 FTEs in the Fiscal Studies area would limit the agency's ability to carry out constitutional responsibilities through publications, reports, seminars, online access and customer assistance. A reduction of staff would also limit the agency's ability to provide analysis to the Legislature to assist in planning and policy decisions and increase the effectiveness of publicly funded programs.

Innovation and Technology (IT)

Funding for the agency's IT area represents 22 percent of the agency's budget. Approximately \$10.8 million of the \$44.3 million budget reduction would result in substantial cuts in the agency's indirect administrative areas, with the majority coming from IT. Any budget reductions in staffing or contractors would have a significant impact on system operations and the implementation of new technology. The loss of programmers, testers, database administrators and designers would force the delay or cancellation of major projects. Current and planned projects requiring significant software development resources include the modernization of the tax, treasury, unclaimed property and procurement systems as well as ProjectONE. A loss of staff in this area would have a significant impact on the agency's ability to meet statutory changes and deadlines established by the Legislature. In addition, key systems analyst and systems administration areas would be thinly staffed, delaying maintenance and greatly increasing the probability of critical system failure.

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FISCAL PROGRAMS (AGENCY 902)

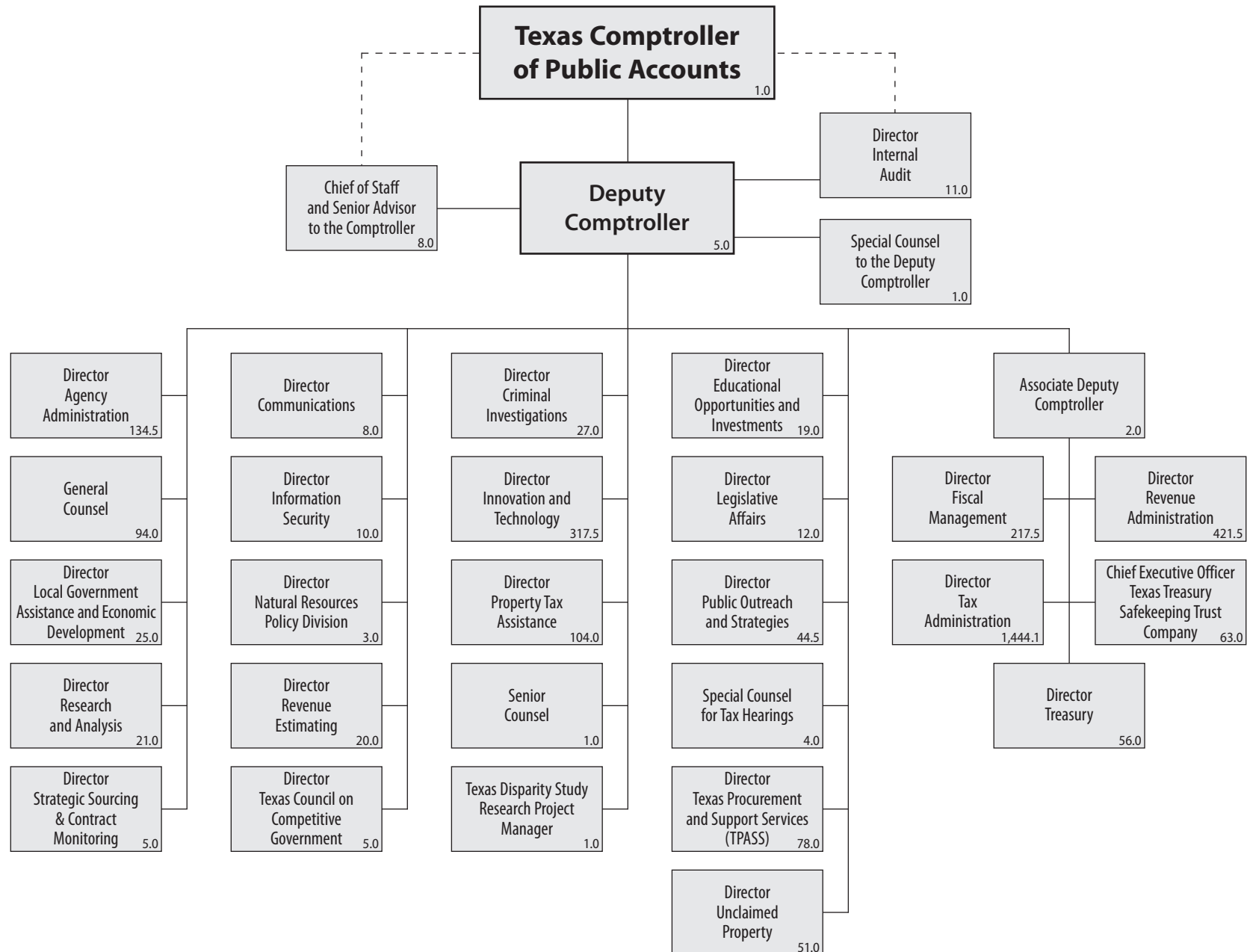
Funds are appropriated to the Comptroller's Fiscal Programs for the payment of a variety of statewide obligations, including payments to counties for voter registration costs, payment of claims against state agencies and oversight of oil overcharge settlement funds. During the 2010-11 biennium, the State Energy Conservation Office was awarded over \$290 million in stimulus funds from the U.S. Department of Energy to support energy efficiency and renewable energy initiatives. Funding is not being requested for the 2012-13 biennium to replace the stimulus appropriation.

The fiscal 2012-13 base request is \$767 million, which, as requested, represents a 5 percent reduction over 2010-11 levels. Realistically, the majority of these payments are obligations that must be paid. The exceptional items for this area would restore the funding necessary to pay all claims and obligations as well as provide for projected increases in various areas, including mixed beverage reimbursements and unclaimed property claims.

Ten Percent Reduction

A 10 percent reduction in funding would reduce the states' ability to pay its obligations by an estimated \$76.4 million in fiscal 2012-13. Collectively, the Unclaimed Property and Mixed Beverage Tax programs make up the majority of the funds appropriated, rendering other strategies immaterial by comparison. For this reason, the 10 percent reduction in General Revenue would be made in these areas. A 10 percent cut would reduce the state's ability to pay an estimated \$43.2 million in unclaimed property claims and an estimated \$33.2 million in county mixed beverage reimbursements over the biennium.

Agency Organizational Chart



COMPTROLLER OF PUBLIC ACCOUNTS OVERVIEW OF AGENCY FUNCTIONS

The **Tax Administration** area includes the Audit, Tax Policy and Enforcement functions. Audit reviews taxpayer records to determine compliance with state tax laws and educates taxpayers about tax requirements. Its goal is to enhance taxpayer compliance and maximize tax collections. In conjunction with Audit, Enforcement manages and tracks delinquent taxpayer accounts for collection. This program enforces state tax laws and promotes voluntary compliance by contacting delinquent taxpayers for payment. Case workers in two call centers located in Austin and San Antonio initiate the collection process. Tax Policy interprets tax policy and provides timely tax information to taxpayers, tax professionals, state officials, Texas citizens and agency personnel. Specialists in Tax Policy implement changes to the tax laws, develop rules and bulletins to help taxpayers understand and comply with those laws, assist taxpayers and make tax information available in a variety of ways to promote voluntary compliance. Tax specialists also provide taxability and account assistance to the public through dedicated toll-free telephone lines.

The **Fiscal Management** area is responsible for the accounting functions of the state. This area audits and processes vouchers, monitors the financial status of state agencies and analyzes each General Appropriations Act to determine if the funds appropriated are within the amount of revenue certified to be available. Fiscal Management also administers six statewide financial systems, including the Uniform Statewide Accounting System, the Uniform Statewide Payroll System, the Human Resources Information System, the Standardized Payroll/Personnel Reporting System, the Texas Identification Number System and the State Property Accounting System. These statewide systems monitor and account for the state's revenues, expenditures and cash flow, generate payments and provide data used to forecast future revenues for the state budgetary process. To further financial and reporting uniformity, the Comptroller's office is implementing Project ONE – Our New Enterprise, which will create a single set of real-time books for the general ledger, accounts payable, accounts receivable, budgeting, inventory, asset management, payroll, projects, grants, human resources and procurement activities of state agencies and institutions of higher education. The area was also charged with tracking and reporting on more than \$14.4 billion in stimulus funding received by the state of Texas.

The **Revenue Administration** area, comprised of Revenue Processing, Account Maintenance, Unclaimed Property and Revenue Accounting, collects and processes state revenue and distributes local sales tax collections to cities and counties. This area is also responsible for maintaining taxpayer accounts, processing tax payment exceptions and adjustments. Approximately 4.5 million payments, worth around \$43 billion, and 4.5 million tax return documents currently flow through the Revenue Administration area.

The **Treasury** area, which includes Treasury Accounting, Cash and Securities Management and Banking and Electronic Processing, oversees the cash and securities management functions of the state. Responsibilities include forecasting, reconciling and depositing the state's revenues. Thousands of people, systems and institutions take part in Texas state banking. Texas issues 29 million payments a year — 16 million direct deposits and 13 million warrants. Billions of dollars move through hundreds of automated and manual processes. Transactions number in the millions, circling in and out of 320 banks and 1,200 bank accounts. In addition, the Treasury, working with the Revenue Estimating and Fiscal Management areas, coordinates the sale of the state's short-term securities, known as Tax and Revenue Anticipation Notes (TRAN). Texas' TRANs have

received the highest possible rating from each rating agency. For fiscal 2009, the Treasury issued \$6.4 billion in TRAN notes.

The **Texas Procurement and Support Services (TPASS)** area awards and manages hundreds of statewide contracts on behalf of more than 200 state agencies and 1,900 cooperative purchasing members. With a massive marketplace and billions of dollars in purchasing power, Texas offers abundant opportunities for vendors of a wide variety of goods and services, including minority- and women-owned businesses. TPASS performs an assortment of purchasing operations and customer service that is core to state of Texas purchasing — ranging from administering the Centralized Master Bidders List to processing hundreds of bid invitations, tabulations and awards for all statewide term, Texas Multiple Award Schedules, or TXMAS, and open market contracts.

In cooperation with TPASS, **Strategic Sourcing and Contract Monitoring** implements initiatives to maximize the state's buying power and implement best value procurements. As part of this effort, an online shopping cart system, Texas Smart Buy, was developed for state agencies and eligible local government entities to use when purchasing goods and services from state contracts. The online shopping cart system has saved time and created efficiencies for buyers and sellers via the elimination of paper mailings of purchase orders and other manual processing steps.

The **Revenue Estimating** area monitors and reports on the condition of the Texas economy, projects the state's cash flow position and produces fiscal analyses of legislation, administrative rules and other proposals affecting state revenue. Revenue Estimating submits the *Biennial Revenue Estimate* to the Legislature in January of every odd-numbered year. Following each regular legislative session, Revenue Estimating reviews the appropriations made, as well as all other legislation affecting revenues and expenditures, to produce a second revenue estimate, known as the *Certification Revenue Estimate*.

Property Tax Assistance prepares the annual Property Value Study, which estimates the taxable value of all property in the state's school districts to determine funding allocations. Field appraisers inspect properties, verify the condition and description of property that sells, obtain warranty deed information from county clerks and collect sales data from multiple listing services, real estate brokers and fee appraisers. Staff also conduct ratio studies at least once every two years in each county appraisal district and review the governance, taxpayer assistance provided and the operating and appraisal standards, procedures and methodology used by each appraisal district every two years.

The **Local Government Assistance and Economic Development** area is responsible for providing assistance to local governments through education on tax issues and programs that facilitate economic development efforts at the local and state level. The area includes the State Energy Conservation Office (SECO), which administers and delivers a variety of energy efficiency and renewable energy programs that significantly impact energy cost and consumption in the institutional, industrial, transportation and residential sectors. SECO also manages over \$290 million in funding from the American Recovery and Reinvestment Act. Beneficiaries of these services include state agencies, public schools, city and county governments, institutions of higher education, private industries, electric and gas utilities and residential energy consumers.

COMPTROLLER OF PUBLIC ACCOUNTS OVERVIEW OF AGENCY FUNCTIONS

Research and Analysis provides local, regional and statewide analysis of trends in employment industries, population and economic activity. These trends are considered when preparing replies to legislative requests for fiscal information and bill analysis. The area also analyzes state government expenditures and various policy issues that may have an impact on the fiscal condition of the state and works closely with other areas within the agency to produce publications, presentations and reports provided to the Legislature to assist in making policy decisions.

Public Outreach and Strategies provides clear and accurate information to state officials, educators, students, business leaders and the general public. The area maintains the *Window on State Government* website; provides data to taxpayers; and maintains one of the most comprehensive research libraries in state government.

Unclaimed Property administers the Texas Unclaimed Property Program. Businesses, financial institutions and government entities remit property that is presumed abandoned to the Comptroller's office. One in four Texans has unclaimed property from forgotten bank accounts, uncashed checks, security deposits and utility refunds. Various outreach efforts are conducted to return cash and other property to the rightful owners.

The **General Counsel** represents the agency in administrative hearings on tax matters and provides legal counsel and research to the agency. During the hearings process, fair, accurate and consistent decisions provide taxpayers with the information they need to make responsible decisions about their tax obligations. Cases may include denials and proposed suspensions and revocations of motor-fuels permits and custom brokers' licenses, as well as taxpayers' claims for refunds and requests for redetermination of audit assessments. The General Counsel's Open Records area serves as the agency's public information coordinator and the primary authority for public information and privacy issues.

The **Criminal Investigations** area investigates criminal violations involving state tax money. Criminal Investigations' licensed peace officers use the criminal provisions in the Texas Tax Code as well as the Texas Penal Code and other statutes. In conjunction with Audit, Criminal Investigations detects, investigates and seeks prosecution of tax-related fraud. The numerous state taxes and funds administered by the Comptroller's office present Criminal Investigations with a wide area of jurisdiction. The most common criminal cases include evasion of motor vehicle taxes by falsification of motor vehicle title applications and/or failure to transfer titles on motor vehicle sales; cigarette and tobacco tax violations; motor fuels tax violations; and general sales and use tax violations.

Natural Resources Policy monitors and analyzes the potential impact of state and federal energy and natural resources initiatives that could affect state revenues and the Texas economy. The area advises a multi-agency advisory committee tasked with evaluating ways to reduce "greenhouse" gas emissions.

Innovation and Technology administers the agency's computer infrastructure, including mainframe resources, network systems, operating systems, software applications and databases. The area provides new and improved technologies that reliably allow its customers access to more online services and information at a lower cost. The area also develops and maintains major agency and statewide projects. In conjunction with Fiscal Management, Innovation and Technology is implementing ProjectONE to provide a financial, human resources and payroll enterprise solution for the state of Texas.

ProjectONE will create an opportunity for agencies and institutions of higher education to synchronize the flow of critical information and reduce costs.

Legislative Affairs provides a variety of information services to Texas taxpayers, members of the Legislature, local government officials and business and civic leaders. The group serves as the principal point of contact for legislators and legislative staff.

Internal Audit, by independently and objectively evaluating agency programs and recommending improvements, plays a key role in ensuring the reliability, effectiveness, integrity and efficiency of financial and operational information, safeguarding assets and complying with laws, regulations and contracts. Various types of audits are conducted, including financial, information system, economy and efficiency, compliance and effectiveness.

Information Security conducts risk management analyses to ensure the agency's mission-critical functions, strategic plans and supporting technologies are protected against disruption, abuse, breaches of confidentiality and loss of integrity.

Communications is the agency's direct link with the news media. Services include news releases, public service announcements, graphics and reports. The area also organizes media tours, news events and press conferences and seeks to broaden public access and awareness of information from the Comptroller's office.

Agency Administration supports the day-to-day operations and needs of the agency. Administrative services provided include agency budgeting, internal accounting, business planning, training, copying, human resources, purchasing, facilities management, document processing, records management and other support services.

Special Programs

In addition to tax collection and fiscal management responsibilities, a number of special programs are managed by the Comptroller's office:

Educational Opportunities and Investments manages the state's Texas Guaranteed Tuition Plan; the LoneStar 529 College Savings Plan; the Texas College Savings Plan; the Match the Promise Foundation; and the Jobs and Education for Texas program.

The **Texas Council on Competitive Government (CCG)** was created by the state leadership to examine competitive alternatives to existing government processes. CCG is empowered to initiate competitive reviews, study opportunities through feasibility reviews and determine the need to apply accelerated procurement processes and establish contracts to transform the delivery of government services.

The **Texas Treasury Safekeeping Trust Company (TTSTC)** is a special-purpose trust company that manages, safeguards and invests public funds and securities belonging to the state of Texas, its agencies and local political subdivisions of the state. TTSTC's status as a special-purpose trust company provides the Comptroller's office direct access to services provided by the Federal Reserve System and enables the Comptroller to achieve the highest return at the lowest cost to the state.

COMPTROLLER OF PUBLIC ACCOUNTS (AGENCY 304)

SUMMARY OF BASE REQUEST BY STRATEGY

Agency Code: 304 Agency Name: Comptroller of Public Accounts						
CODE	GOAL / OBJECTIVE / STRATEGY	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
01	To improve voluntary compliance with tax laws					
01	Increase accuracy/number of audits and improve assessments from audits					
01	Maintain an ongoing program of audit and verification activities	\$76,232,361	\$77,815,517	\$76,968,657	\$76,701,039	\$76,701,040
02	Achieve average account closure rates, ratios and turnaround times					
01	Improve compliance with tax laws through contact and collection program	31,794,772	34,936,758	34,774,765	34,662,622	34,662,622
03	Improve taxpayer ratings of accuracy and speed of information disseminated					
01	Provide information to taxpayers, government officials and the public	17,649,560	17,745,145	17,394,888	17,281,189	17,281,189
04	Provide fair and timely hearings and position letters					
01	Provide tax hearings; represent the agency; provide legal counsel	8,750,075	8,909,327	8,158,514	8,081,974	8,081,974
TOTAL, GOAL 01		\$134,426,768	\$139,406,747	\$137,296,824	\$136,726,824	\$136,726,825
=====						
02	To efficiently manage the state's fiscal affairs					
01	Maintain state's accounting system; certify general appropriations act					
01	Project receipts/disbursements; complete accounting and reporting	\$21,777,318	\$48,478,367	\$44,285,186	\$23,582,456	\$23,582,456
02	Ensure the effectiveness of the property value study					
01	Conduct property value study; provide assistance; review methods	10,165,753	9,853,143	9,732,862	9,667,779	9,667,779
03	Identify/develop research to promote understanding of fiscal issues					
01	Provide information and analysis to the public and private sectors	7,325,388	9,317,871	7,674,646	7,399,175	7,399,175
04	Maximize state revenue					
01	Ensure that the state's assets, cash receipts and warrants are secured	4,711,718	4,661,675	4,583,657	4,532,107	4,532,107
05	Manage a procurement system; maximize competition; provide support services					
01	Provide statewide procurement and support services	6,729,315	6,281,202	5,113,021	5,113,021	5,113,021

SUMMARY OF BASE REQUEST BY STRATEGY

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
02	To efficiently manage the state's fiscal affairs					
06	Establish new state services; achieve savings and measurable value					
01	Identify state programs, services and processes for competitive bidding	533,708	523,054	516,836	512,984	512,984
TOTAL, GOAL 02		\$51,243,200	\$79,115,312	\$71,906,208	\$50,807,522	\$50,807,522
=====						
03	To expeditiously manage the receipt and disbursement of state tax revenue					
01	Generate taxpayer refunds; return tax allocations; maintain turnaround					
01	Improve tax/voucher data processing, tax collection and disbursements	\$38,566,920	\$39,242,347	\$38,374,640	\$36,139,842	\$36,139,842
TOTAL, GOAL 03		\$38,566,920	\$39,242,347	\$38,374,640	\$36,139,842	\$36,139,842
TOTAL, AGENCY STRATEGY REQUEST		\$224,236,888	\$257,764,406	\$247,577,672	\$223,674,188	\$223,674,189
=====						
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST *		\$0	\$0	\$0	\$0	\$0
=====						
GRAND TOTAL, AGENCY REQUEST		\$224,236,888	\$257,764,406	\$247,577,672	\$223,674,188	\$223,674,189
=====						
METHOD OF FINANCING:						
General Revenue Fund:						
0001	General Revenue Fund	\$222,441,417	\$229,107,704	\$224,357,653	\$221,665,448	\$221,665,449
SUBTOTAL, General Revenue Fund		\$222,441,417	\$229,107,704	\$224,357,653	\$221,665,448	\$221,665,449
=====						
Federal Funds:						
0369	Federal American Recovery and Reinvestment Fund	\$122,980	\$3,743,017	\$566,622	\$0	\$0
0555	Federal Funds	10,000	0	0	0	0
SUBTOTAL, Federal Funds		\$132,980	\$3,743,017	\$566,622	\$0	\$0
=====						

SUMMARY OF BASE REQUEST BY STRATEGY

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
Other Funds:						
0666	Appropriated Receipts	\$717,471	\$2,138,386	\$1,403,935	\$1,403,935	\$1,403,935
0777	Interagency Contracts	945,020	22,775,299	21,249,462	604,805	604,805
SUBTOTAL, Other Funds		\$1,662,491	\$24,913,685	\$22,653,397	\$2,008,740	\$2,008,740
TOTAL, METHOD OF FINANCING		\$224,236,888	\$257,764,406	\$247,577,672	\$223,674,188	\$223,674,189

* Rider appropriations for the historical years are included in the strategy amounts.

PREPARED BY: Robert Chapa

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

Agency Code: 304		Agency Name: Comptroller of Public Accounts				
CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
GENERAL REVENUE:						
0001	General Revenue Fund					
	REGULAR APPROPRIATIONS					
	Regular Appropriations	\$207,488,918	\$219,718,769	\$219,718,769	\$221,665,448	\$221,665,449
	RIDER APPROPRIATION					
	Rider # 9, Appropriation for Statutory Obligations (2008-09 GAA)	243,233	0	0	0	0
	Rider # 9, Appropriation for Statutory Obligations (2010-11 GAA)	0	2,103,689	1,500,000	0	0
	Rider # 16, Increase Tax Compliance and State Revenue (2010-11 GAA)	0	10,298,000	10,062,000	0	0
	Article IX, Section 17.81(c), Contingency Appropriation for HB 1796 (2010-11 GAA)	0	291,974	291,974	0	0
	TRANSFERS					
	Article IX, Section 19.62(a), Salary Increase (2008-09 GAA)	2,897,903	0	0	0	0
	Article IX, Section 19.62(a), Salary Increase (2008-09 GAA)	3,054,698	0	0	0	0
	HB 4586, Section 89, Retention Payments	2,088,600	0	0	0	0
	HB 3560, Procurement (80th Legislature, Regular Session, 2007)	4,039,623	0	0	0	0
	LAPSED APPROPRIATIONS					
	Five Percent Reduction (2010-11 Biennium)	0	(4,903,825)	(7,980,789)	0	0
	UNEXPENDED BALANCES AUTHORITY					
	Rider # 11, UB Carried Forward Between Fiscal Years (2008-09 GAA)	4,993,238	0	0	0	0
	Rider # 11, UB Carried Forward Between Fiscal Years (2010-11 GAA)	0	(765,699)	765,699	0	0
	Rider # 8, UB Carried Forward Between Biennia (2010-11 GAA)	(2,364,796)	2,364,796	0	0	0
TOTAL, General Revenue Fund		\$222,441,417	\$229,107,704	\$224,357,653	\$221,665,448	\$221,665,449
=====						

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
FEDERAL FUNDS:					
0369 Federal American Recovery and Reinvestment Fund					
RIDER APPROPRIATIONS					
Article IX, Section 8.02, Federal Funds/Block Grants (2008-09 GAA)	\$122,980	\$0	\$0	\$0	\$0
Article IX, Section 8.02, Federal Funds/Block Grants (2010-11 GAA)	0	3,743,017	566,622	0	0
0555 Federal Funds					
RIDER APPROPRIATION					
Article IX, Section 8.02, Federal Funds/Block Grants (2008-09 GAA)	10,000	0	0	0	0
TOTAL, Federal Funds	\$132,980	\$3,743,017	\$566,622	\$0	\$0
=====					
OTHER FUNDS:					
0666 Appropriated Receipts					
REGULAR APPROPRIATIONS					
Regular Appropriations	\$220,830	\$1,403,935	\$1,403,935	\$1,403,935	\$1,403,935
RIDER APPROPRIATIONS					
Article IX, Section 8.01, Acceptance of Gifts of Money (2010-11 GAA)	0	32,500	0	0	0
Article IX, Section 8.03, Reimbursements and Payments (2010-11 GAA)	0	1,828	0	0	0
TRANSFER					
HB 3560, Procurement (80th Legislature, Regular Session, 2007)	1,183,105	0	0	0	0
Estimated Procurement Revenue Receipts Increase	52,087	0	0	0	0
UNEXPENDED BALANCES AUTHORITY					
Rider # 11, UB Carried Forward Between Fiscal Years (2008-09 GAA)	111,619	0	0	0	0
Rider # 8, UB Carried Forward Between Biennia (2010-11 GAA)	(700,123)	700,123	0	0	0

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

Agency Code: 304		Agency Name: Comptroller of Public Accounts				
CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OTHER FUNDS:						
0666 Appropriated Receipts						
BASE ADJUSTMENT						
	Regular Appropriations	(150,047)	0	0	0	0
TOTAL, Appropriated Receipts		\$717,471	\$2,138,386	\$1,403,935	\$1,403,935	\$1,403,935
=====						
0777 Interagency Contracts						
REGULAR APPROPRIATIONS		\$175,000	\$604,805	\$604,805	\$604,805	\$604,805
RIDER APPROPRIATIONS						
	Article IX, Section 8.03, Reimbursements and Payments (2008-09 GAA)	106,956	0	0	0	0
	Article IX, Section 8.03, Reimbursements and Payments (2010-11 GAA)	0	3,556,866	2,210,560	0	0
	Article IX, Section 17.03, Enterprise Resource Planning (2010-11 GAA)	0	18,739,455	18,200,008	0	0
TRANSFER						
	HB 3560, Procurement (80th Legislature, Regular Session, 2007)	797,543	0	0	0	0
	Estimated Procurement Revenue Receipts Increase	10,920	0	0	0	0
UNEXPENDED BALANCES AUTHORITY						
	Rider # 11, UB Carried Forward Between Fiscal Years (2008-09 GAA)	22,802	0	0	0	0
	Rider # 11, UB Carried Forward Between Fiscal Years (2010-11 GAA)	0	(234,089)	234,089	0	0
	Rider # 8, UB Carried Forward Between Biennia (2010-11 GAA)	(108,262)	108,262	0	0	0
BASE ADJUSTMENT						
	Regular Appropriations	(59,939)	0	0	0	0
TOTAL, Interagency Contracts		\$945,020	\$22,775,299	\$21,249,462	\$604,805	\$604,805
=====						
TOTAL, ALL OTHER FUNDS		\$1,662,491	\$24,913,685	\$22,653,397	\$2,008,740	\$2,008,740
=====						
GRAND TOTAL		\$224,236,888	\$257,764,406	\$247,577,672	\$223,674,188	\$223,674,189
=====						

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
FULL TIME EQUIVALENTS					
REGULAR APPROPRIATIONS					
Regular Appropriations	2,896.8	2,996.6	2,996.6	3,151.6	3,151.6
RIDER APPROPRIATION					
Rider # 16, Increase Tax Compliance and State Revenue (2010-11 GAA)	0.0	150.0	150.0	0.0	0.0
Article IX, Section 17.81(c), Contingency Appropriation for HB 1796 (2010-11 GAA)	0.0	5.0	5.0	0.0	0.0
Article IX, Section 6.10(g), 100 Percent Federally Funded FTEs (2008-09 GAA)	1.3	0.0	0.0	0.0	0.0
Article IX, Section 6.10(g), 100 Percent Federally Funded FTEs (2010-11 GAA)	0.0	5.0	6.0	0.0	0.0
TRANSFERS					
SB 242, SOAH (80th Legislature, Regular Session, 2007)	(7.0)	0.0	0.0	0.0	0.0
HB 3560, Procurement (80th Legislature, Regular Session, 2007)	106.8	0.0	0.0	0.0	0.0
AVERAGE NUMBER BELOW CAP					
Vacancies	(233.8)	(199.5)	(6.0)	0.0	0.0
TOTAL ADJUSTED FTES	2,764.1	2,957.1	3,151.6	3,151.6	3,151.6
NUMBER OF 100% FEDERALLY FUNDED FTES	1.3	5.0	6.0	0.0	0.0

PREPARED BY: Robert Chapa

SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	DESCRIPTION	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
1001	Salaries and Wages	\$159,321,294	\$166,702,097	\$168,591,026	\$167,993,027	\$167,993,027
1002	Other Personnel Costs	5,648,737	5,565,898	4,527,348	4,527,348	4,527,348
2001	Professional Fees and Services	13,343,037	23,905,849	24,450,204	8,652,073	8,652,073
2002	Fuels and Lubricants	19,344	27,350	24,350	24,350	24,350
2003	Consumable Supplies	1,303,843	1,404,224	1,453,671	1,314,882	1,314,882
2004	Utilities	3,958,985	3,361,589	2,875,540	2,856,567	2,856,567
2005	Travel	4,939,829	5,897,475	5,638,969	5,495,347	5,495,347
2006	Rent – Building	2,736,964	3,314,862	3,390,130	3,358,453	3,358,453
2007	Rent – Machine and Other	8,117,736	9,501,043	9,307,428	9,240,535	9,240,535
2009	Other Operating Expense	24,130,156	29,513,658	26,619,006	20,211,606	20,211,607
5000	Capital Expenditures	716,963	8,570,361	700,000	0	0
AGENCY TOTAL		\$224,236,888	\$257,764,406	\$247,577,672	\$223,674,188	\$223,674,189

PREPARED BY: Robert Chapa

SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / OUTCOME	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
01	To improve voluntary compliance with tax laws					
01	Increase accuracy/number of audits and improve assessments from audits					
01	% Accuracy Rate of Reported Amounts on Original Audits (K)	95.5%	97.0%	97.0%	97.0%	97.0%
02	Number of Non-permitted Businesses Permitted	925.0	1,000.0	1,000.0	2,300.0	2,000.0
02	Achieve average account closure rates, ratios and turnaround times					
01	Average Turnaround Time For Closing Delinquent and Other Accounts (Days)	77.0	106.0	116.0	116.0	116.0
02	Average Monthly Delinquent/Other Account Closure Rate Enforcement Collector (K)	324.0	271.0	271.0	269.0	269.0
03	% of Positive Surveys Received From Attendees at Taxpayer Seminars	99.3%	99.0%	95.0%	95.0%	95.0%
03	Improve taxpayer ratings of accuracy and speed of information disseminated					
01	% of Favorable Responses to Taxpayer Surveys About Disseminated Information	86.9%	89.0%	90.0%	92.0%	92.0%
04	Provide fair and timely hearings and position letters					
01	% of All Cases in Which Position Letters are Issued Within 90 Days	85.5%	85.0%	85.0%	85.0%	85.0%
02	To efficiently manage the state's fiscal affairs					
01	Maintain state's accounting system; certify general appropriations act					
01	% of Targeted State Agencies with Improved Performance	88.9%	80.0%	80.0%	80.0%	80.0%
02	% of Expenditures Supported by Revenue Estimates Prior to Certification	100.0%	100.0%	100.0%	100.0%	100.0%
03	Average % Variance (+/-) Between Estimated and Actual Revenue Collections	-2.96%	0.0%	2.81%	0.0%	2.67%
04	% of All Payments Issued via Direct Deposit (Excluding WES Payments)	74.1%	75.0%	75.0%	75.0%	76.0%
05	% of Fiscal Management Customers Who Return Good or Excellent on Surveys	96.9%	98.0%	98.0%	98.0%	98.0%
02	Ensure the effectiveness of the property value study					
01	% of Scheduled ISDs' Total Value in Which PTAD Met the Margin of Error (K)	97.0%	98.0%	95.0%	95.0%	95.0%
03	Identify/develop research to promote understanding of fiscal issues					
01	% Increase in the Number of Online Subscribers to Publications	5.7%	15.0%	15.0%	15.0%	15.0%

SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / OUTCOME	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
04	Maximize state revenue					
01	% of Funds Processed Electronically (K)	97.7%	98.0%	98.0%	98.0%	98.0%
05	Manage a procurement system; maximize competition; provide support services					
01	% Increase in Dollar Value of Purchases Made Through the CO-OP Program	-15.8%	-15.0%	5.0%	5.0%	5.0%
02	# of New HUBs Certified	1,558.0	1,800.0	1,200.0	1,200.0	1,200.0
03	% of Statewide Term Contracts Utilized Through TxSmartBuy	100.0%	100.0%	100.0%	100.0%	100.0%
04	Presort and Barcode Savings Achieved	\$406,468.0	\$400,000.0	\$322,037.0	\$322,037.0	\$322,037.0
06	Establish new state services; achieve savings and measurable value					
01	Annual Savings and Measurable Value Resulting from CCG Initiatives (Millions)	\$20.8	\$22.7	\$21.8	\$19.7	\$20.7
03	To expeditiously manage the receipt and disbursement of state tax revenue					
01	Generate taxpayer refunds; return tax allocations; maintain turnaround					
01	Time Required to Generate Taxpayer Refunds (Days)	8.2	7.0	10.0	10.0	10.0
02	Time Taken to Return Tax Allocations to Local Jurisdictions (Days) (K)	20.8	21.0	21.0	21.0	21.0
03	Average Tax Data Entry Turnaround Time (Hours)	3.6	2.6	3.5	3.5	3.5

PREPARED BY: Robert Chapa

SUMMARY OF EXCEPTIONAL ITEMS REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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Priority	Item	2012			2013			Biennium	
		GR / GR Dedicated	All Funds	FTEs	GR / GR Dedicated	All Funds	FTEs	GR / GR Dedicated	All Funds
1	ProjectONE Ongoing Maintenance and Support	\$22,064,867	\$22,064,867	0.0	\$17,798,826	\$17,798,826	0.0	\$39,863,693	\$39,863,693
TOTAL, EXCEPTIONAL ITEMS REQUEST		\$22,064,867	\$22,064,867	0.0	\$17,798,826	\$17,798,826	0.0	\$39,863,693	\$39,863,693
=====									

METHOD OF FINANCING:

0001 General Revenue Fund	\$22,064,867	\$22,064,867		\$17,798,826	\$17,798,826		\$39,863,693	\$39,863,693
TOTAL, METHOD OF FINANCING	\$22,064,867	\$22,064,867		\$17,798,826	\$17,798,826		\$39,863,693	\$39,863,693
=====								

FULL TIME EQUIVALENT POSITIONS: 0.0 0.0

NUMBER OF 100% FEDERALLY FUNDED FTES 0.0 0.0

PREPARED BY: Robert Chapa

SUMMARY OF TOTAL REQUEST BY STRATEGY

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	BL 2012	BL 2013	EXCP 2012	EXCP 2013	TTL 2012	TTL 2013
01	To improve voluntary compliance with tax laws						
01	Increase accuracy/number of audits and improve assessments						
01	Maintain an ongoing program of audit activities	\$76,701,039	\$76,701,040	\$0	\$0	\$76,701,039	\$76,701,040
02	Achieve avg. account closure rates, ratios and turnaround times						
01	Improve compliance with tax laws	34,662,622	34,662,622	0	0	34,662,622	34,662,622
03	Improve taxpayer ratings of accuracy/speed of information						
01	Provide information to taxpayers/officials/public	17,281,189	17,281,189	0	0	17,281,189	17,281,189
04	Provide fair and timely hearings and position letters						
01	Provide tax hearings/represent the agency	8,081,974	8,081,974	0	0	8,081,974	8,081,974
TOTAL, GOAL 01		\$136,726,824	\$136,726,825	\$0	\$0	\$136,726,824	\$136,726,825
=====							
02	To efficiently manage the state's fiscal affairs						
01	Maintain state's accounting system; certify general appropriations act						
01	Project receipts/disbursements; complete accounting	\$23,582,456	\$23,582,456	\$22,064,867	\$17,798,826	\$45,647,323	\$41,381,282
02	Ensure the effectiveness of the property value study						
01	Conduct property value study; provide assistance	9,667,779	9,667,779	0	0	9,667,779	9,667,779
03	Identify/develop research to promote understanding of fiscal issues						
01	Provide information and analysis	7,399,175	7,399,175	0	0	7,399,175	7,399,175
04	Maximize state revenue						
01	Ensure the state's assets/receipts/warrants are secured	4,532,107	4,532,107	0	0	4,532,107	4,532,107
05	Manage a procurement system; maximize competition; provide services						
01	Provide statewide procurement and support services	5,113,021	5,113,021	0	0	5,113,021	5,113,021

SUMMARY OF TOTAL REQUEST BY STRATEGY

Agency Code: 304		Agency Name: Comptroller of Public Accounts					
CODE	GOAL / OBJECTIVE / STRATEGY	BL 2012	BL 2013	EXCP 2012	EXCP 2013	TTL 2012	TTL 2013
02	To efficiently manage the state's fiscal affairs						
06	Establish new state services; achieve savings and measurable value						
01	Identify services and processes for competitive bidding	512,984	512,984	0	0	512,984	512,984
TOTAL, GOAL 02		\$50,807,522	\$50,807,522	\$22,064,867	\$17,798,826	\$72,872,389	\$68,606,348
=====							
03	To expeditiously manage the receipt and disbursement of revenue						
01	Generate taxpayer refunds; return tax allocations; maintain turnaround						
01	Improve tax/voucher data processing	\$36,139,842	\$36,139,842	\$0	\$0	\$36,139,842	\$36,139,842
TOTAL, GOAL 03		\$36,139,842	\$36,139,842	\$0	\$0	\$36,139,842	\$36,139,842
TOTAL, AGENCY STRATEGY REQUEST		\$223,674,188	\$223,674,189	\$22,064,867	\$17,798,826	\$245,739,055	\$241,473,015
=====							
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST		\$0	\$0	\$0	\$0	\$0	\$0
=====							
GRAND TOTAL, AGENCY REQUEST		\$223,674,188	\$223,674,189	\$22,064,867	\$17,798,826	\$245,739,055	\$241,473,015
=====							
METHOD OF FINANCING:							
GENERAL REVENUE:							
0001	General Revenue Fund	\$221,665,448	\$221,665,449	\$22,064,867	\$17,798,826	\$243,730,315	\$239,464,275
TOTAL, GENERAL REVENUE		\$221,665,448	\$221,665,449	\$22,064,867	\$17,798,826	\$243,730,315	\$239,464,275
=====							
OTHER FUNDS:							
0666	Appropriated Receipts	\$1,403,935	\$1,403,935	\$0	\$0	\$1,403,935	\$1,403,935
0777	Interagency Contract Receipts	604,805	604,805	0	0	604,805	604,805
TOTAL, OTHER FUNDS		\$2,008,740	\$2,008,740	\$0	\$0	\$2,008,740	\$2,008,740
=====							
TOTAL, METHOD OF FINANCING		\$223,674,188	\$223,674,189	\$22,064,867	\$17,798,826	\$245,739,055	\$241,473,015
=====							
FULL TIME EQUIVALENT POSITIONS:		3,151.6	3,151.6	0.0	0.0	3,151.6	3,151.6
PREPARED BY: <u>Robert Chapa</u>							

SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

Agency Code: 304 Agency Name: Comptroller of Public Accounts							
CODE	GOAL / OBJECTIVE / OUTCOME	BL 2012	BL 2013	EXCP 2012	EXCP 2013	TTL 2012	TTL 2013
01	To improve voluntary compliance with tax laws						
01	Increase accuracy/number of audits and improve assessments						
01	% Accuracy Rate of Reported Amounts on Original Audits (K)	97.0%	97.0%	0.0%	0.0%	97.0%	97.0%
02	# of Non-permitted Businesses Permitted	2,300.0	2,000.0	0.0	0.0	2,300.0	2,000.0
02	Achieve avg. account closure rates, ratios and turnaround times						
01	Avg. Turnaround Time for Closing Delinquent/Other Accounts (Days)	116.0	116.0	0.0	0.0	116.0	116.0
02	Avg. Monthly Delinquent/Other Closure Rate per Collector (K)	269.0	269.0	0.0	0.0	269.0	269.0
03	% of Positive Surveys Received From Attendees at Seminars	95.0%	95.0%	0.0%	0.0%	95.0%	95.0%
03	Improve taxpayer ratings of accuracy/speed of information						
01	% of Favorable Responses to Taxpayer Surveys	92.0%	92.0%	0.0%	0.0%	92.0%	92.0%
04	Provide fair and timely hearings and position letters						
01	% of Cases in Which Position Letters are Issued Within 90 Days	85.0%	85.0%	0.0%	0.0%	85.0%	85.0%
02	To efficiently manage the state's fiscal affairs						
01	Maintain state's accounting system; certify general appropriations act						
01	% of Targeted State Agencies with Improved Performance	80.0%	80.0%	0.0%	0.0%	80.0%	80.0%
02	% of Expenditures Supported by Revenue Estimates	100.0%	100.0%	0.0%	0.0%	100.0%	100.0%
03	Avg. % Variance (+/-) Between Estimated/Actual Collections	0.0%	2.67%	0.0%	0.0%	0.0%	2.67%
04	% of All Payments Issued via Direct Deposit (Excluding WES)	75.0%	76.0%	0.0%	0.0%	75.0%	76.0%
05	% of Customers Who Return Good or Excellent on Surveys	98.0%	98.0%	0.0%	0.0%	98.0%	98.0%
02	Ensure the effectiveness of the property value study						
01	% of ISDs' Total Value in Which PTAD Met the Margin of Error (K)	95.0%	95.0%	0.0%	0.0%	95.0%	95.0%
03	Identify/develop research to promote understanding of fiscal issues						
01	% Increase in the # of Online Subscribers to Publications	15.0%	15.0%	0.0%	0.0%	15.0%	15.0%

SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / OUTCOME	BL 2012	BL 2013	EXCP 2012	EXCP 2013	TTL 2012	TTL 2013
04	Maximize state revenue						
01	% of Funds Processed Electronically (K)	98.0%	98.0%	0.0%	0.0%	98.0%	98.0%
05	Manage a procurement system; maximize competition; provide services						
01	% Increase in \$ Value of Purchases through CO-OP Program	5.0%	5.0%	0.0%	0.0%	5.0%	5.0%
02	# of New HUBs Certified	1,200.0	1,200.0	0.0	0.0	1,200.0	1,200.0
03	% of Statewide Term Contracts Utilized through TxSmartBuy	100.0%	100.0%	0.0%	0.0%	100.0%	100.0%
04	Presort and Barcode Savings Achieved	\$322,037.0	\$322,037.0	0.0	0.0	\$322,037.0	\$322,037.0
06	Establish new state services; achieve savings and measurable value						
01	Annual Savings/Measurable Value from CCG Initiatives (Millions)	\$19.7	\$20.7	0.0	0.0	\$19.7	\$20.7
03	To expeditiously manage the receipt and disbursement of revenue						
01	Generate refunds; return tax allocations; maintain turnaround						
01	Time Required to Generate Taxpayer Refunds (Days)	10.0	10.0	0.0	0.0	10.0	10.0
02	Time to Return Tax Allocations to Local Jurisdictions (Days) (K)	21.0	21.0	0.0	0.0	21.0	21.0
03	Avg. Tax Data Entry Turnaround Time (Hours)	3.5	3.5	0.0	0.0	3.5	3.5

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-03, Income-A.2, Age-B.3		
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 01 Increase accuracy/number of audits and improve assessments from audits

STRATEGY: 01 Maintain an ongoing program of audit and verification activities

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OUTPUT MEASURES:					
01 Number of Audits and Verifications Conducted (K)	14,887.0	15,500.0	15,000.0	15,000.0	15,000.0
02 Number of Non-permitted Taxpayers Contacted Through Correspondence	3,431.0	2,000.0	2,100.0	2,200.0	2,200.0
03 Number of Hours Spent on Completed Refund Verifications	66,695.0	50,000.0	50,000.0	50,000.0	50,000.0
EFFICIENCY MEASURE:					
01 Average Dollars Assessed to Dollar Cost (K)	\$34.83	\$27.00	\$28.00	\$28.00	\$28.00
EXPLANATORY / INPUT MEASURE:					
01 Percent of Audit Coverage	0.89%	0.60%	0.60%	0.60%	0.60%
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$55,346,043	\$57,010,992	\$57,670,167	\$57,670,167	\$57,670,167
1002 Other Personnel Costs	1,679,614	1,732,330	1,412,437	1,412,437	1,412,437
2001 Professional Fees and Services	3,597,670	3,112,396	2,616,313	2,570,940	2,570,940
2002 Fuels and Lubricants	3,199	5,042	3,998	3,998	3,998
2003 Consumable Supplies	334,931	456,810	471,396	431,215	431,215
2004 Utilities	1,487,777	1,202,413	1,021,303	1,020,948	1,020,948
2005 Travel	3,427,827	3,928,532	3,779,616	3,761,055	3,761,055
2006 Rent – Building	1,538,141	1,703,326	1,749,352	1,736,391	1,736,391
2007 Rent – Machine and Other	2,855,711	3,367,549	3,268,162	3,264,907	3,264,907
2009 Other Operating Expense	5,934,780	5,285,123	4,975,913	4,828,981	4,828,982
5000 Capital Expenditures	26,668	11,004	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$76,232,361	\$77,815,517	\$76,968,657	\$76,701,039	\$76,701,040
=====					

STRATEGY REQUEST

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
METHOD OF FINANCING:					
0001 General Revenue Fund	\$76,195,791	\$77,779,345	\$76,934,664	\$76,667,046	\$76,667,047
0555 Federal Funds, 20.240.000 Fuels Tax Evasion Enforcement	10,000	0	0	0	0
0666 Appropriated Receipts	8,219	36,172	33,993	33,993	33,993
0777 Interagency Contract Receipts	18,351	0	0	0	0
TOTAL, METHOD OF FINANCING	\$76,232,361	\$77,815,517	\$76,968,657	\$76,701,039	\$76,701,040
=====					
FULL TIME EQUIVALENT POSITIONS:	863.0	903.5	959.7	959.7	959.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comptroller's office is granted broad authority in the Texas Tax Codes (e.g. Section 111 V.T.C.A.), as well as specific authority by tax type (e.g. Section 151 V.T.C.A.), to examine all pertinent books and records of any collector or payer of Texas taxes through the efficient performance of tax audits. This strategy helps ensure taxpayer equity through the fair assessment and payment of taxes, support appropriate management of the state's funds by promoting voluntary compliance with the tax laws and facilitate the identification and recovery of otherwise lost tax revenue rightfully due the state and its taxpayers. This strategy offers direct support of the agency's goal of improving voluntary compliance with the tax laws.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Highly trained professionals, many of whom are CPAs, are Audit's greatest strength. Through March 2010, auditors averaged \$3,228 in audit adjustments per hour. Investments in the training of these auditors make them valuable assets sought by the private sector. High private sector wages drive auditor turnover and reduce experience levels, creating additional costs for the state and its taxpayers. Increasing retirement rates may force the agency to maintain a less than optimal experienced staffing level resulting in productivity loss. Changes in tax laws also require additional training, temporarily reducing productivity and taxpayer compliance.

The Advanced Database System and information sharing with other governmental entities assist agency staff in identifying additional revenue and non-permitted businesses. Since inception in 1991, the Business Activity Research Team (BART) has collected approximately \$960 million in revenue through March 2010. The continued success of the Audit program depends on the ability to provide resources to maintain the program's effectiveness. The Comptroller's fiscal 2012-13 budget request reflects the full 5 percent reductions established by the Legislative Budget Board and the Governor's Office of Budget, Planning and Policy. Any additional reductions would severely hinder the agency's ability to maintain this strategy's resources.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-03, Income-A.2, Age-B.3		
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 02 Achieve average account closure rates, ratios and turnaround times

STRATEGY: 01 Improve compliance with tax laws through contact and collection program

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OUTPUT MEASURES:					
01 Number of Field Office Service Area Evaluations Conducted	1.0	1.0	1.0	1.0	1.0
02 Average Taxpayer Contacts by a Call Center Collector per Phone Hour	9.3	8.0	9.0	9.0	9.0
03 Number of Taxpayer Seminars Conducted	125.0	125.0	75.0	75.0	75.0
EFFICIENCY MEASURE:					
01 Delinquent Taxes Collected per Collection-related Dollar Expended (K)	\$78.0	\$59.0	\$65.0	\$65.0	\$65.0
EXPLANATORY / INPUT MEASURES:					
01 Minimum Percent of Field Collector Time in the Field	38.3%	39.0%	36.0%	36.0%	36.0%
02 Total Delinquent Dollars Collected (in Millions)	\$990.5	\$924.0	\$1,014.0	\$1,014.0	\$1,014.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$22,749,720	\$25,045,077	\$25,701,295	\$25,701,295	\$25,701,295
1002 Other Personnel Costs	909,283	890,801	720,370	720,370	720,370
2001 Professional Fees and Services	1,601,757	1,383,474	1,162,771	1,142,574	1,142,574
2002 Fuels and Lubricants	1,423	2,243	1,779	1,779	1,779
2003 Consumable Supplies	170,506	222,243	228,122	210,244	210,244
2004 Utilities	664,285	736,978	666,904	666,791	666,791
2005 Travel	747,535	1,101,104	1,065,388	1,058,240	1,058,240
2006 Rent – Building	919,477	1,253,865	1,293,966	1,293,826	1,293,826
2007 Rent – Machine and Other	1,382,434	1,603,232	1,558,613	1,557,166	1,557,166
2009 Other Operating Expense	2,636,479	2,692,842	2,375,557	2,310,337	2,310,337
5000 Capital Expenditures	11,873	4,899	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$31,794,772	\$34,936,758	\$34,774,765	\$34,662,622	\$34,662,622
=====					

STRATEGY REQUEST

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
METHOD OF FINANCING:					
0001 General Revenue Fund	\$31,782,935	\$34,921,460	\$34,759,622	\$34,647,479	\$34,647,479
0666 Appropriated Receipts	3,662	15,298	15,143	15,143	15,143
0777 Interagency Contract Receipts	8,175	0	0	0	0
TOTAL, METHOD OF FINANCING	\$31,794,772	\$34,936,758	\$34,774,765	\$34,662,622	\$34,662,622
=====					
FULL TIME EQUIVALENT POSITIONS:	473.1	557.0	601.4	601.4	601.4

STRATEGY DESCRIPTION AND JUSTIFICATION:

Enforcement's strategy directly affects the agency's goal of improving voluntary compliance with tax laws through fair and consistent enforcement activities. Chapter 111 of the Texas Tax Code details the delinquent tax collection duties and powers of the Comptroller's office. These powers include the ability to invoke delinquent tax collection tools such as jeopardy determinations, asset freezes and levies, permit revocations and certification of accounts to the Attorney General. The efficient and timely use of these tools in the agency's collection program enables the Comptroller's office to reduce the delinquent population, deter tax evasion and maximize total tax collections. Voluntary compliance also depends on the timely dissemination of accurate tax information. Enforcement's secondary role is to provide information to the public in each of its 29 field office locations. Taxpayer service specialists provide information related to tax responsibilities, permitting and filing requirements and taxability. Additional services provided by the offices include, but are not limited to, accepting and processing tax reports and payments, assisting with report and permit application completion and offering tax-related seminars.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

The success of Enforcement's strategy largely depends on the agency's ability to maintain a public presence throughout the state, both for tax collections and taxpayer service purposes. The loss of valuable knowledge and experience through staff attrition or other reductions poses a significant challenge to maintaining acceptable performance levels. Additionally, potential changes to the tax law or increases in the taxpayer population would impact Enforcement's workload and seriously impede service levels to taxpayers. The Comptroller's fiscal 2012-13 budget request reflects the full 5 percent reductions established by the Legislative Budget Board and the Governor's Office of Budget, Planning and Policy. Any additional reductions would severely hinder the agency's ability to maintain this strategy's resources.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-02, Income-A.2, Age-B.3		
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 03 Improve taxpayer ratings of accuracy and speed of information disseminated

STRATEGY: 01 Provide information to taxpayers, government officials and the public

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OUTPUT MEASURES:					
01 Number of Calls Handled by Tax Assistance Specialists	669,573.0	643,200.0	648,600.0	652,100.0	655,400.0
02 Total Number of Responses Issued by the Tax Policy/Tax Policy Support Areas (K)	43,381.0	35,256.0	38,040.0	39,181.0	40,356.0
EFFICIENCY MEASURES:					
01 Avg. Time Taken (in Work Days) to Respond to Correspondence	23.6	41.0	37.0	35.0	32.0
02 Avg. Number of Calls Handled per Tax Assistance Telephone Specialists per Work Day	97.0	96.0	98.0	95.0	95.0
03 Percent of Responses Issued Within 7 Working Days (K)	55.8%	61.0%	64.0%	67.0%	68.0%
EXPLANATORY / INPUT MEASURES:					
01 Avg. Overall Monitoring Score for Tax Assistance Telephone Specialists	97.9%	98.0%	98.0%	98.0%	98.0%
02 Number of Taxpayers Participating in Independent Audit Reviews	158.0	140.0	140.0	150.0	150.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$10,726,937	\$11,306,357	\$11,496,820	\$11,496,820	\$11,496,820
1002 Other Personnel Costs	386,818	403,628	343,005	343,005	343,005
2001 Professional Fees and Services	843,726	737,025	625,793	575,828	575,828
2002 Fuels and Lubricants	717	1,129	896	896	896
2003 Consumable Supplies	91,278	98,060	103,438	92,434	92,434
2004 Utilities	279,982	233,083	197,093	197,036	197,036
2005 Travel	34,822	47,768	35,506	31,905	31,905
2006 Rent – Building	48,466	62,204	62,609	62,539	62,539
2007 Rent – Machine and Other	648,065	759,130	736,091	725,806	725,806
2009 Other Operating Expense	4,582,765	4,094,292	3,793,637	3,754,920	3,754,920
5000 Capital Expenditures	5,984	2,469	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$17,649,560	\$17,745,145	\$17,394,888	\$17,281,189	\$17,281,189

STRATEGY REQUEST

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
METHOD OF FINANCING:					
0001 General Revenue Fund	\$17,643,589	\$17,737,427	\$17,387,248	\$17,273,549	\$17,273,549
0666 Appropriated Receipts	1,846	7,718	7,640	7,640	7,640
0777 Interagency Contract Receipts	4,125	0	0	0	0
TOTAL, METHOD OF FINANCING	\$17,649,560	\$17,745,145	\$17,394,888	\$17,281,189	\$17,281,189
=====					
FULL TIME EQUIVALENT POSITIONS:	186.7	203.4	213.9	213.9	213.9

STRATEGY DESCRIPTION AND JUSTIFICATION:

As the state's chief financial officer, the Comptroller's office is responsible for administering the state's tax laws through audit and enforcement activities and the promotion of voluntary compliance by making tax information available in a timely and accurate manner. (Texas Tax Code, Titles 2 and 3). These responsibilities require the agency to maintain an efficient tax administration program.

Tax administration is affected by changes in law, rule and policy. Taxpayers must receive accurate and current information about these changes as they occur. Ensuring that taxpayers have access to information that is presented in a clear and understandable way leads to positive tax filing patterns and allows the agency to concentrate collection efforts on chronically delinquent taxpayers and tax avoiders. This strategy contributes directly to the agency's goal of improving voluntary compliance through timely and effective communication.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

External factors that impact the timing and frequency of disseminating tax information include tax legislation, changes in generally accepted accounting principles, regulatory agency procedures and court decisions. A presence on the Internet allows the agency to quickly distribute information and provide timely notification of tax responsibilities. Making tax information available encourages voluntary compliance with the tax laws. The Comptroller's fiscal 2012-13 budget request reflects the full 5 percent reductions established by the Legislative Budget Board and the Governor's Office of Budget, Planning and Policy. Any additional reductions would severely hinder the agency's ability to maintain this strategy's resources.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-01, Income-A.2, Age-B.3		
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 04 Provide fair and timely hearings and position letters

STRATEGY: 01 Provide tax hearings; represent the agency; provide legal counsel

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OUTPUT MEASURE:					
01 Number of Position Letters Issued	1,053.0	1,077.0	1,077.0	1,077.0	1,077.0
EFFICIENCY MEASURE:					
01 Average Length of Time (Work Days) Taken to Issue a Position Letter	45.7	90.0	90.0	90.0	90.0
EXPLANATORY / INPUT MEASURE:					
01 Number of New Requests for Hearings Received in Administrative Hearings Section	1,422.0	1,614.0	1,614.0	1,614.0	1,614.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$6,920,334	\$6,781,818	\$6,585,715	\$6,585,715	\$6,585,715
1002 Other Personnel Costs	209,546	233,316	151,174	151,174	151,174
2001 Professional Fees and Services	765,833	697,771	598,956	596,179	596,179
2002 Fuels and Lubricants	393	619	491	491	491
2003 Consumable Supplies	39,809	56,417	58,802	54,135	54,135
2004 Utilities	59,909	50,214	43,073	43,058	43,058
2005 Travel	8,344	26,054	15,289	4,522	4,522
2006 Rent – Building	20,359	20,605	20,827	20,788	20,788
2007 Rent – Machine and Other	187,009	212,241	205,684	201,926	201,926
2009 Other Operating Expense	537,296	829,759	478,503	423,986	423,986
5000 Capital Expenditures	1,243	513	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$8,750,075	\$8,909,327	\$8,158,514	\$8,081,974	\$8,081,974
=====					

STRATEGY REQUEST

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
METHOD OF FINANCING:					
0001 General Revenue Fund	\$8,747,728	\$8,906,983	\$8,156,213	\$8,079,673	\$8,079,673
0666 Appropriated Receipts	1,491	2,344	2,301	2,301	2,301
0777 Interagency Contract Receipts	856	0	0	0	0
TOTAL, METHOD OF FINANCING	\$8,750,075	\$8,909,327	\$8,158,514	\$8,081,974	\$8,081,974
=====					
FULL TIME EQUIVALENT POSITIONS:	102.5	102.6	112.2	112.2	112.2

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comptroller is required by law (Texas Tax Code Chapter 111, Sections 009 and 105) to grant hearings upon timely filed redetermination and refund hearings requests. To avoid a perception of partiality, the tax hearings function was moved to the State Office of Administrative Hearings (SOAH) in 2007 (Texas Tax Code Chapter 111, Section 111.00455 and V.T.C.A., Government Code Chapter 2003). Assistant General Counsels in the Administrative Hearings Section (AHS), however, work with taxpayers and agency personnel to resolve cases through dismissal or issue the necessary pleadings to develop a record at SOAH. The SOAH administrative law judges make recommendations on decisions for the Comptroller to adopt in hearings. There is considerable work involved in both dismissals at the agency level and cases that are processed through SOAH. This strategy serves both the Comptroller's office and Texas taxpayers by providing agency-wide legal counsel and research as well as timely, impartial and equitable decision-making through the hearings process.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Any increase in the number of hearings affects the ability of the Comptroller's office to efficiently process hearings, ultimately delaying receipt of revenue to the state and tax refunds legally due to taxpayers. The present administrative hearings caseload is approximately 2,150. The average number of new cases received in 2009 was 121. In the last quarter of 2009 and the first quarter of 2010, however, the average increased to 140. Due to statutory changes adding individual fraud liability to corporate audit assessments and changes in franchise tax, the increase is expected to grow. Any reduction in resources within the Administrative Hearings Section makes it extremely difficult to provide an efficient and timely administrative hearings process. The Comptroller's fiscal 2012-13 budget request reflects the full 5 percent reductions established by the Legislative Budget Board and the Governor's Office of Budget, Planning and Policy. Any additional reductions would severely hinder the agency's ability to maintain this strategy's resources.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3		
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 01 Maintain state's accounting system; certify general appropriations act

STRATEGY: 01 Project receipts and disbursements; complete accounting and reporting responsibilities

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OUTPUT MEASURES:					
01 Number of Financial Reports Published by Their Statutory Deadlines	2.0	2.0	2.0	2.0	2.0
02 Number of Texas Economic Update Reports Published Each Fiscal Year	1.0	1.0	1.0	1.0	1.0
03 Total Number of Payments Issued (Excluding WES Child Support Payments)	11,566,044.0	10,753,076.0	11,239,157.0	10,000,000.0	10,000,000.0
04 Number of Post-Payment Audit Reviews Completed	47.0	42.0	42.0	42.0	42.0
EFFICIENCY MEASURE:					
01 Percent of Ad Hoc Report Requests Responded to Within 10 Working Days	99.9%	100.0%	100.0%	100.0%	100.0%
EXPLANATORY / INPUT MEASURES					
01 Percent of Payroll/Retirement Payments Issued via Direct Deposit	88.4%	90.0%	90.0%	90.0%	90.0%
02 Percent of Bill Payments Issued via Direct Deposit	52.1%	55.0%	57.0%	57.0%	57.0%
03 Number of WES Child Support Payments Issued	3,544,046.0	2,718,519.0	2,611,710.0	2,529,240.0	2,295,000.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$16,249,783	\$18,189,130	\$18,703,298	\$18,703,298	\$18,703,298
1002 Other Personnel Costs	570,737	588,538	440,319	440,319	440,319
2001 Professional Fees and Services	1,162,226	11,985,908	16,005,173	787,239	787,239
2002 Fuels and Lubricants	979	1,543	1,224	1,224	1,224
2003 Consumable Supplies	148,101	154,292	155,620	139,849	139,849
2004 Utilities	383,638	381,668	316,258	299,157	299,157
2005 Travel	62,188	90,921	69,227	49,975	49,975
2006 Rent – Building	52,068	88,912	94,066	93,970	93,970
2007 Rent – Machine and Other	871,899	1,039,311	1,095,371	1,081,280	1,081,280
2009 Other Operating Expense	2,267,534	7,491,447	6,704,630	1,986,145	1,986,145
5000 Capital Expenditures	8,165	8,466,697	700,000	0	0
TOTAL, OBJECTS OF EXPENSE	\$21,777,318	\$48,478,367	\$44,285,186	\$23,582,456	\$23,582,456

STRATEGY REQUEST

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
METHOD OF FINANCING:					
0001 General Revenue Fund	\$21,484,176	\$23,508,503	\$23,122,576	\$23,397,036	\$23,397,036
0369 Federal American Recovery and Reinvestment Fund	122,980	3,743,017	566,622	0	0
0666 Appropriated Receipts	2,520	130,526	10,420	10,420	10,420
0777 Interagency Contract Receipts	167,642	21,096,321	20,585,568	175,000	175,000
TOTAL, METHOD OF FINANCING	\$21,777,318	\$48,478,367	\$44,285,186	\$23,582,456	\$23,582,456
=====					
FULL TIME EQUIVALENT POSITIONS:	251.9	272.7	293.4	293.4	293.4

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comptroller's office is directed by statute (Texas Government Code, Section 403.013) to prepare the state's *Annual Cash Report* and the *Comprehensive Annual Financial Report*. These reports depict the state's expenditures and revenues and apprise state leaders of its financial position. The agency, as directed by Article III, Section 49a of the Texas Constitution, also projects the receipts of state government by submitting revenue estimates used in the appropriations process, including the publication of the *Biennial Revenue Estimate* prior to each regular session of the Legislature. An analysis of each General Appropriations Act introduced by the Legislature is done to determine if the funds appropriated are within the amount of revenue certified to be available. The agency is also required by law (various sections in Chapter 403 of the Texas Government Code) to audit claims against the state for compliance with requirements governing the expenditure of state funds.

As the state's chief accountant, the agency maintains the state's books, pays claims and establishes agencies' budgets at the beginning of the fiscal year. To further financial and reporting uniformity, the agency is leading a statewide effort called for by legislators in House Bill 3106 of the 80th legislative session to develop an enterprise resource planning system for the state. Currently in development, ProjectONE—Our New Enterprise, will create a single set of real-time books designed to reduce conflicting data and give decision makers accurate and timely financial and human resource information.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

The legislative process is a major external factor in determining the workload related to this strategy. Items of legislation passed each session affect the fund structure and dedication of state revenues. Agency policies, procedures and responsibilities will continue to change and workloads increase as a result of shifting functional and technical responsibilities promulgated by technological advances and standard-setting authorities, including the Governmental Accounting Standards Board and the American Institute of Certified Public Accountants.

The ongoing support of the statewide accounting and payroll systems and all related subsystems in particular require significant staff time for training, agency support, documentation, improvements in technical design and ongoing system evaluation and testing. The Comptroller's fiscal 2012-13 budget request reflects the full 5 percent reductions established by the Legislative Budget Board and the Governor's Office of Budget, Planning and Policy. Any additional reductions would severely hinder the agency's ability to maintain this strategy's resources.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3		
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 02 Ensure the effectiveness of the property value study

STRATEGY: 01 Conduct property value study; provide assistance; review methods

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OUTPUT MEASURES:					
01 Number of Properties Included in the Property Value Study (K)	85,000.0	85,000.0	85,000.0	85,000.0	85,000.0
02 Number of Public Outreach Activities Conducted Annually	59.0	144.0	106.0	80.0	80.0
EFFICIENCY MEASURES:					
01 Average Direct Cost per Property Included In the Property Value Study	\$46.57	\$48.69	\$50.0	\$50.0	\$50.0
02 Average Cost of Staff Changes to Certified Preliminary Findings as a Percent of Total	0.9%	1.6%	2.0%	2.0%	2.0%
EXPLANATORY / INPUT MEASURE:					
01 Percent of ISD Reports Produced Electronically from Appraisal Roll Data	96.0%	99.0%	95.0%	90.0%	90.0%
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$6,859,094	\$6,898,993	\$6,925,862	\$6,925,862	\$6,925,862
1002 Other Personnel Costs	209,376	205,771	172,807	172,807	172,807
2001 Professional Fees and Services	807,754	644,934	505,044	499,354	499,354
2002 Fuels and Lubricants	401	632	501	501	501
2003 Consumable Supplies	125,585	56,686	59,789	54,750	54,750
2004 Utilities	157,285	131,754	111,856	111,773	111,773
2005 Travel	497,014	477,117	489,390	472,376	472,376
2006 Rent – Building	21,131	23,316	21,608	21,569	21,569
2007 Rent – Machine and Other	352,824	416,426	402,517	402,109	402,109
2009 Other Operating Expense	1,131,945	996,134	1,043,488	1,006,678	1,006,678
5000 Capital Expenditures	3,344	1,380	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$10,165,753	\$9,853,143	\$9,732,862	\$9,667,779	\$9,667,779
=====					

STRATEGY REQUEST

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
METHOD OF FINANCING:					
0001 General Revenue Fund	\$10,119,220	\$9,748,830	\$9,628,592	\$9,563,509	\$9,563,509
0666 Appropriated Receipts	44,232	104,313	104,270	104,270	104,270
0777 Interagency Contract Receipts	2,301	0	0	0	0
TOTAL, METHOD OF FINANCING	\$10,165,753	\$9,853,143	\$9,732,862	\$9,667,779	\$9,667,779
=====					
FULL TIME EQUIVALENT POSITIONS:	119.8	121.6	126.9	126.9	126.9

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comptroller's office is required to conduct a study of school district property values at least once every two years and provide the results to the Texas Education Agency to assist in allocating state aid to public schools (Texas Government Code, Section 403.301 and 403.302); conduct ratio studies at least once every two years in each county appraisal district (Texas Tax Code, Section 5.10); and review the governance, taxpayer assistance provided and the operating and appraisal standards, procedures and methodology used by each appraisal district every two years (Texas Tax Code, Section 5.102).

Chapter 41A of the Tax Code charges the Comptroller's office with administering an arbitration system for taxpayers that includes maintaining a registry of qualified arbitrators who hear and rule on disputes between taxpayers and county appraisal districts following decisions by local appraisal review boards. In addition, the Property Tax area administers refunds of state sales and franchise taxes to property owners that have city or county tax abatements (Texas Tax Code, Subchapter F, Sections 111.301-111.304) and maintains a central registry of reinvestment zones and abatement agreements (Texas Tax Code, Section 312.005). The 81st Legislature also mandated that the Comptroller expand the education of local Appraisal Review Board (ARB) members and provide continuing education to all ARB members on an annual basis.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

An effective property value study demands cooperation and communication between agency staff and each appraisal district since the study's results are based on a direct comparison of local appraised values to the state's independent estimate of total taxable values. Accurate submission of local records, including sales and appraisal data, provides the basis for an accurate study.

House Bill 8, 81st Legislature, Regular Session, required that the Property Value Study be conducted every other year rather than every year in every school and appraisal district. The legislation also required that at least half of the appraisal districts in the state receive a review of governance, taxpayer assistance, methods, standards and procedures. Prior to HB 8, these reviews were triggered by study results. The Comptroller's fiscal 2012-13 budget request reflects the full 5 percent reductions established by the Legislative Budget Board and the Governor's Office of Budget, Planning and Policy. Any additional reductions would severely hinder the agency's ability to maintain this strategy's resources.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-02, Income-A.2, Age-B.3		
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 03 Identify/develop research to promote understanding of fiscal issues

STRATEGY: 01 Provide information and analysis to the public and private sectors

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OUTPUT MEASURES:					
01 Number of Data Requests Completed	455.0	475.0	475.0	475.0	475.0
02 Number of Responses Provided to Local Government Requests for Assistance (K)	2,047.0	2,100.0	2,400.0	2,400.0	2,400.0
03 Number of Local Government Presentations and Regional Workshops Provided	25.0	40.0	40.0	40.0	40.0
EXPLANATORY / INPUT MEASURE:					
01 Number of Requests for Local Government Assistance and Information Received	286,287.0	400,000.0	450,000.0	500,000.0	500,000.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$5,976,702	\$6,403,164	\$6,379,433	\$6,379,433	\$6,379,433
1002 Other Personnel Costs	178,442	166,177	126,815	126,815	126,815
2001 Professional Fees and Services	223,797	1,384,984	228,530	111,692	111,692
2002 Fuels and Lubricants	360	567	450	450	450
2003 Consumable Supplies	82,817	74,599	81,815	67,137	67,137
2004 Utilities	55,570	61,730	42,153	41,140	41,140
2005 Travel	37,182	69,574	42,294	17,731	17,731
2006 Rent – Building	19,017	19,492	19,695	19,660	19,660
2007 Rent – Machine and Other	171,501	192,718	188,447	167,183	167,183
2009 Other Operating Expense	578,866	883,791	565,014	467,934	467,934
5000 Capital Expenditures	1,134	61,075	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$7,325,388	\$9,317,871	\$7,674,646	\$7,399,175	\$7,399,175
=====					

STRATEGY REQUEST

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
METHOD OF FINANCING:					
0001 General Revenue Fund	\$7,314,081	\$8,143,317	\$7,438,453	\$7,397,071	\$7,397,071
0666 Appropriated Receipts	526	33,643	2,104	2,104	2,104
0777 Interagency Contract Receipts	10,781	1,140,911	234,089	0	0
TOTAL, METHOD OF FINANCING	\$7,325,388	\$9,317,871	\$7,674,646	\$7,399,175	\$7,399,175
=====					
FULL TIME EQUIVALENT POSITIONS:	108.3	101.4	128.2	128.2	128.2

STRATEGY DESCRIPTION AND JUSTIFICATION:

This strategy supports the agency's constitutional responsibilities through publications, online access, customer service, reports, seminars and analysis to enhance planning and policy decisions and increase program effectiveness. One of the premiere publications created is *Fiscal Notes*, an award-winning publication on state economic, tax and financial matters. Special reports, including *The Current and Potential Economic and Fiscal Impacts of Texas' Moving Media Industry*, *Texas Works: Training and Education for All Texans* and *Liquid Assets: The State of Texas' Water Resources*, analyze government expenditures and various policy issues that impact the fiscal condition of the state. The agency's website also provides extensive financial data used by customers across the country. The *Texas Transparency* website features *Where the Money Goes* tools to track state spending, *Where the Money Comes From* tools to reflect state revenues and an *Open Data Center* for direct access to Comptroller data, information about the state budget process and transparency efforts at the local level. The Local Government Assistance area offers financial management assistance to cities and counties. This assistance includes training on court costs and fees, economic development, sales taxes, budgeting, accounting, auditing, purchasing, financial reporting and fixed assets. The area also provides assistance via a toll free number and conducts local government management assessments (LGMAs). The purpose of these services is to provide the greatest assistance to local governments at the least cost.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

The ability of the Comptroller's office to carry out this strategy depends on several factors, including the level of outside demands placed on the agency. In most instances, it is impossible to control the number of requests made for information, although the Comptroller's office has some ability to select among those requests made for LGMAs. Therefore, the level of available financial resources is very important in determining the level of service performed by this strategy. Furthermore, the state's overall financial health has some impact on this strategy. Generally, the more severe economic circumstances are, the greater the need for reviews and assistance. A loss of agency support staff would impact the ability of the area to continue providing the same level of services to local governments. The Comptroller's fiscal 2012-13 budget request reflects the full 5 percent reductions established by the Legislative Budget Board and the Governor's Office of Budget, Planning and Policy. Any additional reductions would severely hinder the agency's ability to maintain this strategy's resources.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3		
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 04 Maximize state revenue

STRATEGY: 01 Ensure that the state's assets, cash receipts and warrants are properly secured

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OUTPUT MEASURE:					
01 Number of Rapid Deposit Transactions Processed	32,872,949.0	39,000,000.0	39,000,000.0	39,000,000.0	39,000,000.0
02 Number of Checks Deposited	6,010,813.0	5,500,000.0	5,500,000.0	5,500,000.0	5,500,000.0
03 Number of Warrants Processed	6,465,543.0	5,600,000.0	5,600,000.0	5,600,000.0	5,600,000.0
04 Number of State Depository Bank Account Reconciliations Performed (K)	13,355.0	11,000.0	11,000.0	10,000.0	10,000.0

EXPLANATORY / INPUT MEASURE:

01 Average Daily Amount of Securities and Assets Safekept (Billions)	\$3.4	\$2.9	\$2.8	\$3.4	\$3.4
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OBJECTS OF EXPENSE:

1001 Salaries and Wages	\$3,495,613	\$3,483,916	\$3,467,659	\$3,467,659	\$3,467,659
1002 Other Personnel Costs	128,878	128,921	118,544	118,544	118,544
2001 Professional Fees and Services	211,500	182,988	153,794	151,124	151,124
2002 Fuels and Lubricants	187	296	235	235	235
2003 Consumable Supplies	35,109	36,367	38,463	33,047	33,047
2004 Utilities	73,241	60,565	51,471	51,456	51,456
2005 Travel	13,177	16,012	13,732	3,742	3,742
2006 Rent – Building	9,888	10,005	10,111	10,093	10,093
2007 Rent – Machine and Other	183,460	213,026	206,697	197,023	197,023
2009 Other Operating Expense	559,095	528,931	522,951	499,184	499,184
5000 Capital Expenditures	1,570	648	0	0	0

TOTAL, OBJECTS OF EXPENSE	\$4,711,718	\$4,661,675	\$4,583,657	\$4,532,107	\$4,532,107
=====					

STRATEGY REQUEST

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
METHOD OF FINANCING:					
0001 General Revenue Fund	\$4,706,249	\$4,632,823	\$4,554,825	\$4,503,275	\$4,503,275
0666 Appropriated Receipts	4,388	28,852	28,832	28,832	28,832
0777 Interagency Contract Receipts	1,081	0	0	0	0
TOTAL, METHOD OF FINANCING	\$4,711,718	\$4,661,675	\$4,583,657	\$4,532,107	\$4,532,107
=====					
FULL TIME EQUIVALENT POSITIONS:					
	62.5	62.8	66.7	66.7	66.7

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comptroller's office, as directed by Chapter 404 of the Texas Government Code (following enactment of Senate Bill 20, 74th Legislature, Regular Session, and passage of the Constitutional Amendment abolishing the Office of the State Treasurer) oversees the expedited processing of revenues into the Treasury, ensures the safety and availability of state monies, prudently manages state monies to generate the highest yield compatible with safety and liquidity requirements for public funds, provides for the expedited payment of warrants and ensures that all transactions are properly recorded by effectively performing all accounting and reporting functions. This strategy ensures that by utilizing effective management, efficient business processes, the most up-to-date technology and the highest standards of professionalism, all revenues are deposited as rapidly as possible, interest earnings are maximized, state resources are protected from loss due to bank failures, any needs for additional revenue are determined and met through the issuance of cash management notes and administrative costs are minimized. This strategy contributes substantially to the statewide goal of wisely using the public's tax dollars and providing needed services at the lowest possible cost.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Any activity that is related to an increase or decrease in state revenues or expenditures has an impact on this strategy. As the sums of either deposits or payments by state agencies are increased, the transaction processing of the Treasury's systems is increased. Processing volumes are also impacted by legislative actions that impact the number of funds necessary to account for the state's fiscal activity, create or consolidate new tax or regulatory programs, change collateral or security requirements or change the manner in which payments are collected or disbursed by the state. Changes in reporting requirements dictated by state or federal legislative mandate and policy updates promulgated by standard-setting boards and technological advances also create constant demands for review of current procedures and changes in existing processes. The ever-changing environment of the banking and investment industry affects the demands put on the resources of the staff and technology of the division. Any restraints on development resulting from lack of adequate resources (human or financial) may restrict usage of current technologies, which could cripple the Treasury's growth and productivity. The Comptroller's fiscal 2012-13 budget request reflects the full 5 percent reductions established by the Legislative Budget Board and the Governor's Office of Budget, Planning and Policy. Any additional reductions would severely hinder the agency's ability to maintain this strategy's resources.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 05 Manage a procurement system; maximize competition; provide support services

STRATEGY: 01 Provide statewide procurement and support services

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OUTPUT MEASURES:					
01 Number of New and Renewed Statewide Volume Contracts Awarded	249.0	500.0	300.0	300.0	300.0
02 Number of Solicitations Reviewed for Agencies and Delegated to Agencies	307.0	300.0	300.0	300.0	300.0
03 Number of Contracts Awarded for Other State Agencies	378.0	150.0	150.0	150.0	150.0
04 Number of New and Renewed Purchasing Certifications Issued	230.0	150.0	210.0	240.0	350.0
05 Number of HUB Field Audits Conducted (K)	796.0	600.0	800.0	800.0	800.0
06 Number of HUB Desk Audits Conducted (K)	3,001.0	3,800.0	2,500.0	2,500.0	2,500.0
07 Number of HUB Seminars and Outreach Efforts Conducted	127.0	140.0	120.0	120.0	120.0
08 Number of Pieces of Mail Processed	4,752,376.0	5,000,000.0	5,000,000.0	5,000,000.0	5,000,000.0
EFFICIENCY MEASURES:					
01 Number of Days to Process Non-Delegated Open Market Requisitions Using IFBs	33.0	33.0	45.0	45.0	45.0
02 Number of Days to Process Non-Delegated Open Market Requisitions Using RFPs	150.0	150.0	150.0	150.0	150.0
EXPLANATORY / INPUT MEASURE:					
01 Number of New HUB Applications Received	2,469.0	2,000.0	1,500.0	1,500.0	1,500.0

STRATEGY REQUEST

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$3,738,910	\$4,242,364	\$4,078,660	\$4,078,660	\$4,078,660
1002 Other Personnel Costs	91,077	91,134	95,760	95,760	95,760
2001 Professional Fees and Services	1,848,982	1,326,163	552,527	552,527	552,527
2002 Fuels and Lubricants	10,144	12,850	12,850	12,850	12,850
2003 Consumable Supplies	36,060	12,223	12,580	12,580	12,580
2004 Utilities	4,578	7,438	7,052	7,052	7,052
2005 Travel	63,531	77,515	73,783	73,783	73,783
2006 Rent – Building	18,087	15,685	16,775	16,775	16,775
2007 Rent – Machine and Other	69,720	65,871	63,415	63,415	63,415
2009 Other Operating Expense	211,982	413,533	199,619	199,619	199,619
5000 Capital Expenditures	636,244	16,426	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$6,729,315	\$6,281,202	\$5,113,021	\$5,113,021	\$5,113,021
METHOD OF FINANCING:					
0001 General Revenue Fund	\$5,359,624	\$3,979,907	\$3,500,111	\$3,500,111	\$3,500,111
0666 Appropriated Receipts	646,688	1,763,228	1,183,105	1,183,105	1,183,105
0777 Interagency Contract Receipts	723,003	538,067	429,805	429,805	429,805
TOTAL, METHOD OF FINANCING	\$6,729,315	\$6,281,202	\$5,113,021	\$5,113,021	\$5,113,021
FULL TIME EQUIVALENT POSITIONS:					
	72.3	78.9	84.0	84.0	84.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The Texas Procurement and Support Services (TPASS) area develops efficient and cost-effective procurement practices to promote fair competition among vendors, including Historically Underutilized Businesses (HUB); improve statewide contracting and procurement processes; and obtain the best value in all procurement activities for Texas state agencies, institutions of higher education and cooperative purchasing partners. TPASS functions are authorized by the Texas Government Code. Chapter 2155 provides general rules and procedures, while Chapter 2156 governs procurement methods. Other provisions are found in Chapter 2157, governing automated information systems; Chapter 2158, containing miscellaneous procurement provisions; Chapter 2161, governing the HUB program; Chapter 2171, governing travel and fleet services; Chapter 2176, governing mail operations; and Chapter 2262, governing contract management.

TPASS awards and oversees approximately \$1.3 billion in contracts for a variety of non-information technology goods and services. TPASS also manages the Centralized Master Bidders List (CMBL), Electronic State Business Daily, Texas Smart Buy and the CO-OP Purchasing and Vendor Performance programs. The HUB program provides certification, compliance, reporting and education to vendors. Finally, TPASS oversees training and certification for state purchasers and contract managers; the Contract Advisory Team (CAT-RAD); airline, hotel, rental car and travel agent contracts; statewide procurement and travel card contracts; fleet management policy; and mail operations.

STRATEGY REQUEST

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Statewide procurement utilizes certain applications and legacy systems at the end of their life-cycle, such as the statewide procurement system, which will be replaced in September 2010. Ongoing training and modifications for this new procurement system as well as other recently implemented systems such as the CMBL/HUB registration system, the web-based procurement system and the fleet management system are expected to be reflected in staff output capabilities. The 2009 HUB Disparity Study and continuing demographic shifts in Texas may require adjustments to the annual procurement utilization goals for HUBs. Changes in the travel industry, which began following September 11, 2001, along with the popularity of online ticket purchases, require TPASS to continuously review alternative and innovative contracting methods to obtain efficiency and best value for state travelers. Fuel costs also require fleet management policies to focus on acquiring fuel efficient vehicles while continuing to maximize the useful life of the state's fleet. As state agencies and CO-OP program members see a decline in their budgets, the savings available on TPASS term contracts will highly benefit those entities although the overall expenditures on TPASS contracts might decline based on budget restrictions. The Comptroller's fiscal 2012-13 budget request reflects the full 5 percent reductions established by the Legislative Budget Board and the Governor's Office of Budget, Planning and Policy. Any additional reductions would severely hinder the agency's ability to maintain this strategy's resources.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 06 Establish new state services; achieve savings and measurable value

STRATEGY: 01 Identify state programs, services and processes for competitive bidding

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OUTPUT MEASURES:					
01 Number of Competitively Contracted State Services under CCG Oversight	7.0	10.0	12.0	14.0	16.0
02 Number of State Activities Proposed for Competitive Contracting Evaluated	3.0	3.0	3.0	3.0	3.0

OBJECTS OF EXPENSE:

1001 Salaries and Wages	\$455,561	\$462,315	\$462,737	\$462,737	\$462,737
1002 Other Personnel Costs	4,536	4,763	4,826	4,826	4,826
2001 Professional Fees and Services	12,314	9,505	8,047	7,870	7,870
2002 Fuels and Lubricants	25	39	31	31	31
2003 Consumable Supplies	1,678	3,502	3,623	3,328	3,328
2004 Utilities	3,831	3,207	2,746	2,746	2,746
2005 Travel	1,478	4,497	3,013	1,660	1,660
2006 Rent – Building	1,288	1,303	1,315	1,315	1,315
2007 Rent – Machine and Other	9,303	10,832	10,469	10,444	10,444
2009 Other Operating Expense	43,614	23,058	20,029	18,027	18,027
5000 Capital Expenditures	80	33	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$533,708	\$523,054	\$516,836	\$512,984	\$512,984

STRATEGY REQUEST

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
METHOD OF FINANCING:					
0001 General Revenue Fund	\$533,708	\$523,054	\$516,836	\$512,984	\$512,984
TOTAL, METHOD OF FINANCING	\$533,708	\$523,054	\$516,836	\$512,984	\$512,984
	=====	=====	=====	=====	=====
FULL TIME EQUIVALENT POSITIONS:	5.7	6.0	6.1	6.1	6.1

STRATEGY DESCRIPTION AND JUSTIFICATION:

House Bill 2626, 73rd Legislative Session (V.T.C.A., Government Code Chapter 2162) created the Council on Competitive Government (CCG) to make state government more efficient, cost-effective and competitive. The Council's strategies to achieve these goals include initiating competitive reviews; conducting feasibility studies to gauge opportunities; applying accelerated procurement processes; and establishing contracts that transform delivery of government services. Initiatives undertaken by the Council leverage innovative practices in both the private and the public sector to bring about savings, improved capacity, speed and efficiency and increased transparency, which advance a citizen-centered government.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

CCG is positively impacted by interest and support from the member offices in the projects selected for review. The fact that the CCG member offices comprise a broad cross-section of state leadership offices puts CCG in a strong position to review cross agency government processes and directly act to bring about changes. CCG is also impacted by perception of stakeholders (agencies, local governments, vendors) and places substantial emphasis on regular communications, surveys and requests for information and feedback.

The combination of a small staff size and a high-profile mission means that an appropriate balance between managing existing contracts and selecting appropriate new projects is critical. For new projects to be successful and meet performance measures, good project selection and appropriate project size is key. Success in fulfilling these factors is based on strong leadership and appropriate resources. The Comptroller's fiscal 2012-13 budget request reflects the full 5 percent reductions established by the Legislative Budget Board and the Governor's Office of Budget, Planning and Policy. Any additional reductions would severely hinder the agency's ability to maintain this strategy's resources.

PREPARED BY: Robert Chapa

STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-04	Service Categories: Service-03, Income-A.2, Age-B.3
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GOAL: 03 To expeditiously manage the receipt and disbursement of state tax revenue

OBJECTIVE: 01 Generate taxpayer refunds; return tax allocations; maintain turnaround

STRATEGY: 01 Improve tax/voucher data processing, tax collection and disbursements

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OUTPUT MEASURES:					
01 Number of Tax Returns Processed (K)	4,471,082.0	4,560,000.0	4,650,000.0	4,750,000.0	4,850,000.0
02 Number of Payments Deposited	4,434,871.0	4,700,000.0	4,825,000.0	4,900,000.0	4,950,000.0
03 Number of Permits and Licenses Issued	471,884.0	495,000.0	475,000.0	500,000.0	525,000.0
04 Number of Taxpayer Account Adjustments	789,011.0	715,000.0	700,000.0	675,000.0	675,000.0
05 Number of Collection Actions Performed	95,443.0	106,645.0	108,822.0	109,582.0	112,322.0
06 Number of Tax Refunds Issued	129,302.0	125,688.0	128,201.0	129,130.0	132,358.0
07 Number of Hours to Allocate Local Option Taxes to Government Entities	17,238.0	16,950.0	17,289.0	17,524.0	17,963.0
EFFICIENCY MEASURE:					
01 Average Number of Hours to Deposit Receipts (K)	4.6	4.7	5.0	5.0	5.0
EXPLANATORY / INPUT MEASURE:					
01 Percent of Tax Payments Received via Direct Deposit	94.0%	95.1%	95.5%	94.0%	94.0%

STRATEGY REQUEST

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$26,802,597	\$26,877,971	\$27,119,380	\$26,521,381	\$26,521,381
1002 Other Personnel Costs	1,280,430	1,120,519	941,291	941,291	941,291
2001 Professional Fees and Services	2,267,478	2,440,701	1,993,256	1,656,746	1,656,746
2002 Fuels and Lubricants	1,516	2,390	1,895	1,895	1,895
2003 Consumable Supplies	237,969	233,025	240,023	216,163	216,163
2004 Utilities	788,889	492,539	415,631	415,410	415,410
2005 Travel	46,731	58,381	51,731	20,358	20,358
2006 Rent – Building	89,042	116,149	99,806	81,527	81,527
2007 Rent – Machine and Other	1,385,810	1,620,707	1,571,962	1,569,276	1,569,276
2009 Other Operating Expense	5,645,800	6,274,748	5,939,665	4,715,795	4,715,795
5000 Capital Expenditures	20,658	5,217	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$38,566,920	\$39,242,347	\$38,374,640	\$36,139,842	\$36,139,842
METHOD OF FINANCING:					
0001 General Revenue Fund	\$38,554,316	\$39,226,055	\$38,358,513	\$36,123,715	\$36,123,715
0666 Appropriated Receipts	3,899	16,292	16,127	16,127	16,127
0777 Interagency Contract Receipts	8,705	0	0	0	0
TOTAL, METHOD OF FINANCING	\$38,566,920	\$39,242,347	\$38,374,640	\$36,139,842	\$36,139,842
FULL TIME EQUIVALENT POSITIONS:	518.3	547.2	559.1	559.1	559.1

STRATEGY DESCRIPTION AND JUSTIFICATION:

Under Chapter 403 of the Texas Government Code, the Comptroller's office serves as the state's chief fiscal officer and tax collector. To fulfill this responsibility, the agency must obtain and use new information technology systems to better utilize agency resources, which will in turn improve tax collections and maintain a high quality of customer service. This strategy furthers the agency's effort to reduce its costs by improving tax processing, the collection and allocation of tax revenue and the disbursements of tax refunds and unclaimed property payments. This strategy also contributes directly to the statewide goal of reducing state spending.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

This strategy will continue to be impacted by available funding and legislative actions. Due to the overall complexity of administering tax allocations, caused in part by an increasing number of local taxing jurisdictions and various local tax rates, the agency's critical responsibilities in providing timely and accurate services to these jurisdictions have significantly increased. The impact of the revised franchise tax also continues to evolve. Reporting requirements are much more complex than those required under the previous statutory language.

The impact of the Unclaimed Property function continues to evolve and expand as evidenced by the agency's expanded outreach program efforts and enhanced customer service programs, such as the addition of the Unclaimed Property database on the agency's website, increased direct mail and telephone notifications to individuals with unclaimed property and the utilization of the Internet for claimants to request information. The Comptroller's fiscal 2012-13 budget request reflects the full 5 percent reductions established by the Legislative Budget Board and the Governor's Office of Budget, Planning and Policy. Any additional reductions would severely hinder the agency's ability to maintain this strategy's resources.

PREPARED BY: Robert Chapa

RIDER REVISIONS AND ADDITIONS REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/30/10	Request Level: Base																					
Current Rider Number	Page Number In 2010-11 GAA	Proposed Rider Language																							
2	I-19	<p>Capital Budget. Funds appropriated above may be expended for capital budget items listed below. The amounts identified for each item may be adjusted or expended on other capital expenditures, subject to the aggregate dollar restrictions on capital budget expenditures provided in the general provisions of this Act.</p> <table><thead><tr><th></th><th><u>2010-2012</u></th><th><u>2011-2013</u></th></tr></thead><tbody><tr><td>Out of the General Revenue Fund:</td><td></td><td></td></tr><tr><td>a. Acquisition of Information Resource Technologies</td><td></td><td></td></tr><tr><td>(1) Daily Operations – Capital</td><td>\$11,398,479 \$10,108,109</td><td>\$11,731,679 \$10,108,109</td></tr><tr><td>(2) Tax System Modernization</td><td>\$437,213</td><td>\$440,993</td></tr><tr><td>Total, Acquisition of Information Resource Technologies</td><td>\$11,835,692 \$10,108,109</td><td>\$12,172,672 \$10,108,109</td></tr><tr><td>Total Capital Budget</td><td>\$11,835,692 \$10,108,109</td><td>\$12,172,672 \$10,108,109</td></tr></tbody></table> <p>Costs reflect the 2012-13 Capital Budget Project Request. An explanation of the request is included in the Capital Budget Project Schedules.</p>				<u>2010-2012</u>	<u>2011-2013</u>	Out of the General Revenue Fund:			a. Acquisition of Information Resource Technologies			(1) Daily Operations – Capital	\$11,398,479 \$10,108,109	\$11,731,679 \$10,108,109	(2) Tax System Modernization	\$437,213	\$440,993	Total, Acquisition of Information Resource Technologies	\$11,835,692 \$10,108,109	\$12,172,672 \$10,108,109	Total Capital Budget	\$11,835,692 \$10,108,109	\$12,172,672 \$10,108,109
	<u>2010-2012</u>	<u>2011-2013</u>																							
Out of the General Revenue Fund:																									
a. Acquisition of Information Resource Technologies																									
(1) Daily Operations – Capital	\$11,398,479 \$10,108,109	\$11,731,679 \$10,108,109																							
(2) Tax System Modernization	\$437,213	\$440,993																							
Total, Acquisition of Information Resource Technologies	\$11,835,692 \$10,108,109	\$12,172,672 \$10,108,109																							
Total Capital Budget	\$11,835,692 \$10,108,109	\$12,172,672 \$10,108,109																							
4	I-19	<p>Support to the Board of Tax Professional Examiners. Out of funds appropriated above, the Comptroller of Public Accounts shall provide administrative support to the Board of Tax Professional Examiners. The Comptroller of Public Accounts shall receive reimbursement from the Board of Tax Professional Examiners through an interagency contract which shall consist of the same levels of service and approximate costs as provided to the Board of Tax Professional Examiners by the State Comptroller during the 2008-09 biennium.</p> <p><i>This rider provision is no longer needed. The Board of Tax Professional Examiners was abolished by the 81st Legislature and its functions transferred to the Department of Licensing and Regulation.</i></p>																							
5	I-19	<p>Employee Incentive Rider. In addition to the existing authority and amounts related to employee compensation and benefits, the Comptroller of Public Accounts may expend amounts necessary from funds appropriated for the 2010-11 2012-13 biennium for the purposes of enhancing compensation, providing incentives, or paying associated expenses for high performing employees within the Comptroller's Office.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years.</i></p>																							
8	I-19	<p>Unexpended Balances Carried Forward Between Biennia. All unexpended balances appropriated and/or allocated to the Comptroller of Public Accounts from the 2008-09 2010-11 biennium due to efficiencies or other cost savings of the Comptroller are hereby appropriated for the 2010-11 2012-13 biennium. The appropriations herein are for ensuring the continuation of high priority programs within the Comptroller's Office.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years.</i></p>																							

RIDER REVISIONS AND ADDITIONS REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/30/10	Request Level: Base
Current Rider Number	Page Number In 2010-11 GAA	Proposed Rider Language		
11	I-20	<p>Unexpended Balances Between Fiscal Years Within the Biennium. Any unexpended balances as of August 31, 2010 <u>2011</u>, in the appropriations made herein to the Comptroller of Public Accounts are hereby appropriated for the same purpose for the fiscal year beginning September 1, 2010 <u>2011</u>.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years.</i></p>		
15	I-20	<p>Four Day Work Week Study. (a) From funds appropriated above the Comptroller of Public Accounts shall conduct a study on the establishment of a four day, forty hour work week for state employees. In conducting the study, the Comptroller shall consider: (1) the experience of other jurisdictions that have instituted a four day work week; (2) expansion of existing variable work schedule options for state employees; (3) potential environmental, financial, and health benefits of establishing a four day work week; and (4) any other information that the Comptroller determines is necessary. At the Comptroller's request, a state agency shall provide information and assistance in conducting the study. Not later than December 10, 2010, the Comptroller shall report the results of the study to the members and members elect of the 82nd Legislature.</p> <p><i>This rider provision is not applicable to the 2012-13 biennium.</i></p>		
16	I-20	<p>Increase Tax Compliance and State Revenue. The Comptroller of Public Accounts is hereby appropriated \$10,298,000 in fiscal year 2010 and \$10,062,000 in fiscal year 2011 out of the General Revenue Fund for the purpose of increasing tax compliance and state revenue. The "Number of Full Time Equivalents (FTE)" figure indicated elsewhere in this Act for the Comptroller of Public Accounts is hereby increased by 150 FTEs for each fiscal year of the biennium.</p> <p>This appropriation is contingent upon the Comptroller's certification of available General Revenue of \$131,927,000 million for the biennium above the Comptroller's January 2009 Biennial Revenue Estimate providing a net gain, after accounting for benefit costs, of \$107,167,000.</p> <p><i>This rider provision is not applicable to the 2012-13 biennium.</i></p>		

RIDER REVISIONS AND ADDITIONS REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/30/10	Request Level: Base
Current Rider Number	Page Number In 2010-11 GAA	Proposed Rider Language		
Sec. 11.08	IX-49	<p>Sec. 11.08. Equipment Maintenance Cost Reductions.</p> <p>(a) It is the intent of the Legislature that all state agencies participate in a cooperative effort with the Comptroller of Public Accounts to reduce equipment maintenance costs. The Comptroller of Public Accounts may assist state agencies in reducing equipment maintenance costs by establishing consolidated volume contracts for maintenance services, by making the Comptroller of Public Accounts maintenance contracts available to other state agencies, or by assisting state agencies with restructuring or renegotiating their maintenance contracts. If the Comptroller of Public Accounts enters into a contract for consulting services related to reducing equipment maintenance costs, each state agency shall use the services provided under the contract to the greatest extent possible.</p> <p>October 1 of each fiscal year the Comptroller of Public Accounts shall report to the Legislative Budget Board and the Governor's Office any savings achieved by agencies or institutions of higher education through use of Comptroller of Public Accounts procurement services and maintenance services contracts.</p> <p><i>This provision was added to the GAA during the 79th Legislative Session when statewide purchasing resided with the Texas Building and Procurement Commission (TBPC). TBPC initiated a program to reduce equipment maintenance costs for the Texas Department of Transportation (TXDOT) and contracted with a consultant to provide expert advice. After fully reviewing the costs incurred by TXDOT for equipment maintenance, TBPC determined that very little, if any, savings could be realized from industry methods of reducing costs. As a result, the program was halted and further opportunities were not pursued. The Texas Procurement and Support Services (TPASS) area has reviewed equipment maintenance as part of its strategic sourcing initiative and does not foresee significant opportunities for savings. TPASS will continue to assess opportunities for contract savings, but recommends deletion of this rider since the program for which it was intended is no longer in place.</i></p>		
701	I	<p><u>Enterprise Resource Planning Projects.</u> The Comptroller of Public Accounts shall oversee the development and implementation of all Enterprise Resource Planning (ERP) projects in accordance with the Comptroller's duties pursuant to Government Code 2101.036 to adopt standards for implementation and modification of state agency ERP systems.</p>		

RIDER APPROPRIATIONS AND UNEXPENDED BALANCES REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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RIDER	STRATEGY	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
Rider # 8 Unexpended Balances Carried Forward Between Biennia (2010-11 GAA)						
02-01-01	Project receipts/disbursements; complete accounting	\$0	\$1,935,130	\$0	\$0	\$0
OBJECTS OF EXPENSE:						
1001	Salaries and Wages	\$0	\$1,560,784	\$0	\$0	\$0
1002	Other Personnel Costs	0	10,060	0	0	0
2001	Professional Fees and Services	0	63,875	0	0	0
2003	Consumable Supplies	0	91	0	0	0
2004	Utilities	0	32,138	0	0	0
2006	Rent – Building	0	36,333	0	0	0
2007	Rent – Machine and Other	0	15,341	0	0	0
2009	Other Operating Expenses	0	96,508	0	0	0
5000	Capital Expenditures	0	120,000	0	0	0
TOTAL, OBJECTS OF EXPENSE		\$0	\$1,935,130	\$0	\$0	\$0
=====						
METHOD OF FINANCING:						
0001	General Revenue Fund	\$0	\$1,935,130	\$0	\$0	\$0
TOTAL, METHOD OF FINANCING		\$0	\$1,935,130	\$0	\$0	\$0
=====						

RIDER APPROPRIATIONS AND UNEXPENDED BALANCES REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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RIDER	STRATEGY	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
Rider # 8 Unexpended Balances Carried Forward Between Biennia (2010-11 GAA)						
02-05-01	Provide statewide procurement and support services	\$0	\$1,238,051	\$0	\$0	\$0
OBJECTS OF EXPENSE:						
1001	Salaries and Wages	\$0	\$220,554	\$0	\$0	\$0
2001	Professional Fees and Services	0	777,497	0	0	0
5000	Capital Expenditures	0	240,000	0	0	0
TOTAL, OBJECTS OF EXPENSE		\$0	\$1,238,051	\$0	\$0	\$0
METHOD OF FINANCING:						
0001	General Revenue Fund	\$0	\$429,666	\$0	\$0	\$0
0666	Appropriated Receipts	0	700,123	0	0	0
0777	Interagency Contract Receipts	0	108,262	0	0	0
TOTAL, METHOD OF FINANCING		\$0	\$1,238,051	\$0	\$0	\$0
GRAND TOTAL, OBJECTS OF EXPENSE		\$0	\$3,173,181	\$0	\$0	\$0
GRAND TOTAL, METHOD OF FINANCING		\$0	\$3,173,181	\$0	\$0	\$0

DESCRIPTION/JUSTIFICATION FOR CONTINUATION OF EXISTING RIDERS OR PROPOSED NEW RIDERS:

The unexpended balances rider provides the Comptroller's office with the funding flexibility needed to invest in technology enhancements in support of the agency's core functions as well as absorb costs related to unfunded legislative mandates. Savings resulting from efficiencies or other cost savings can be carried forward as needed to ensure the continuation of high priority programs within the agency.

PREPARED BY: Robert Chapa

SUB-STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 01 Maintain state's accounting system; certify general appropriations act

STRATEGY: 01 Project receipts and disbursements; complete accounting and reporting responsibilities

SUB-STRATEGY: 01 ProjectONE

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$0	\$1,560,784	\$1,917,541	\$0	\$0
1002 Other Personnel Costs	0	10,060	13,100	0	0
2001 Professional Fees and Services	0	10,933,003	15,004,043	0	0
2003 Consumable Supplies	0	3,538	3,000	0	0
2004 Utilities	0	60,452	42,458	0	0
2006 Rent – Building	0	36,333	41,333	0	0
2007 Rent – Machine and Other	0	15,341	103,468	0	0
2009 Other Operating Expense	0	5,379,838	4,610,313	0	0
5000 Capital Expenditures	0	5,306,014	700,000	0	0
TOTAL, OBJECTS OF EXPENSE	\$0	\$23,305,363	\$22,435,256	\$0	\$0
=====					

METHOD OF FINANCING:					
0001 General Revenue Fund	\$0	\$2,364,042	\$2,224,688	\$0	\$0
0666 Appropriated Receipts	0	120,000	0	0	0
0777 Interagency Contract Receipts	0	20,821,321	20,210,568	0	0
TOTAL, METHOD OF FINANCING	\$0	\$23,305,363	\$22,435,256	\$0	\$0
=====					

FULL TIME EQUIVALENT POSITIONS:	0.0	17.2	23.0	0.0	0.0
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SUB-STRATEGY REQUEST

SUB-STRATEGY DESCRIPTION AND JUSTIFICATION:

The Comptroller's office is responsible for efficiently managing the state's fiscal affairs. To further financial and reporting uniformity, the agency is leading the effort to develop, maintain and support Enterprise Resource Planning (ERP), a statewide effort called for by legislators in House Bill 3106 of the 80th legislative session. ERP, or ProjectONE—Our New Enterprise, will create a single set of real-time books designed to reduce conflicting data and give decision makers accurate and timely financial and human resource information. The new system offers general ledger, accounts payable, accounts receivable, budgeting, payroll and several other modules to help agencies efficiently manage their operations. In 2009, the 81st Legislature appropriated funds to several state agencies to work with the Comptroller's office to develop and implement projects under ProjectONE. Current participating agencies include the Texas Department of Transportation, the Health and Human Services agencies and the Department of Information Resources, which represent more than 40 percent of the state's budget.

EXTERNAL / INTERNAL FACTORS IMPACTING SUB-STRATEGY:

The legislative process is a major external factor in determining the workload related to this strategy. Items of legislation passed each session affect the fund structure and dedication of state revenues. Agency policies, procedures and responsibilities will continue to change and workloads increase as a result of shifting functional and technical responsibilities promulgated by technological advances and standard-setting authorities, including the Governmental Accounting Standards Board and the American Institute of Certified Public Accountants.

The ongoing support of the current statewide accounting and payroll systems and all related subsystems in particular require significant staff time for training, agency support, documentation, improvements in technical design and ongoing system evaluation and testing. By establishing a common system for all these functions, ProjectONE will reduce conflicting data and give decision-makers at the division, agency and state level access to accurate and timely information. It will also reduce intensive manual effort in key business processes such as asset management and procurement while improving interdepartmental and interagency communication.

PREPARED BY: Robert Chapa

EXCEPTIONAL ITEMS REQUEST SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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ITEM NAME: ProjectONE Ongoing Maintenance and Support

ITEM PRIORITY: 1

FUNDING FOR STRATEGY: 02-01-01 Project receipts and disbursements; complete accounting and reporting responsibilities

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
2001 Professional Fees and Services	\$10,662,884	\$11,012,884
2004 Utilities	323,883	25,320
2009 Other Operating Expenses	6,078,100	6,760,622
5000 Capital Expenditures	5,000,000	0
TOTAL, OBJECTS OF EXPENSE	<u>\$22,064,867</u>	<u>\$17,798,826</u>
	=====	=====

METHOD OF FINANCING:

0001 General Revenue Fund	\$22,064,867	\$17,798,826
TOTAL, METHOD OF FINANCING	<u>\$22,064,867</u>	<u>\$17,798,826</u>
	=====	=====
FULL TIME EQUIVALENT POSITIONS:	0.0	0.0

DESCRIPTION / JUSTIFICATION:

The Comptroller's office is responsible for efficiently managing the state's fiscal affairs. To further financial and reporting uniformity, the agency is leading the effort to develop, maintain and support Enterprise Resource Planning (ERP), a statewide effort called for by legislators in House Bill 3106 of the 80th legislative session. ERP, or ProjectONE—Our New Enterprise, will create a single set of real-time books designed to reduce conflicting data and give decision makers accurate and timely financial and human resource information. The new system offers general ledger, accounts payable, accounts receivable, budgeting, payroll and several other modules to help agencies efficiently manage their operations. In 2009, the 81st Legislature appropriated funds to several state agencies to work with the Comptroller's office to develop and implement projects under ProjectONE. Current participating agencies include the Texas Department of Transportation, the Health and Human Services agencies and the Department of Information Resources.

EXTERNAL / INTERNAL FACTORS:

In order to provide critical ongoing maintenance and support to the agencies that have converted to ProjectONE, which comprise over 40 percent of the state budget, the Comptroller's office is requesting \$39.9 million in exceptional items for the fiscal 2012-13 biennium. This exceptional items request will enable the agency to provide ongoing services to support, maintain and enhance the system, including hardware, software, maintenance and disaster recovery services. ProjectONE development was funded by interagency contracts (IACs) with participating agencies. In order to better manage and support the implementation efforts completed in phase one of the project, consolidating the funding in one agency for the maintenance and support phase is consistent with similar statewide initiatives implemented by the Comptroller's office in the past, including the Uniform Statewide Accounting System and the Uniform Statewide Payroll System.

PREPARED BY: Robert Chapa

EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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ITEM NAME: ProjectONE Ongoing Maintenance and Support

ALLOCATION TO STRATEGY: 02-01-01 Project receipts and disbursements; complete accounting and reporting responsibilities

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
2001 Professional Fees and Services	\$10,662,884	\$11,012,884
2004 Utilities	323,883	25,320
2009 Other Operating Expenses	6,078,100	6,760,622
5000 Capital Expenditures	5,000,000	0
TOTAL, OBJECTS OF EXPENSE	<u>\$22,064,867</u> =====	<u>\$17,798,826</u> =====
METHOD OF FINANCING:		
0001 General Revenue Fund	\$22,064,867	\$17,798,826
TOTAL, METHOD OF FINANCING	<u>\$22,064,867</u> =====	<u>\$17,798,826</u> =====
FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
PREPARED BY: <u>Robert Chapa</u>		

EXCEPTIONAL ITEMS STRATEGY REQUEST

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 01 Maintain state's accounting system; certify general appropriations act

STRATEGY: 01 Project receipts and disbursements; complete accounting and reporting responsibilities

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
2001 Professional Fees and Services	\$10,662,884	\$11,012,884
2004 Utilities	323,883	25,320
2009 Other Operating Expenses	6,078,100	6,760,622
5000 Capital Expenditures	5,000,000	0
 TOTAL, OBJECTS OF EXPENSE	 \$22,064,867	 \$17,798,826
	=====	=====

METHOD OF FINANCING:		
0001 General Revenue Fund	\$22,064,867	\$17,798,826
 TOTAL, METHOD OF FINANCING	 \$22,064,867	 \$17,798,826
	=====	=====

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: ProjectONE Ongoing Maintenance and Support

PREPARED BY: Robert Chapa

CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2010

BUD 2011

BL 2012

BL 2013

5005 Acquisition of Information Resource Technologies

1/1 Daily Operations - Capital

OBJECTS OF EXPENSE - CAPITAL

2004 Utilities

\$2,542,664

\$2,217,542

\$2,217,542

\$2,217,542

2007 Rent - Machine and Other

8,131,975

7,890,567

7,890,567

7,890,567

5000 Capital Expenditures

30,000

0

0

0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 001

\$10,704,639

\$10,108,109

\$10,108,109

\$10,108,109

SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 001

\$10,704,639

\$10,108,109

\$10,108,109

\$10,108,109

TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund

\$10,704,639

\$10,108,109

\$10,108,109

\$10,108,109

CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 001

\$10,704,639

\$10,108,109

\$10,108,109

\$10,108,109

SUBTOTAL, TYPE OF FINANCING, PROJECT 001

\$10,704,639

\$10,108,109

\$10,108,109

\$10,108,109

CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2010

BUD 2011

BL 2012

BL 2013

5005 Acquisition of Information Resource Technologies

2/2 Public School Accountability System

OBJECTS OF EXPENSE - CAPITAL

2001 Professional Fees and Services

\$504,161

\$0

\$0

\$0

2005 Travel

900

0

0

0

2009 Other Operating Expenses

34,332

0

0

0

5000 Capital Expenditures

60,607

0

0

0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 002

\$600,000

\$0

\$0

\$0

SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 002

\$600,000

\$0

\$0

\$0

TYPE OF FINANCING - CAPITAL

CA 0777 Interagency Contract Receipts

\$600,000

\$0

\$0

\$0

CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 002

\$600,000

\$0

\$0

\$0

SUBTOTAL, TYPE OF FINANCING, PROJECT 002

\$600,000

\$0

\$0

\$0

CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2010

BUD 2011

BL 2012

BL 2013

5005 Acquisition of Information Resource Technologies

3/3 Data Warehouse

OBJECTS OF EXPENSE - CAPITAL

2003 Consumable Supplies

\$2,896

\$0

\$0

\$0

2009 Other Operating Expenditures

39,790

0

0

0

5000 Capital Expenditures

3,157,314

0

0

0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 003

\$3,200,000

\$0

\$0

\$0

SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 003

\$3,200,000

\$0

\$0

\$0

TYPE OF FINANCING - CAPITAL

CA 0369 Federal American Recovery and Reinvestment Fund

\$3,200,000

\$0

\$0

\$0

CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 003

\$3,200,000

\$0

\$0

\$0

SUBTOTAL, TYPE OF FINANCING, PROJECT 003

\$3,200,000

\$0

\$0

\$0

CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
------------------	---

CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2010

BUD 2011

BL 2012

BL 2013

5005 Acquisition of Information Resource Technologies

4/4 Data Center Consolidation

OBJECTS OF EXPENSE - CAPITAL

2001 Professional Fees and Services

\$20,000

\$0

\$0

\$0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 004

\$20,000

\$0

\$0

\$0

SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 004

\$20,000

\$0

\$0

\$0

TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund

\$10,644

\$0

\$0

\$0

CA 0666 Appropriated Receipts

8,462

0

0

0

CA 0777 Interagency Contract Receipts

894

0

0

0

CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 004

\$20,000

\$0

\$0

\$0

SUBTOTAL, TYPE OF FINANCING, PROJECT 004

\$20,000

\$0

\$0

\$0

CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304 Agency Name: Comptroller of Public Accounts

CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2010

BUD 2011

BL 2012

BL 2013

5005 Acquisition of Information Resource Technologies

5/5 ProjectONE

OBJECTS OF EXPENSE - CAPITAL

2001 Professional Fees and Services

\$10,933,003

\$15,004,043

\$0

\$0

2003 Consumable Supplies

3,538

3,000

0

0

2004 Utilities

60,452

42,458

0

0

2006 Rent - Building

36,333

41,333

0

0

2007 Rent - Machine and Other

15,341

103,468

0

0

2009 Other Operating Expenditures

5,378,467

4,610,313

0

0

5000 Capital Expenditures

5,306,014

700,000

0

0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 005

\$21,733,148

\$20,504,615

\$0

\$0

SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 005

\$21,733,148

\$20,504,615

\$0

\$0

TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund

\$791,827

\$294,047

\$0

\$0

CA 0666 Appropriated Receipts

120,000

0

0

0

CA 0777 Interagency Contract Receipts

20,821,321

20,210,568

0

0

CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 005

\$21,733,148

\$20,504,615

\$0

\$0

SUBTOTAL, TYPE OF FINANCING, PROJECT 005

\$21,733,148

\$20,504,615

\$0

\$0

CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2010

BUD 2011

BL 2012

BL 2013

5005 Acquisition of Information Resource Technologies

6/6 Impala System Replacement

OBJECTS OF EXPENSE - CAPITAL

2001 Professional Fees and Services

\$900,656

\$0

\$0

\$0

2009 Other Operating Expenditures

4,000

0

0

0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 006

\$904,656

\$0

\$0

\$0

SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 006

\$904,656

\$0

\$0

\$0

TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund

\$481,450

\$0

\$0

\$0

CA 0666 Appropriated Receipts

382,750

0

0

0

CA 0777 Interagency Contract Receipts

40,456

0

0

0

CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 006

\$904,656

\$0

\$0

\$0

SUBTOTAL, TYPE OF FINANCING, PROJECT 006

\$904,656

\$0

\$0

\$0

CAPITAL BUDGET PROJECT SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

	EST 2010	BUD 2011	BL 2012	BL 2013
Capital Subtotal, Category 5005	\$37,162,443	\$30,612,724	\$10,108,109	\$10,108,109
Informational Subtotal, Category 5005	0	0	0	0
TOTAL, CATEGORY 5005	\$37,162,443	\$30,612,724	\$10,108,109	\$10,108,109
=====				
AGENCY TOTAL - CAPITAL	\$37,162,443	\$30,612,724	\$10,108,109	\$10,108,109
AGENCY TOTAL - INFORMATIONAL	0	0	0	0
AGENCY TOTAL	\$37,162,443	\$30,612,724	\$10,108,109	\$10,108,109
=====				
METHOD OF FINANCING - CAPITAL				
0001 General Revenue Fund	\$11,988,560	\$10,402,156	\$10,108,109	\$10,108,109
0369 Federal American Recovery and Reinvestment Fund	3,200,000	0	0	0
0666 Appropriated Receipts	511,212	0	0	0
0777 Interagency Contract Receipts	21,462,671	20,210,568	0	0
TOTAL, METHOD OF FINANCING - CAPITAL	\$37,162,443	\$30,612,724	\$10,108,109	\$10,108,109
=====				
TOTAL, METHOD OF FINANCING	\$37,162,443	\$30,612,724	\$10,108,109	\$10,108,109
=====				
TYPE OF FINANCING - CAPITAL				
CA Current Appropriations	\$37,162,443	\$30,612,724	\$10,108,109	\$10,108,109
TOTAL, TYPE OF FINANCING - CAPITAL	\$37,162,443	\$30,612,724	\$10,108,109	\$10,108,109
=====				
TOTAL, TYPE OF FINANCING	\$37,162,443	\$30,612,724	\$10,108,109	\$10,108,109
=====				

CAPITAL BUDGET PROJECT INFORMATION

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME
PROJECT NUMBER / NAME

5005 Acquisition of Information Resource Technologies

001 Daily Operations - Capital

PROJECT DESCRIPTION:

Daily Operations expenditures are critical to maintaining tax, revenue, treasury, procurement and accounting functions for the Comptroller's office and the state, while serving the needs of taxpayers statewide. The project includes the estimated expenditures necessary to provide information resources operations with limited development or expansion to meet the operational mission of the agency. Any further budget reductions would have a significant impact on the agency's data center.

Number of Units / Average Unit Cost: N/A

Estimated Completion Date: Ongoing

Additional Capital Expenditure Amounts Required:

2014	2015
N/A	N/A

Type of Financing: CA Current Appropriations

Projected Useful Life: Ongoing

Estimated / Actual Project Cost: N/A

Length of Financing / Lease Period: N/A

Estimated / Actual Debt Obligation Payments: N/A

Revenue Generation / Cost Savings: N/A

Explanation: N/A

Project Location: The agency's data center operations are located in Austin, Texas.

Beneficiaries: Staff and all customers of the agency, including taxpayers, the legislature and other state agencies benefit from the agency's data center operations.

Frequency of Use and External Factors Affecting Use: The agency's data center operations are in use 24 hours a day, 7 days a week.

CAPITAL BUDGET ALLOCATION TO STRATEGIES

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / NAME PROJECT NUMBER / NAME STRATEGY NAME		GOAL OBJECTIVE STRATEGY	EST 2010	BUD 2011	BL 2012	BL 2013
5005 Acquisition of Information Resource Technologies						
001 Daily Operations - Capital						
Capital	Maintain an ongoing program of audit and verification activities	01-01-01	\$3,926,461	\$3,707,654	\$3,707,654	\$3,707,654
Capital	Improve compliance with tax laws through contact/collection program	01-02-01	1,748,067	1,650,655	1,650,655	1,650,655
Capital	Provide information to taxpayers, government officials and the public	01-03-01	880,992	831,898	831,898	831,898
Capital	Provide tax hearings; represent the agency; provide legal counsel	01-04-01	183,050	172,849	172,849	172,849
Capital	Project receipts/disbursements; complete accounting/reporting	02-01-01	1,202,131	1,135,141	1,135,141	1,135,141
Capital	Conduct property value study; provide assistance; review methods	02-02-01	492,414	464,973	464,973	464,973
Capital	Provide information and analysis to the public and private sectors	02-03-01	166,993	157,687	157,687	157,687
Capital	Ensure that the state's assets, cash receipts and warrants are secured	02-04-01	231,220	218,335	218,335	218,335
Capital	Identify state programs, services and processes for competitive bidding	02-06-01	11,775	11,118	11,118	11,118
Capital	Improve tax/voucher data processing, tax collection and disbursements	03-01-01	1,861,536	1,757,799	1,757,799	1,757,799
TOTAL, PROJECT			\$10,704,639	\$10,108,109	\$10,108,109	\$10,108,109
002 Public School Accountability System						
Capital	Provide information and analysis to the public and private sectors	02-03-01	\$600,000	\$0	\$0	\$0
TOTAL, PROJECT			\$600,000	\$0	\$0	\$0
003 Data Warehouse						
Capital	Project receipts/disbursements; complete accounting/reporting	02-01-01	\$3,200,000	\$0	\$0	\$0
TOTAL, PROJECT			\$3,200,000	\$0	\$0	\$0

CAPITAL BUDGET ALLOCATION TO STRATEGIES

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / NAME PROJECT NUMBER / NAME STRATEGY NAME		GOAL OBJECTIVE STRATEGY	EST 2010	BUD 2011	BL 2012	BL 2013
004 Data Center Consolidation						
Capital	Provide statewide procurement and support services	02-05-01	\$20,000	\$0	\$0	\$0
TOTAL, PROJECT			<u>\$20,000</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
005 ProjectONE						
Capital	Project receipts/disbursements; complete accounting/reporting	02-01-01	\$21,733,148	\$20,504,615	\$0	\$0
TOTAL, PROJECT			<u>\$21,733,148</u>	<u>\$20,504,615</u>	<u>\$0</u>	<u>\$0</u>
006 Impala Replacement						
Capital	Provide statewide procurement and support services	02-05-01	\$904,656	\$0	\$0	\$0
TOTAL, PROJECT			<u>\$904,656</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
TOTAL CAPITAL, ALL PROJECTS			\$37,162,443	\$30,612,724	\$10,108,109	\$10,108,109
TOTAL INFORMATIONAL, ALL PROJECTS			0	0	0	0
TOTAL, ALL PROJECTS			<u>\$37,162,443</u>	<u>\$30,612,724</u>	<u>\$10,108,109</u>	<u>\$10,108,109</u>

CAPITAL BUDGET PROJECT SCHEDULE - EXCEPTIONAL ITEMS

Agency Code: 304		Agency Name: Comptroller of Public Accounts	
CATEGORY CODE / CATEGORY NAME			
PROJECT NUMBER / NAME			
OOE / TOF / MOF CODE			
		EXCP 2012	EXCP 2013
5005	Acquisition of Information Resource Technologies		
5/5	ProjectONE Ongoing Maintenance and Support		
	OBJECTS OF EXPENSE - CAPITAL		
	2001 Professional Fees and Services	\$10,662,884	\$11,012,884
	2004 Utilities	323,883	25,320
	2009 Other Operating Expenses	6,078,100	6,760,622
	5000 Capital Expenditures	5,000,000	0
	SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 005	\$22,064,867	\$17,798,826
	TYPE OF FINANCING		
	CA 0001 General Revenue Fund	\$22,064,867	\$17,798,826
	SUBTOTAL, TYPE OF FINANCING, PROJECT 005	\$22,064,867	\$17,798,826
	SUBTOTAL, CATEGORY, PROJECT 005	\$22,064,867	\$17,798,826
	AGENCY TOTAL	\$22,064,867	\$17,798,826
	METHOD OF FINANCING		
	0001 General Revenue Fund	\$22,064,867	\$17,798,826
	TOTAL, METHOD OF FINANCING	\$22,064,867	\$17,798,826
	TYPE OF FINANCING		
	CA Current Appropriations	\$22,064,867	\$17,798,826
	TOTAL, TYPE OF FINANCING	\$22,064,867	\$17,798,826

CAPITAL BUDGET PROJECT INFORMATION – EXCEPTIONAL ITEMS

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME
PROJECT NUMBER / NAME

5005 Acquisition of Information Resource Technologies

005 ProjectONE

PROJECT DESCRIPTION:

The Comptroller's office is responsible for efficiently managing the state's fiscal affairs. To further financial and reporting uniformity, the agency is leading the effort to develop, maintain and support Enterprise Resource Planning (ERP), a statewide effort called for by legislators in House Bill 3106 of the 80th legislative session. ERP, or ProjectONE—Our New Enterprise, will create a single set of real-time books designed to reduce conflicting data and give decision-makers accurate and timely financial and human resource information. The new system offers general ledger, accounts payable, accounts receivable, budgeting, payroll and several other modules to help agencies efficiently manage their operations. In 2009, the 81st Legislature appropriated funds to several state agencies to work with the Comptroller's office to develop and implement projects under ProjectONE.

In order to provide critical ongoing maintenance and support to the agencies that have converted to ProjectONE, which comprise over 40 percent of the state budget, the Comptroller's office is requesting \$39.9 million in exceptional items for the fiscal 2012-13 biennium. This request will enable the agency to provide ongoing services to support, maintain and enhance the system, including hardware, software, maintenance and disaster recovery services. ProjectONE development was funded by interagency contracts (IACs) with participating agencies. In order to better manage and support the implementation efforts completed in phase one, consolidating the funding in one agency for the maintenance and support phase is consistent with similar statewide initiatives implemented by the Comptroller's office in the past, including the Uniform Statewide Accounting System and the Uniform Statewide Payroll System.

Number of Units / Average Unit Cost: N/A

Estimated Completion Date: Ongoing

Additional Capital Expenditure Amounts Required:

2014	2015
\$18,618,418	\$20,133,117

Type of Financing: CA Current Appropriations

Projected Useful Life: Ongoing

Estimated / Actual Project Cost: N/A

Length of Financing / Lease Period: N/A

Estimated / Actual Debt Obligation Payments: N/A

Revenue Generation / Cost Savings: N/A

Explanation: N/A

Project Location: The agency's data center operations are located in Austin, Texas.

Beneficiaries: State agencies, the legislature and taxpayers will benefit from ProjectONE.

Frequency of Use and External Factors Affecting Use: ProjectONE will create a single set of real-time books for decision-makers to use daily.

CAPITAL BUDGET ALLOCATION TO STRATEGIES - EXCEPTIONAL ITEMS

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / NAME		GOAL		
PROJECT NUMBER / NAME		OBJECTIVE		
STRATEGY NAME		STRATEGY	EXCP 2012	EXCP 2013
5005	Acquisition of Information Resource Technologies			
	005 ProjectONE Ongoing Maintenance and Support			
Capital	Project receipts/disbursements; complete accounting/reporting	02-01-01	\$22,064,867	\$17,798,826
	TOTAL, PROJECT		<u>\$22,064,867</u>	<u>\$17,798,826</u>
	TOTAL CAPITAL, ALL PROJECTS		\$22,064,867	\$17,798,826
	TOTAL INFORMATIONAL, ALL PROJECTS		0	0
	TOTAL, ALL PROJECTS		<u>\$22,064,867</u>	<u>\$17,798,826</u>

HISTORICALLY UNDERUTILIZED BUSINESS (HUB) SUPPORTING SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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Comparison to Statewide HUB Procurement Goals

A. Fiscal Year 2008-09 HUB Expenditure Information

Statewide HUB Goals	Procurement Category	HUB Expenditures FY 2008				Total Expenditures FY 2008	HUB Expenditures FY 2009				Total Expenditures FY 2009
		% Goal	% Actual	Difference	Actual \$		% Goal	% Actual	Difference	Actual \$	
11.9%	Heavy Construction	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
26.1%	Building Construction	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
57.2%	Special Trade Construction	57.2%	73.7%	16.5%	\$38,060	\$51,632	57.2%	8.0%	-49.2%	\$8,495	\$106,062
20.0%	Professional Services	20.0%	0.0%	-20.0%	\$0	\$0	20.0%	0.0%	-20.0%	\$0	\$0
33.0%	Other Services	33.0%	33.3%	0.3%	\$7,591,686	\$22,785,188	33.0%	32.4%	-0.6%	\$7,954,505	\$24,514,343
12.6%	Commodities	12.6%	9.2%	-3.4%	\$1,320,052	\$14,403,200	12.6%	14.3%	1.7%	\$1,849,323	\$12,889,514
Total Expenditures			24.0%		\$8,949,798	\$37,240,020		26.2%		\$9,812,323	\$37,509,919

B. Assessment of Fiscal Year 2008-09 Efforts to Meet HUB Procurement Goals

Attainment:

During fiscal 2008, the agency attained or exceeded statewide HUB procurement goals in two of four categories. In fiscal 2009, the agency attained or exceeded HUB procurement goals in one of four categories.

Applicability:

The "Heavy Construction" and "Building Construction" categories were not applicable to agency operations in either fiscal 2008 or fiscal 2009 since the agency did not have any strategies or programs related to construction.

Factors Affecting Attainment:

Several contracts were awarded in the "Commodities" category to non-HUB prime contractors primarily for large information technology related systems and products. In fiscal 2008, a total of 9.2 percent was expended with HUBs and a total of 14.3 percent was expended in fiscal 2009. In the "Other Services" category, numerous large dollar contracts were awarded to vendors who provided computer programming, computer maintenance and reproduction and printing services. In fiscal 2008, a total of 33.3 percent was expended with HUBs and a total of 32.4 percent was expended in fiscal 2009. In fiscal 2008 and fiscal 2009, there were no expenditures in the "Professional Services" category. In the "Special Trade" category, contracts were competitively bid for electrical and cabling services. In fiscal 2008, a total of 73.7 percent was expended with HUBs. A total of 8.0 percent was expended in fiscal 2009.

HISTORICALLY UNDERUTILIZED BUSINESS (HUB) SUPPORTING SCHEDULE

Agency Code: 304

Agency Name: Comptroller of Public Accounts

"Good Faith" Efforts:

The agency made the following good faith efforts to promote and comply with statewide HUB procurement goals:

- Aggressively promoted the Texas HUB Certification program to non-certified HUB vendors.
- Included a HUB Subcontracting Plan with all formal solicitations of \$25,000 and greater to increase subcontracting opportunities with HUBs.
- Worked directly with agency purchasers, the general counsel and division end-users to review pre-solicitation documents and identify HUB subcontracting opportunities.
- Participated in HUB Discussion Workgroup meetings to promote an effective statewide HUB Program through education, networking and proactive feedback. Also participated as a member of the HUB Discussion Workgroup Outreach subcommittee.
- Participated as a member of the Greater Austin Hispanic Chamber of Commerce Business Capital and Procurement Committee in planning HUB/minority business outreach programs.
- Invited 20 vendors in fiscal 2008 and 15 vendors in fiscal 2009 to promote their products and services to purchasing staff and agency end-users.
- Participated in 25 Economic Opportunity Forums during fiscal 2008 and 25 in fiscal 2009; provided one-on-one assistance to HUB vendors on doing business with the Comptroller's office; and encouraged vendors to seek Texas HUB Certification. Co-hosted several Economic Opportunity Forums.
- Presented HUB training and information sessions to purchasing staff, purchasing liaisons and general counsel staff to promote the HUB program and increase HUB utilization.
- Placed HUB recruitment advertisements in various minority news media publications to promote HUB participation in agency procurements.
- Monitored the agency's Mentor Protégé's sponsorship agreements, which provide professional guidance and support to the protégé/HUB in order to facilitate their growth and development and increase HUB contracts and subcontracts with the state of Texas.
- Maintained a HUB toll-free line (1-800-991-BIDS), HUB e-mail address (cpa.hub@cpa.state.tx.us) and HUB website at www.window.state.tx.us/ssv to provide vendors with assistance and up-to-date information regarding contracting opportunities, etc.

PREPARED BY: Robert Chapa

FEDERAL FUNDS SUPPORTING SCHEDULE

Agency Code: 304 Agency Name: Comptroller of Public Accounts

CFDA NUMBER / STRATEGY	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
20.240.000 Fuels Tax Evasion Intergovernmental Enforcement Effort					
01-01-01 Maintain an ongoing program of audit and verification activities	\$10,000	\$0	\$0	\$0	\$0
TOTAL, ALL STRATEGIES	\$10,000	\$0	\$0	\$0	\$0
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$10,000	\$0	\$0	\$0	\$0
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0
84.397.000 Stabilization – Government Services – Stimulus					
02-01-01 Project receipts and disbursements; complete accounting and reporting	\$122,980	\$3,743,017	\$566,622	\$0	\$0
TOTAL, ALL STRATEGIES	\$122,980	\$3,743,017	\$566,622	\$0	\$0
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$122,980	\$3,743,017	\$566,622	\$0	\$0
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0
SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS					
20.240.000 Fuels Tax Evasion Intergovernmental Enforcement Effort	\$10,000	\$0	\$0	\$0	\$0
84.397.000 Stabilization – Government Services – Stimulus	122,980	3,743,017	566,622	0	0
TOTAL, ALL STRATEGIES	\$132,980	\$3,743,017	\$566,622	\$0	\$0
TOTAL, ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$132,980	\$3,743,017	\$566,622	\$0	\$0
TOTAL, ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0

FEDERAL FUNDS SUPPORTING SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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ASSUMPTIONS AND METHODOLOGY

In fiscal 2009, the Criminal Investigations area received \$10,000 in federal funds from the Federal Highway Administration (FHWA) for participation in a joint fuels tax evasion project to increase intergovernmental activities and enforcement efforts among public agencies to reduce Federal fuels tax evasion. Funds were to be used only to expand or enhance intergovernmental efforts to increase motor fuels tax enforcement and payments; to supplement motor fuels tax examinations and criminal investigations; and to increase research and training in the area of Federal fuels tax evasion.

The Comptroller's office also received funding through the American Recovery and Reinvestment Act (ARRA) for oversight of the more than \$14.4 billion in stimulus funds received by the state of Texas for the three-year period beginning in 2009.

POTENTIAL LOSS OF FEDERAL FUNDS

FHWA funds were made available through 2009. ARRA funds are available through fiscal 2011.

FEDERAL FUNDS TRACKING SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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FEDERAL FY	AWARD AMOUNT	EXPENDED SFY 2009	BUDGETED SFY 2010	ESTIMATED SFY 2011	TOTAL	DIFFERENCE FROM AWARD
84.397.000 Stabilization – Government Services - Stimulus						
2009	\$4,432,619	\$122,980	\$3,743,017	\$566,622	\$4,432,619	\$0
TOTAL		\$122,980	\$3,743,017	\$566,622	\$4,432,619	\$0
=====						
EMPLOYEE BENEFITS PAYMENTS (*)		\$14,057	\$93,440	\$99,726	\$207,223	
=====						

(*) Employee Benefits paid with federal funds are a subset of the total amounts above.

ESTIMATED TOTAL OF ALL FUNDS OUTSIDE THE GENERAL APPROPRIATIONS ACT BILL PATTERN SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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Estimated Grand Total of Agency Funds Outside the 2012-13 General Appropriations Act Bill Pattern:

\$2,168,700,523

0892 – Texas Tomorrow Constitutional Trust Fund

Estimated Beginning Balance in Fiscal Year 2010	\$1,808,884,867
Estimated Revenues – Fiscal 2010	167,053,714
Estimated Revenues – Fiscal 2011	233,058,625
Fiscal 2010-11 Biennial Total	<u>\$2,208,997,206</u> =====
Estimated Beginning Balance in Fiscal Year 2012	\$1,696,457,119
Estimated Revenues – Fiscal 2012	233,344,562
Estimated Revenues – Fiscal 2013	238,898,842
Fiscal 2012-13 Biennial Total	<u>\$2,168,700,523</u> =====

Estimated Expenditures for the 2012-13 Biennium: \$510,127,580

CONSTITUTIONAL OR STATUTORY CREATION AND USE OF FUNDS:

Tex. Educ. Code Ann. §54.634(a) establishes the Texas Tomorrow Constitutional Trust Fund, which consists of state appropriations, money acquired from other governmental or private sources, money paid under prepaid tuition contracts and the income from money deposited in the fund. Tex. Educ. Code Ann. § 54.637 provides that the fund's assets may only be used to pay the costs of program administration and operations, make payments to institutions of higher education or private or independent institutions of higher education on behalf of beneficiaries and make refunds under prepaid tuition contracts. Tex. Educ. Code Ann. § 54.703(b) establishes a trust for the Higher Education Savings Plan, which consists of contributions and earnings of plan participant's savings trust accounts. Tex. Educ. Code Ann. § 54.707(a) provides that individuals may open a savings trust account to save money for the payment of the qualified higher education expenses of a beneficiary. Tex. Educ. Code Ann. § 54.764 establishes the Texas Tomorrow Fund II prepaid tuition unit undergraduate education program fund as a trust fund outside of the state treasury for deposits and earnings under prepaid tuition contracts. Tex. Educ. Code Ann. § 54.767 provides that the fund's assets may only be used to pay the costs of program administration and operations, make payments to general academic teaching institutions, two-year institutions of higher education, private or independent institutions of higher education, career schools, and accredited out-of-state institutions of higher education on behalf of beneficiaries and make refunds under prepaid tuition contracts.

Legal Citation:

Tex. Educ. Code Ann., Subchapters F, G and H establish the Texas Prepaid Higher Education Tuition Program, the Higher Education Savings Plan and the Prepaid Tuition Unit Undergraduate Education Program, respectively. Tex. Educ. Code Ann. §§ 54.634(a), 54.703(b), 54.764(a) create trust funds to be used to administer the plans.

ESTIMATED TOTAL OF ALL FUNDS OUTSIDE THE GENERAL APPROPRIATIONS ACT BILL PATTERN SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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METHOD OF CALCULATION AND REVENUE ASSUMPTIONS:

1. The estimated payments to colleges for each Texas Guaranteed Tuition Plan type (senior, junior and private) are based on the percentage that each type represents of all contracts sold.
2. The estimated financial activity for fiscal 2011 for each Texas Guaranteed Tuition Plan type is based on the weighted average tuition rate increases for Fall 2010 and the fiscal 2010 budget approved by the Texas Prepaid Higher Education Tuition Board (Board).
3. The estimated financial activity for fiscal years 2012 and 2013 for each Texas Guaranteed Tuition Plan type was based on the weighted average tuition rate increases for Fall 2010, the fiscal 2011 budget pending approval by the Board, the Board's adopted actuarial assumptions for fiscal 2010 and a 5 percent rate of return on investments.
4. College savings plan and Texas Tuition Promise Fund activity is projected to grow at a rate of 5 percent per year.

TEN PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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Reduction Target: **\$44,333,090**

Priority	Strategy/Reduction Item	Revenue Loss			Reduction Amount		
		2012	2013	Biennial Total	2012	2013	Biennial Total

1 Other Operating Expenses

Category: Programs – Service Reductions (Other)

Item Comment: The agency would be forced to reduce approximately \$5.1 million in General Revenue (GR) operating expenses in 2012-13. The majority of cuts would be in the Information Technology area for contract programmers and systems support, which would greatly increase the probability of critical systems failure. Previous investments in new technologies would be lost without the ability to maintain and expand programs. Current and planned projects requiring significant software development resources would be delayed or cancelled, including the modernization of tax, treasury, unclaimed property and procurement systems and the development of ProjectONE. The agency would be unable to proceed to the next phase of the technology modernization initiative, which would focus on delinquent tax collection and audit functions to ensure all money owed to the state is collected timely. A reduction in funding for travel would result in fewer enforcement compliance activities such as special event canvassing, cold stops and fuels/cigarette tax investigations. When tax laws change, the agency develops rules and bulletins to help taxpayers understand and comply with those laws. Reduced funding for printing and postage would impede the agency's ability to communicate effectively and efficiently with taxpayers, eventually resulting in an increase in taxpayer reporting errors, negative tax filing patterns and a significant decrease in voluntary taxpayer compliance. Services from temporary agencies needed during peak tax times would be severely reduced, possibly causing the annual franchise tax peak that occurs in May to extend for an additional month. The reduction would also negatively impact the Methods and Assistance Program review process enacted by the 81st Legislature. Funding for consultants would no longer be available to assist the agency's Property Tax area in reviewing one-half of the county appraisal districts each year to ensure uniformity and accountability.

1-2-1 Improve Compliance with Tax Laws	\$0	\$0	\$0	\$466,082	\$466,082	\$932,164
1-3-1 Provide Information to Taxpayers	\$0	\$0	\$0	\$524,081	\$524,081	\$1,048,162
1-4-1 Provide Tax Hearings	\$0	\$0	\$0	\$51,535	\$51,535	\$103,070
2-1-1 Project Receipts/Disbursements; Accounting	\$0	\$0	\$0	\$385,935	\$385,935	\$771,870
2-2-1 Conduct Property Value Study	\$0	\$0	\$0	\$231,293	\$231,293	\$462,586
2-3-1 Provide Information/Analysis to the Public	\$0	\$0	\$0	\$47,029	\$47,029	\$94,058
3-1-1 Improve Tax/Voucher Data Processing	\$0	\$0	\$0	\$858,779	\$858,779	\$1,717,558

METHOD OF FINANCING:

0001 General Revenue Fund	\$0	\$0	\$0	\$2,564,734	\$2,564,734	\$5,129,468
TOTAL, METHOD OF FINANCING	\$0	\$0	\$0	\$2,564,734	\$2,564,734	\$5,129,468
ITEM TOTAL	\$0	\$0	\$0	\$2,564,734	\$2,564,734	\$5,129,468

FTE REDUCTIONS (From FY 2012 and FY 2013 Base Request) 0.0 0.0 0.0

TEN PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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Reduction Target: **\$44,333,090**

Priority	Strategy/Reduction Item	Revenue Loss			Reduction Amount		
		2012	2013	Biennial Total	2012	2013	Biennial Total

2 Salaries and Related Expenses

Category: Programs – Service Reductions (FTEs – Hiring Freeze)

Item Comment: To reduce approximately \$17 million in GR, the agency would be forced to cut staffing through attrition by 155 FTEs. A loss of 56 FTEs in Enforcement would severely impact delinquent tax collections and result in the loss of over \$176 million in potential tax revenue over the biennium. With delinquent sales tax collections declining and delinquent collection assignments increasing, reductions in staff and the associated revenue losses would further burden state and local budgets. This reduction would result in delinquent cases aging longer, increasing the time required to close a delinquent account by 13 days. The amount of time available for collection efforts would be reduced and 30 fewer cases per collector would be closed. Approximately 18 fewer taxpayer seminars would be provided. A reduction of 19 FTEs in the Tax Policy area would affect the agency's ability to provide accurate, consistent and timely tax information to taxpayers, legislators and other state agencies. The average time taken to respond to taxpayer correspondence would increase over 28 percent in fiscal 2012 and 56 percent in fiscal 2013. A reduction of 11 FTEs in the Property Tax area would impede the agency's ability to conduct the legislatively mandated school district Property Value Study (PVS) to determine the level of property tax wealth in each school district for state funding purposes. A loss of staff would result in reducing the number of properties included in the PVS from 85,000 to 60,000. Fewer samples in the study means greater variability and less accurate findings and a stronger possibility school districts would receive a local value assignment, thus increasing the amount of state funding to schools. A loss of 26 staff in the Fiscal Management area would potentially compromise the timeliness and accuracy of the state's *Comprehensive Annual Financial Report* and the *Annual Cash Report*. In addition, staff loss would impact support of the state's financial and payroll systems.

1-2-1 Improve Compliance with Tax Laws	\$44,100,000	\$132,300,000	\$176,400,000	\$2,855,532	\$2,855,532	\$5,711,064
1-3-1 Provide Information to Taxpayers	\$0	\$0	\$0	\$1,212,339	\$1,212,339	\$2,424,678
1-4-1 Provide Tax Hearings	\$0	\$0	\$0	\$759,209	\$759,209	\$1,518,418
2-1-1 Project Receipts/Disbursements; Accounting	\$0	\$0	\$0	\$1,967,780	\$1,967,780	\$3,935,560
2-2-1 Conduct Property Value Study	\$0	\$0	\$0	\$740,732	\$740,732	\$1,481,464
2-3-1 Provide Information/Analysis to the Public	\$0	\$0	\$0	\$652,150	\$652,150	\$1,304,300
2-5-1 Procurement	\$0	\$0	\$0	\$376,368	\$376,368	\$752,736

METHOD OF FINANCING:

0001 General Revenue Fund	\$44,100,000	\$132,300,000	\$176,400,000	\$8,564,110	\$8,564,110	\$17,128,220
TOTAL, METHOD OF FINANCING	\$44,100,000	\$132,300,000	\$176,400,000	\$8,564,110	\$8,564,110	\$17,128,220
ITEM TOTAL	\$44,100,000	\$132,300,000	\$176,400,000	\$8,564,110	\$8,564,110	\$17,128,220

FTE REDUCTIONS (From FY 2012 and FY 2013 Base Request) 155.0 155.0 155.0

TEN PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE

Agency Code: 304 Agency Name: Comptroller of Public Accounts

Reduction Target: **\$44,333,090**

Priority	Strategy/Reduction Item	Revenue Loss			Reduction Amount		
		2012	2013	Biennial Total	2012	2013	Biennial Total

3 Other Operating Expenses

Category: Programs – Service Reductions (Other)

Item Comment: The agency would be forced to reduce approximately \$2.2 million in GR operating expenses in 2012-13 primarily for contract programmers and systems support in the Information Technology area. A loss of these resources would have a significant impact to the ongoing support and maintenance of Audit's programs and systems including ADS, AWM, CATS, P&I, RITS, data mining and predictive models used to score and rank taxpayers. Technology has helped make the audit process more efficient, minimizing workload burdens on auditors and acting as a "force multiplier," allowing limited staff to keep average hours per audit at a minimum, maximizing audit completions and coverage per auditor. The loss of these resources would have a direct impact on the agency's ability to conduct due tax assessments. A reduction in Audit's travel would also result in limiting the agency's ability to improve voluntary taxpayer compliance levels through the audit process by limiting the agency's ability to maintain a strong audit presence among the taxpaying population. In the Treasury area, a loss of these resources would have a negative impact on the agency's ability to maintain and support current Treasury systems and upgrades. Contractors have been instrumental in programming the Treasury systems, which process, track and collateralize all of the monies flowing into and out of the treasury. Contractors also played a key role in assisting the agency with fulfilling legislative mandates such as those under Senate Bill 638, as passed by the 81st Legislature, Regular Session (2009), which requires the Comptroller to establish a program for centralized pooled collateralization of deposits of public funds as an alternative to collateralization and for monitoring collateral maintained by financial institutions participating in the program. Contractors assisted in the complete rewrite of the current collateral system.

1-1-1 Maintain Audit and Verification Activities	\$0	\$0	\$0	\$1,050,232	\$1,050,232	\$2,100,464
2-4-1 Ensure State's Assets are Secured	\$0	\$0	\$0	\$61,643	\$61,643	\$123,286

METHOD OF FINANCING:

0001 General Revenue Fund	\$0	\$0	\$0	\$1,111,875	\$1,111,875	\$2,223,750
TOTAL, METHOD OF FINANCING	\$0	\$0	\$0	\$1,111,875	\$1,111,875	\$2,223,750
ITEM TOTAL	\$0	\$0	\$0	\$1,111,875	\$1,111,875	\$2,223,750

FTE REDUCTIONS (From FY 2012 and FY 2013 Base Request) 0.0 0.0 0.0

TEN PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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Reduction Target: **\$44,333,090**

Priority	Strategy/Reduction Item	Revenue Loss			Reduction Amount		
		2012	2013	Biennial Total	2012	2013	Biennial Total

4 Salaries and Related Expenses

Category: Programs – Service Reductions (FTEs – Hiring Freeze)

Item Comment: To reduce approximately \$20 million in GR, the agency would be forced to reduce staffing through attrition by 160 FTEs in 2012-13. A loss of 85 FTEs in the Audit area would severely impact the agency's ability to conduct tax due assessments. Audit completions would decline by 5,084 audits, resulting in a loss of an estimated \$100 million in projected tax revenue over the 2012-13 biennium. With reduced staffing, audit coverage is projected to drop from approximately 0.60 percent to 0.49 percent in FY2012-13. Reduced audit activity eventually results in decreased levels of voluntary taxpayer compliance. A reduction in staff would also impact the agency's ability to carry out legislative mandates such as those in House Bill 3, as passed by the 79th Legislature, 3rd Called Session (2006), which required revisions to the state's franchise tax. Audit coverage of this more complex tax would decline. A loss of 51 FTEs in the Revenue Administration area would impede the timely processing of all tax payments within three days of receipt (as required by statute), resulting in a loss of interest to the state. There would also be an estimated average 17 percent increase in the time required to generate taxpayer refunds, resulting in an increase in credit interest paid by the state. In addition, the time it takes to return allocations to local jurisdictions would increase from 21 to 28 days in order to reflect the additional one week required to process all sales tax return data and money for each monthly allocation. A reduction of 6 FTEs in the Treasury area would result in delays in processing state funds and negatively impact investment income earnings. At today's interest rates, with an average daily deposit of approximately \$478 million, a processing delay of only one day would cost the state millions in lost interest over the biennium.

1-1-1 Maintain Audit and Verification Activities	\$24,024,000	\$75,803,000	\$99,827,000	\$6,728,432	\$6,728,432	\$13,456,864
2-4-1 Ensure State's Assets are Secured	\$0	\$0	\$0	\$376,005	\$376,005	\$752,010
3-1-1 Improve Tax/Voucher Data Processing	\$0	\$0	\$0	\$2,821,389	\$2,821,389	\$5,642,778
METHOD OF FINANCING:						
0001 General Revenue Fund	\$0	\$0	\$0	\$9,925,826	\$9,925,826	\$19,851,652
TOTAL, METHOD OF FINANCING	\$24,024,000	\$75,803,000	\$99,827,000	\$9,925,826	\$9,925,826	\$19,851,652
ITEM TOTAL	\$24,024,000	\$75,803,000	\$99,827,000	\$9,925,826	\$9,925,826	\$19,851,652

FTE REDUCTIONS (From FY 2012 and FY 2013 Base Request)	160.0	160.0	160.0
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TEN PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE

Agency Code: 304	Agency Name: Comptroller of Public Accounts
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Reduction Target: **\$44,333,090**

Priority	Strategy/Reduction Item	Revenue Loss			Reduction Amount		
		2012	2013	Biennial Total	2012	2013	Biennial Total
AGENCY TOTALS:							
	General Revenue Funds	\$68,124,000	\$208,103,000	\$276,227,000	\$22,166,545	\$22,166,545	\$44,333,090
	General Revenue Funds – Dedicated	\$68,124,000	\$208,103,000	\$276,227,000	0	\$0	\$0
AGENCY GRAND TOTAL		\$68,124,000	\$208,103,000	\$276,227,000	\$22,166,545	\$22,166,545	\$44,333,090
AGENCY FTE REDUCTIONS					315.0	315.0	315.0

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-03, Income-A.2, Age-B.3	
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 01 Increase accuracy/number of audits and improve assessments from audits

STRATEGY: 01 Maintain an ongoing program of audit and verification activities

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$11,952,366	\$11,871,973	\$11,805,479	\$11,805,479	\$11,805,479
1002 Other Personnel Costs	426,453	386,727	290,157	290,157	290,157
2001 Professional Fees and Services	3,592,775	3,107,546	2,611,813	2,566,440	2,566,440
2002 Fuels and Lubricants	3,199	5,041	3,998	3,998	3,998
2003 Consumable Supplies	182,706	437,632	447,713	407,532	407,532
2004 Utilities	1,243,821	1,028,581	874,149	873,894	873,894
2005 Travel	30,277	43,361	44,560	28,499	28,499
2006 Rent – Building	168,514	170,512	172,320	172,008	172,008
2007 Rent – Machine and Other	2,737,223	3,236,165	3,133,464	3,130,210	3,130,210
2009 Other Operating Expense	5,018,656	4,283,381	4,371,403	4,240,588	4,240,588
5000 Capital Expenditures	26,668	11,004	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$25,382,658	\$24,581,923	\$23,755,056	\$23,518,805	\$23,518,805
METHOD OF FINANCING:					
0001 General Revenue Fund	\$25,356,088	\$24,547,579	\$23,721,063	\$23,484,812	\$23,484,812
0666 Appropriated Receipts	8,219	34,344	33,993	33,993	33,993
0777 Interagency Contract Receipts	18,351	0	0	0	0
TOTAL, METHOD OF FINANCING	\$25,382,658	\$24,581,923	\$23,755,056	\$23,518,805	\$23,518,805
FULL TIME EQUIVALENT POSITIONS:	176.6	172.3	182.7	182.7	182.7

METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-03, Income-A.2, Age-B.3	
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 02 Achieve average account closure rates, ratios and turnaround times

STRATEGY: 01 Improve compliance with tax laws through contact and collection program

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$5,320,163	\$5,284,377	\$5,254,781	\$5,254,781	\$5,254,781
1002 Other Personnel Costs	189,814	172,130	129,150	129,150	129,150
2001 Professional Fees and Services	1,599,420	1,383,474	1,162,771	1,142,574	1,142,574
2002 Fuels and Lubricants	1,423	2,243	1,779	1,779	1,779
2003 Consumable Supplies	81,317	194,723	199,207	181,329	181,329
2004 Utilities	553,745	457,920	389,165	389,052	389,052
2005 Travel	13,475	19,298	19,832	12,684	12,684
2006 Rent – Building	74,977	75,866	76,671	76,531	76,531
2007 Rent – Machine and Other	1,218,553	1,440,681	1,394,961	1,393,514	1,393,514
2009 Other Operating Expense	2,234,113	1,906,734	1,945,941	1,887,722	1,887,722
5000 Capital Expenditures	11,873	4,899	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$11,298,873	\$10,942,345	\$10,574,258	\$10,469,116	\$10,469,116

METHOD OF FINANCING:

0001 General Revenue Fund	\$11,287,036	\$10,927,047	\$10,559,115	\$10,453,973	\$10,453,973
0666 Appropriated Receipts	3,662	15,298	15,143	15,143	15,143
0777 Interagency Contract Receipts	8,175	0	0	0	0
TOTAL, METHOD OF FINANCING	\$11,298,873	\$10,942,345	\$10,574,258	\$10,469,116	\$10,469,116

FULL TIME EQUIVALENT POSITIONS:	78.6	76.7	81.3	81.3	81.3
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-05, Income-A.2, Age-B.3	
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 03 Improve taxpayer ratings of accuracy and speed of information disseminated

STRATEGY: 01 Provide information to taxpayers, government officials and the public

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$2,680,542	\$2,662,511	\$2,647,600	\$2,647,600	\$2,647,600
1002 Other Personnel Costs	95,632	86,722	65,069	65,069	65,069
2001 Professional Fees and Services	806,015	697,236	586,005	575,828	575,828
2002 Fuels and Lubricants	717	1,130	896	896	896
2003 Consumable Supplies	40,966	98,060	100,318	91,314	91,314
2004 Utilities	279,073	230,777	196,127	196,069	196,069
2005 Travel	6,788	9,722	9,990	6,389	6,389
2006 Rent – Building	37,756	38,204	38,609	38,539	38,539
2007 Rent – Machine and Other	614,083	726,030	702,991	702,262	702,262
2009 Other Operating Expense	1,125,812	960,797	980,571	951,243	951,243
5000 Capital Expenditures	5,984	2,469	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$5,693,368	\$5,513,658	\$5,328,176	\$5,275,209	\$5,275,209
METHOD OF FINANCING:					
0001 General Revenue Fund	\$5,687,397	\$5,505,940	\$5,320,536	\$5,267,569	\$5,267,569
0666 Appropriated Receipts	1,846	7,718	7,640	7,640	7,640
0777 Interagency Contract Receipts	4,125	0	0	0	0
TOTAL, METHOD OF FINANCING	\$5,693,368	\$5,513,658	\$5,328,176	\$5,275,209	\$5,275,209
FULL TIME EQUIVALENT POSITIONS:	39.6	38.6	40.9	40.9	40.9

METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-01	Service Categories: Service-01, Income-A.2, Age-B.3	
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GOAL: 01 To improve voluntary compliance with tax laws

OBJECTIVE: 04 Provide fair and timely hearings and position letters

STRATEGY: 01 Provide tax hearings/represent the agency/provide legal counsel

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
1001 Salaries and Wages	\$845,346	\$840,202	\$835,048	\$835,048	\$835,048
1002 Other Personnel Costs	31,990	29,275	21,454	21,454	21,454
2001 Professional Fees and Services	192,097	147,840	125,193	122,416	122,416
2002 Fuels and Lubricants	393	619	491	491	491
2003 Consumable Supplies	15,017	51,045	52,535	47,868	47,868
2004 Utilities	59,606	49,900	42,759	42,744	42,744
2005 Travel	2,645	3,646	3,913	2,522	2,522
2006 Rent – Building	20,359	20,605	20,827	20,788	20,788
2007 Rent – Machine and Other	145,096	168,883	163,226	162,827	162,827
2009 Other Operating Expense	289,182	263,890	262,506	251,005	251,005
5000 Capital Expenditures	1,243	513	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$1,602,974	\$1,576,418	\$1,527,952	\$1,507,163	\$1,507,163
=====					
METHOD OF FINANCING:					
0001 General Revenue Fund	\$1,601,543	\$1,574,074	\$1,525,651	\$1,504,862	\$1,504,862
0666 Appropriated Receipts	575	2,344	2,301	2,301	2,301
0777 Interagency Contract Receipts	856	0	0	0	0
TOTAL, METHOD OF FINANCING	\$1,602,974	\$1,576,418	\$1,527,952	\$1,507,163	\$1,507,163
=====					
FULL TIME EQUIVALENT POSITIONS:	13.0	16.2	17.2	17.2	17.2

METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3	
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 01 Maintain state's accounting system; certify general appropriations act

STRATEGY: 01 Project receipts and disbursements; complete accounting and reporting responsibilities

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$3,658,784	\$3,634,173	\$3,613,820	\$3,613,820	\$3,613,820
1002 Other Personnel Costs	130,540	118,379	88,819	88,819	88,819
2001 Professional Fees and Services	1,099,920	951,405	799,630	785,739	785,739
2002 Fuels and Lubricants	979	1,543	1,224	1,224	1,224
2003 Consumable Supplies	55,925	133,926	137,010	124,714	124,714
2004 Utilities	380,807	314,908	267,626	267,549	267,549
2005 Travel	9,267	13,272	13,639	8,723	8,723
2006 Rent – Building	51,568	52,179	52,733	52,637	52,637
2007 Rent – Machine and Other	837,998	990,753	959,312	958,316	958,316
2009 Other Operating Expense	1,536,409	1,311,279	1,338,239	1,298,199	1,298,199
5000 Capital Expenditures	8,165	3,369	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$7,770,362	\$7,525,186	\$7,272,052	\$7,199,740	\$7,199,740
=====					

METHOD OF FINANCING:

0001 General Revenue Fund	\$7,762,217	\$7,514,660	\$7,261,632	\$7,189,320	\$7,189,320
0666 Appropriated Receipts	2,520	10,526	10,420	10,420	10,420
0777 Interagency Contract Receipts	5,625	0	0	0	0
TOTAL, METHOD OF FINANCING	\$7,770,362	\$7,525,186	\$7,272,052	\$7,199,740	\$7,199,740
=====					
FULL TIME EQUIVALENT POSITIONS:	54.1	52.7	55.9	55.9	55.9

METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3	
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 02 Ensure the effectiveness of the property value study

STRATEGY: 01 Conduct property value study; provide assistance; review methods

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
1001 Salaries and Wages	\$1,498,883	\$1,488,801	\$1,480,462	\$1,480,462	\$1,480,462
1002 Other Personnel Costs	53,479	48,497	36,387	36,387	36,387
2001 Professional Fees and Services	450,562	389,714	327,544	321,854	321,854
2002 Fuels and Lubricants	401	632	501	501	501
2003 Consumable Supplies	22,912	54,878	56,142	51,103	51,103
2004 Utilities	155,986	128,993	109,626	109,594	109,594
2005 Travel	3,797	5,438	5,588	3,574	3,574
2006 Rent – Building	21,131	21,382	21,608	21,569	21,569
2007 Rent – Machine and Other	343,269	405,841	392,961	392,553	392,553
2009 Other Operating Expense	629,374	537,163	548,203	531,798	531,798
5000 Capital Expenditures	3,344	1,380	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$3,183,138	\$3,082,719	\$2,979,022	\$2,949,395	\$2,949,395

METHOD OF FINANCING:

0001 General Revenue Fund	\$3,179,805	\$3,078,406	\$2,974,752	\$2,945,125	\$2,945,125
0666 Appropriated Receipts	1,032	4,313	4,270	4,270	4,270
0777 Interagency Contract Receipts	2,301	0	0	0	0
TOTAL, METHOD OF FINANCING	\$3,183,138	\$3,082,719	\$2,979,022	\$2,949,395	\$2,949,395
FULL TIME EQUIVALENT POSITIONS:	22.1	21.6	22.9	22.9	22.9

METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-02, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 03 Identify/develop research to promote understanding of fiscal issues

STRATEGY: 01 Provide information and analysis to the public and private sectors

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
1001 Salaries and Wages	\$772,777	\$768,076	\$763,363	\$763,363	\$763,363
1002 Other Personnel Costs	29,250	26,769	19,616	19,616	19,616
2001 Professional Fees and Services	175,382	134,888	114,230	111,693	111,693
2002 Fuels and Lubricants	360	567	450	450	450
2003 Consumable Supplies	13,736	46,736	48,101	43,828	43,828
2004 Utilities	54,386	45,533	39,019	39,006	39,006
2005 Travel	2,419	3,335	3,580	2,307	2,307
2006 Rent – Building	18,642	18,867	19,070	19,035	19,035
2007 Rent – Machine and Other	132,464	154,168	149,003	148,637	148,637
2009 Other Operating Expense	264,119	241,095	239,802	229,280	229,280
5000 Capital Expenditures	1,134	468	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$1,464,669	\$1,440,502	\$1,396,234	\$1,377,215	\$1,377,215

METHOD OF FINANCING:

0001 General Revenue Fund	\$1,463,362	\$1,438,359	\$1,394,130	\$1,375,111	\$1,375,111
0666 Appropriated Receipts	526	2,143	2,104	2,104	2,104
0777 Interagency Contract Receipts	781	0	0	0	0
TOTAL, METHOD OF FINANCING	\$1,464,669	\$1,440,502	\$1,396,234	\$1,377,215	\$1,377,215

FULL TIME EQUIVALENT POSITIONS:	11.9	14.8	15.7	15.7	15.7
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 04 Maximize state revenue

STRATEGY: 01 Ensure that the state's assets, cash receipts and warrants are properly secured

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
1001 Salaries and Wages	\$703,029	\$698,298	\$694,389	\$694,389	\$694,389
1002 Other Personnel Costs	25,079	22,742	17,064	17,064	17,064
2001 Professional Fees and Services	211,500	182,988	153,794	151,124	151,124
2002 Fuels and Lubricants	187	296	235	235	235
2003 Consumable Supplies	10,741	25,684	26,275	23,917	23,917
2004 Utilities	73,241	60,565	51,471	51,456	51,456
2005 Travel	1,780	2,549	2,619	1,675	1,675
2006 Rent – Building	9,888	10,005	10,111	10,093	10,093
2007 Rent – Machine and Other	161,139	190,519	184,474	184,283	184,283
2009 Other Operating Expense	295,380	252,056	257,255	249,567	249,567
5000 Capital Expenditures	1,570	648	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$1,493,534	\$1,446,350	\$1,397,687	\$1,383,803	\$1,383,803

METHOD OF FINANCING:

0001 General Revenue Fund	\$1,491,968	\$1,444,142	\$1,395,499	\$1,381,615	\$1,381,615
0666 Appropriated Receipts	485	2,208	2,188	2,188	2,188
0777 Interagency Contract Receipts	1,081	0	0	0	0
TOTAL, METHOD OF FINANCING	\$1,493,534	\$1,446,350	\$1,397,687	\$1,383,803	\$1,383,803

FULL TIME EQUIVALENT POSITIONS:	10.4	10.1	10.7	10.7	10.7
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3	
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 05 Manage a procurement system; maximize competition; provide support services

STRATEGY: 01 Provide statewide procurement and support services

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$747,179	\$738,949	\$738,950	\$738,950	\$738,950
1002 Other Personnel Costs	16,900	17,700	18,820	18,820	18,820
2001 Professional Fees and Services	261,270	50,895	350,536	350,536	350,536
2002 Fuels and Lubricants	0	0	0	0	0
2003 Consumable Supplies	881	300	300	300	300
2004 Utilities	694	722	722	722	722
2005 Travel	0	7,082	6,335	6,335	6,335
2006 Rent – Building	0	0	0	0	0
2007 Rent – Machine and Other	9,647	10,135	9,659	9,659	9,659
2009 Other Operating Expense	16,365	7,225	6,560	6,560	6,560
5000 Capital Expenditures	0	0	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$1,052,936	\$833,008	\$1,131,882	\$1,131,882	\$1,131,882
METHOD OF FINANCING:					
0001 General Revenue Fund	\$768,643	\$441,002	\$600,065	\$600,065	\$600,065
0666 Appropriated Receipts	120,035	350,594	477,047	477,047	477,047
0777 Interagency Contract Receipts	164,258	41,412	54,770	54,770	54,770
TOTAL, METHOD OF FINANCING	\$1,052,936	\$833,008	\$1,131,882	\$1,131,882	\$1,131,882
FULL TIME EQUIVALENT POSITIONS:	11.8	12.0	12.0	12.0	12.0

METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-05, Income-A.2, Age-B.3
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GOAL: 02 To efficiently manage the state's fiscal affairs

OBJECTIVE: 06 Establish new state services; achieve savings and measurable value

STRATEGY: 01 Identify state programs, services and processes for competitive bidding

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
1001 Salaries and Wages	\$53,870	\$53,542	\$53,214	\$53,214	\$53,214
1002 Other Personnel Costs	2,036	1,863	1,366	1,366	1,366
2001 Professional Fees and Services	12,314	9,505	8,047	7,870	7,870
2002 Fuels and Lubricants	25	39	31	31	31
2003 Consumable Supplies	954	3,229	3,323	3,028	3,028
2004 Utilities	3,831	3,206	2,747	2,746	2,746
2005 Travel	168	232	248	160	160
2006 Rent – Building	1,288	1,303	1,317	1,315	1,315
2007 Rent – Machine and Other	9,303	10,832	10,470	10,444	10,444
2009 Other Operating Expense	18,505	16,862	16,783	16,052	16,052
5000 Capital Expenditures	80	33	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$102,374	\$100,646	\$97,546	\$96,226	\$96,226

METHOD OF FINANCING:

0001 General Revenue Fund	\$102,374	\$100,646	\$97,546	\$96,226	\$96,226
TOTAL, METHOD OF FINANCING	\$102,374	\$100,646	\$97,546	\$96,226	\$96,226

FULL TIME EQUIVALENT POSITIONS:	0.8	1.0	1.1	1.1	1.1
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METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

INDIRECT ADMINISTRATIVE AND SUPPORT COSTS

Agency Code: 304	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-04	Service Categories: Service-03, Income-A.2, Age-B.3	
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GOAL: 03 To expeditiously manage the receipt and disbursement of state tax revenue

OBJECTIVE: 01 Generate taxpayer refunds; return tax allocations; maintain turnaround

STRATEGY: 01 Improve tax/voucher data processing, tax collection and disbursements

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$5,666,135	\$5,628,022	\$5,596,501	\$5,596,501	\$5,596,501
1002 Other Personnel Costs	202,161	183,328	137,550	137,550	137,550
2001 Professional Fees and Services	1,703,294	1,473,284	1,238,256	1,216,745	1,216,745
2002 Fuels and Lubricants	1,516	2,390	1,895	1,895	1,895
2003 Consumable Supplies	86,610	207,430	212,207	193,163	193,163
2004 Utilities	589,693	487,647	414,431	414,310	414,310
2005 Travel	14,352	20,555	21,123	13,510	13,510
2006 Rent – Building	79,872	80,819	81,676	81,527	81,527
2007 Rent – Machine and Other	1,297,689	1,534,237	1,485,548	1,484,005	1,484,005
2009 Other Operating Expense	2,379,253	2,030,644	2,072,384	2,010,374	2,010,374
5000 Capital Expenditures	12,643	5,217	0	0	0
TOTAL, OBJECTS OF EXPENSE	\$12,033,218	\$11,653,573	\$11,261,571	\$11,149,580	\$11,149,580
METHOD OF FINANCING:					
0001 General Revenue Fund	\$12,020,614	\$11,637,281	\$11,245,444	\$11,133,453	\$11,133,453
0666 Appropriated Receipts	3,899	16,292	16,127	16,127	16,127
0777 Interagency Contract Receipts	8,705	0	0	0	0
TOTAL, METHOD OF FINANCING	\$12,033,218	\$11,653,573	\$11,261,571	\$11,149,580	\$11,149,580
FULL TIME EQUIVALENT POSITIONS:	83.7	81.6	86.6	86.6	86.6

METHOD OF ALLOCATION:

In general, indirect administrative and support costs were allocated across each strategy based on budget and staff size and usage of the agency's information technology resources. The allocation methodology was weighted, however, to account for higher usage of technology resources in certain areas.

PREPARED BY: Robert Chapa

FISCAL PROGRAMS (AGENCY 902)

SUMMARY OF BASE REQUEST BY STRATEGY – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
01	Comptroller of Public Accounts – Fiscal Programs					
01	Comptroller of Public Accounts – Fiscal Programs					
01	Voter Registration	\$1,044,920	\$4,852,175	\$1,000,000	\$4,574,955	\$943,220
02	Miscellaneous Claims	2,730,873	24,325,654	7,970,000	6,500,000	6,500,000
03	Reimbursement – Commitment Hearings	0	0	2,000	0	UB
04	Reimbursement – Mixed Beverage Tax	125,034,576	128,318,000	132,937,000	121,038,287	125,366,279
05	Judgments and Settlements	481,107	250,000	2,250,000	2,361,000	UB
06	County Taxes – University Lands	2,436,739	2,916,902	3,199,679	2,753,222	3,022,359
07	Lateral Road Fund Districts	7,300,000	7,300,000	7,300,000	6,893,500	6,893,500
08	Unclaimed Property	152,388,647	170,149,750	170,149,750	163,078,565	162,964,513
09	Underage Tobacco Program	1,988,200	2,000,000	2,000,000	1,888,500	1,888,500
10	Local Continuing Education Grants	5,996,038	5,997,108	6,000,000	5,663,108	5,666,000
11	Advanced Tax Compliance	10,444,541	10,659,775	10,659,775	10,659,775	10,659,775
12	Subsequent CVC Claims	7,779	66,003	0	30,000	UB
13	Gross Weight/Axle Fee Distribution	6,898,469	5,636,564	7,500,000	5,219,064	7,082,500
14	Jobs and Education for Texans	0	8,200,000	16,800,000	25,000,000	0
15	Major Events Trust Fund	0	0	25,000,000	0	0
TOTAL, GOAL 01		\$316,751,889	\$370,671,931	\$392,768,204	\$355,659,976	\$330,986,646
=====						
02	Develop and administer programs that promote energy efficiency					
01	Maintain \$95 million balance in LoanSTAR Program					
01	Promote and manage energy programs	\$2,219,946	\$19,697,114	\$275,902,290	\$2,410,443	\$2,410,443
02	Oil Overcharge Settlement Funds	16,226,188	16,117,479	16,923,353	62,843,042	12,720,618
TOTAL, GOAL 02		\$18,446,134	\$35,814,593	\$292,825,643	\$65,253,485	\$15,131,061
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SUMMARY OF BASE REQUEST BY STRATEGY – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
TOTAL, AGENCY STRATEGY REQUEST		\$335,198,023	\$406,486,524	\$685,593,847	\$420,913,461	\$346,117,707
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST *		\$0	\$0	\$0	\$0	\$0
GRAND TOTAL, AGENCY REQUEST		\$335,198,023	\$406,486,524	\$685,593,847	\$420,913,461	\$346,117,707

METHOD OF FINANCING:

GENERAL REVENUE:

0001 General Revenue Fund	\$303,863,325	\$363,680,966	\$387,330,201	\$350,528,865	\$325,882,643
0002 Available School Fund	2,116	0	0	0	0
TOTAL, GENERAL REVENUE	\$303,865,441	\$363,680,966	\$387,330,201	\$350,528,865	\$325,882,643

GENERAL REVENUE – DEDICATED FUNDS:

0009 GR Dedicated – Game, Fish and Water Safety Account	\$16,528	\$315	\$0	\$0	\$0
0019 GR Dedicated – Vital Statistics Account	0	22	0	0	0
0036 GR Dedicated – Texas Department of Insurance Operating Fund	8,021	7,584	0	0	0
0064 GR Dedicated – State Parks Account	1,179	335	0	0	0
0099 GR Dedicated – Operators and Chauffeurs License Account	0	1,207	0	0	0
0116 GR Dedicated – Law Enforcement Officer Standards and Education Account	5,996,038	5,997,108	6,000,000	5,663,108	5,666,000
0145 GR Dedicated – Oil Field Cleanup Account	100	0	0	0	0
0151 GR Dedicated – Clean Air Account	100	0	0	0	0
0153 GR Dedicated – Water Resource Management Account	0	1,279	0	0	0
0165 GR Dedicated – Unemployment Compensation Special Administration Account	772	0	0	0	0
0469 GR Dedicated – Compensation to Victims of Crime Account	156	0	0	0	0
0494 GR Dedicated – Compensation to Victims of Crime Auxiliary Account	7,779	66,003	0	30,000	UB
5005 GR Dedicated – Oil Overcharge Account	16,481,972	16,677,141	17,483,015	63,402,704	13,280,280
5025 GR Dedicated – Lottery Account	1,220	0	0	0	0

SUMMARY OF BASE REQUEST BY STRATEGY – FISCAL PROGRAMS

Agency Code: 902		Agency Name: Comptroller of Public Accounts				
CODE	GOAL / OBJECTIVE / STRATEGY	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
5064	GR Dedicated – Volunteer Fire Department Assistance Account	0	480	0	0	0
5105	GR Dedicated – Public Assurance Account	41,301	800	0	0	0
TOTAL, GENERAL REVENUE – DEDICATED		\$22,555,166	\$22,752,274	\$23,483,015	\$69,095,812	\$18,946,280
=====						
FEDERAL FUNDS:						
0148	Federal Health, Education and Welfare Account	\$420	\$0	\$0	\$0	\$0
0222	Department of Public Safety Federal Fund Account	0	2,407	0	0	0
0273	Federal Health and Health Lab Funding Excess Revenue Fund	24,986	0	0	0	0
0369	Federal American Recovery and Reinvestment Fund	119,814	16,934,089	273,139,265	0	0
0555	Federal Funds	1,295,369	1,641,366	1,641,366	1,288,784	1,288,784
5026	Workforce Commission Federal Fund	21,469	3,750	0	0	0
5041	Railroad Commission Federal Fund	40	0	0	0	0
TOTAL, FEDERAL FUNDS		\$1,462,098	\$18,581,612	\$274,780,631	\$1,288,784	\$1,288,784
=====						
OTHER FUNDS:						
0006	State Highway Fund	\$7,305,616	\$508,806	\$0	\$0	\$0
0044	Permanent School Fund	0	1,500	0	0	0
0374	Texas Veterans Homes Administration Fund	2,526	0	0	0	0
0735	TPFA Series B Master Lease Project Fund	30	0	0	0	0
0849	Bob Bullock Texas State History Museum Trust Fund	516	0	0	0	0
0879	Capitol Giftshops Trust Fund	88	0	0	0	0
0936	Unemployment Compensation Clearance Account	6,542	961,366	0	0	0
TOTAL, OTHER FUNDS		\$7,315,318	\$1,471,672	\$0	\$0	\$0
=====						
TOTAL, METHOD OF FINANCING		\$335,198,023	\$406,486,524	\$685,593,847	\$420,913,461	\$346,117,707
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* Rider appropriations for the historical years are included in the strategy amounts.

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
GENERAL REVENUE:						
0001	General Revenue Fund					
	REGULAR APPROPRIATIONS					
	Regular Appropriations	\$238,838,132	\$296,728,674	\$297,128,451	\$350,528,865	\$325,882,643
	RIDER APPROPRIATIONS					
	Article IX, Section 17.13, One-Time Payments (2010-11 GAA)	0	155,248,741	0	0	0
	Article IX, Section 17.47, Contingency Appropriation for HB 2812 (2010-11 GAA)	0	25,000,000	0	0	0
	Article, IX, Section 17.58, Contingency Appropriation for HB 2437 (2010-11 GAA)	0	25,000,000	0	0	0
	TRANSFERS					
	Article IX, Section 19.62(a) Salary Increase (2008-09 GAA)	4,866	0	0	0	0
	Article IX, Section 19.62(a) Salary Increase (2008-09 GAA)	3,723	0	0	0	0
	HB 4586, Section 89, Retention Payments	800	0	0	0	0
	Article IX, Section 17.13, One-Time Payments (2010-11 GAA)	0	(50,813,968)	(79,020,171)	0	0
	LAPSED APPROPRIATIONS					
	Strategy A.1.2. Miscellaneous Claims (Ranger Pensions)	(720)	0	0	0	0
	Strategy A.1.3. Reimbursement – Commitment Hearings	(2,000)	0	0	0	0
	Strategy A.1.5. Judgments and Settlements	(1,727,762)	0	0	0	0
	Strategy A.1.6. County Taxes – University Lands	(252,439)	0	0	0	0
	Strategy A.1.9. Underage Tobacco Program	(11,800)	0	0	0	0
	Strategy A.1.11. Advanced Tax Compliance	(215,234)	0	0	0	0
	Strategy A.1.1. Voter Registration	0	(147,825)	0	0	0
	Strategy A.1.13. Gross Weight/Axle Fee	0	(1,863,436)	0	0	0
	Strategy B.1.1. Energy Office	(2,429)	0	0	0	0
	Article IX, Section 17.13, One-Time Payments (2010-11 GAA)	0	(25,414,602)	0	0	0

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902		Agency Name: Comptroller of Public Accounts				
CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
GENERAL REVENUE:						
0001	General Revenue Fund					
	UNEXPENDED BALANCES AUTHORITY					
	Strategy A.1.3. Reimbursement – Commitment Hearings	2,000	(2,000)	2,000	0	0
	Strategy A.1.5. Judgments and Settlements	2,208,869	(2,250,000)	2,250,000	0	0
	Article IX, Section 17.13, One-Time Payments (2010-11 GAA)	0	(79,020,171)	79,020,171	0	0
	Article IX, Section 17.47, Contingency Appropriation for HB 2812 (2010-11 GAA)	0	(16,800,000)	16,800,000	0	0
	Article, IX, Section 17.58, Contingency Appropriation for HB 2437 (2010-11 GAA)	0	(25,000,000)	25,000,000	0	0
	BASE ADJUSTMENTS					
	Strategy A.1.1. Voter Registration	44,920	0	0	0	0
	Strategy A.1.2. Miscellaneous Claims	425,176	19,865,803	5,000,000	0	0
	Strategy A.1.4. Mixed Beverage Tax	7,158,576	0	0	0	0
	Strategy A.1.8. Unclaimed Property	57,388,647	43,149,750	41,149,750	0	0
0002	Available School Fund					
	RIDER APPROPRIATION					
	Rider # 3, Payment of Miscellaneous Claims (2008-09 GAA)	\$2,116	\$0	\$0	\$0	\$0
TOTAL, General Revenue Fund		\$303,865,441	\$363,680,966	\$387,330,201	\$350,528,865	\$325,882,643
		=====				

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902		Agency Name: Comptroller of Public Accounts				
CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
GENERAL REVENUE FUND – DEDICATED:						
0009	GR Dedicated – Game, Fish and Water Safety Account, No. 0009					
	RIDER APPROPRIATIONS					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$16,528	\$0	\$0	\$0	\$0
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	0	315	0	0	0
TOTAL, GR Dedicated – Game, Fish and Water Safety Account, No. 0009		\$16,528	\$315	\$0	\$0	\$0
=====						
0019	GR Dedicated – Vital Statistics Account, No. 0019					
	RIDER APPROPRIATIONS					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	\$0	\$22	\$0	\$0	\$0
TOTAL, GR Dedicated – Vital Statistics Account, No. 0019		\$0	\$22	\$0	\$0	\$0
=====						
0036	GR Dedicated – Texas Department of Insurance Operating Fund, No. 0036					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$8,021	\$0	\$0	\$0	\$0
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	0	7,584	0	0	0
TOTAL, GR Dedicated – Texas Department of Insurance Operating Fund, No. 0036		\$8,021	\$7,584	\$0	\$0	\$0
=====						
0064	GR Dedicated – State Parks Account, No. 0064					
	RIDER APPROPRIATIONS					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$1,179	\$0	\$0	\$0	\$0
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	0	335	0	0	0
TOTAL, GR Dedicated – State Parks Account, No. 0064		\$1,179	\$335	\$0	\$0	\$0
=====						

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts						
CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
GENERAL REVENUE FUND – DEDICATED:						
0099	GR Dedicated – Operators and Chauffeurs License Account, No. 0099					
	RIDER APPROPRIATIONS					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	\$0	\$1,207	\$0	\$0	\$0
TOTAL, GR Dedicated – Operators and Chauffeurs License Account, No. 0099		\$0	\$1,207	\$0	\$0	\$0
=====						
0116	GR Dedicated – Law Enforcement Officer Standards Account, No. 0116					
	REGULAR APPROPRIATION					
	Regular Appropriations	\$6,000,000	\$6,000,000	\$6,000,000	\$5,663,108	\$5,666,000
	LAPSED APPROPRIATION					
	Strategy A.1.10. Local Continuing Education Grants	(3,962)	(2,892)	0	0	0
TOTAL, GR Dedicated – Law Enforcement Officer Standards Account, No. 0116		\$5,996,038	\$5,997,108	\$6,000,000	\$5,663,108	\$5,666,000
=====						
0145	GR Dedicated – Oil Field Cleanup Account, No. 0145					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$100	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated – Oil Field Cleanup Account, No. 0145		\$100	\$0	\$0	\$0	\$0
=====						
0151	GR Dedicated – Clean Air Account, No. 0151					
	RIDER APPROPRIATIONS					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$100	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated – Clean Air Account, No. 0151		\$100	\$0	\$0	\$0	\$0
=====						

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts						
CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
GENERAL REVENUE FUND – DEDICATED:						
0153	GR Dedicated – Water Resource Management Account, No. 0153					
	RIDER APPROPRIATIONS					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	\$0	\$1,279	\$0	\$0	\$0
TOTAL, GR Dedicated – Water Resource Management Account, No. 0153		\$0	\$1,279	\$0	\$0	\$0
=====						
0165	GR Dedicated – Unemployment Compensation Administration Account, No. 0165					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$772	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated – Unemployment Compensation Administration Account, No. 0165		\$772	\$0	\$0	\$0	\$0
=====						
0469	GR Dedicated – Compensation to Victims of Crime Account, No. 0469					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$156	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated – Compensation to Victims of Crime Account, No. 0469		\$156	\$0	\$0	\$0	\$0
=====						
0494	GR Dedicated – Compensation to Victims of Crime Auxiliary Account, No. 0494					
	REGULAR APPROPRIATION					
	Regular Appropriations	\$0	\$30,000	\$0	\$30,000	UB
	LAPSED APPROPRIATION					
	Strategy A.1.12. Compensation to Victims of Crime Auxiliary Account	(626)	0	0	0	0
	UNEXPENDED BALANCES AUTHORITY					
	Strategy A.1.12. Compensation to Victims of Crime Auxiliary Account	8,405	0	0	0	0
	BASE ADJUSTMENT					
	Strategy A.1.12. Compensation to Victims of Crime Auxiliary Account	0	36,003	0	0	0
TOTAL, GR Dedicated – Compensation to Victims of Crime Auxiliary Account, No. 0494		\$7,779	\$66,003	\$0	\$30,000	UB
=====						

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts						
CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
GENERAL REVENUE FUND – DEDICATED:						
5005	GR Dedicated – Oil Overcharge Account, No. 5005					
	REGULAR APPROPRIATION					
	Regular Appropriations	\$11,699,498	\$40,628,405	\$13,822,981	\$63,402,704	\$13,280,280
	UNEXPENDED BALANCES AUTHORITY					
	Rider # 10, Oil Overcharge Funds (2008-09 GAA)	38,580,541	0	0	0	0
	Rider # 10, Oil Overcharge Funds Revised Estimate, UB In	12,381,727	0	0	0	0
	Rider # 10, Oil Overcharge Funds (2008-09 GAA)	(27,924,748)	0	0	0	0
	Rider # 10, Oil Overcharge Funds Revised Estimate, UB Out/In	(28,466,182)	28,466,182	0	0	0
	Rider # 10, Oil Overcharge Funds Revised Estimate, UB Out/In	0	(52,417,446)	52,417,446	0	0
	Rider # 10, Oil Overcharge Funds Revised Estimate, UB Out	0	0	(48,757,412)	0	0
	BASE ADJUSTMENT					
	Estimated Appropriation, Strategy B.1.2. Oil Overcharge Settlement	10,211,136	0	0	0	0
TOTAL, GR Dedicated – Oil Overcharge Account, No. 5005		\$16,481,972	\$16,677,141	\$17,483,015	\$63,402,704	\$13,280,280
=====						
5025	GR Dedicated – Lottery Account, No. 5025					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$1,220	\$0	\$0	\$0	\$0
TOTAL, GR Dedicated – Lottery Account, No. 5025		\$1,220	\$0	\$0	\$0	\$0
=====						
5064	GR Dedicated – Volunteer Fire Department Assistance Account, No. 5064					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	\$0	\$480	\$0	\$0	\$0
TOTAL, GR Dedicated – Lottery Account, No. 5064		\$0	\$480	\$0	\$0	\$0
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SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
GENERAL REVENUE FUND – DEDICATED:						
5105	GR Dedicated – Public Assurance Account, No. 5105					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$41,301	\$0	\$0	\$0	\$0
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	0	800	0	0	0
TOTAL, GR Dedicated – Public Assurance Account, No. 5105		\$41,301	\$800	\$0	\$0	\$0
TOTAL, General Revenue Fund – Dedicated		\$22,555,166	\$22,752,274	\$23,483,015	\$69,095,812	\$18,946,280
TOTAL, General Revenue and General Revenue – Dedicated Funds		\$326,420,607	\$386,433,240	\$410,813,216	\$419,624,677	\$344,828,923
FEDERAL FUNDS:						
0148	Federal Health, Education and Welfare Fund, No. 0148					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$420	\$0	\$0	\$0	\$0
TOTAL, Federal Health, Education and Welfare Fund, No. 0148		\$420	\$0	\$0	\$0	\$0
0222	Department of Public Safety Federal Fund Account, No. 0222					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	\$0	\$2,407	\$0	\$0	\$0
TOTAL, Department of Public Safety Federal Fund Account, No. 0222		\$0	\$2,407	\$0	\$0	\$0
0273	Federal Health and Health Lab Funding Excess Revenue Fund, No. 0273					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$24,986	\$0	\$0	\$0	\$0
TOTAL, Federal Health and Health Lab Funding Excess Revenue Fund, No. 0273		\$24,986	\$0	\$0	\$0	\$0

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
FEDERAL FUNDS:						
0369	Federal American Recovery and Reinvestment Fund, No. 0369					
	REGULAR APPROPRIATIONS					
	Regular Appropriations	\$0	\$284,800,000	\$0	\$0	\$0
	RIDER APPROPRIATIONS					
	Article IX, Section 8.02, Federal Funds/Block Grants (2008-09 GAA)	119,814	0	0	0	0
	Article IX, Section 8.02, Federal Funds/Block Grants (2010-11 GAA)	0	5,393,168	0	0	0
	LAPSED APPROPRIATION					
	Regular Appropriations from Method of Finance Table (2010-11 GAA)	0	(119,814)	0	0	0
	UNEXPENDED BALANCES AUTHORITY					
	Article XII, Section 4, Unexpended Balances (2010-11 GAA)	0	(273,139,265)	273,139,265	0	0
TOTAL, Federal American Recovery and Reinvestment Fund, No. 0369		\$119,814	\$16,934,089	\$273,139,265	\$0	\$0
=====						
0555	Federal Funds					
	REGULAR APPROPRIATION					
	Regular Appropriations	\$1,209,374	\$1,641,366	\$1,641,366	\$1,288,784	\$1,288,784
	RIDER APPROPRIATION					
	Article IX, Section 8.02, Federal Funds/Block Grants (2008-09 GAA)	85,995	0	0	0	0
TOTAL, Federal Funds		\$1,295,369	\$1,641,366	\$1,641,366	\$1,288,784	\$1,288,784
=====						

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts						
CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
FEDERAL FUNDS:						
5026 Workforce Commission Federal Account, No. 5026						
RIDER APPROPRIATION						
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$21,469	\$0	\$0	\$0	\$0
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	0	3,750	0	0	0
TOTAL, Workforce Commission Federal Account, No. 5026		\$21,469	\$3,750	\$0	\$0	\$0
=====						
5041 Railroad Commission Federal Account, No. 5041						
RIDER APPROPRIATION						
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$40	\$0	\$0	\$0	\$0
TOTAL, Railroad Commission Federal Account, No. 5041		\$40	\$0	\$0	\$0	\$0
=====						
TOTAL, Federal Funds		\$1,462,098	\$18,581,612	\$274,780,631	\$1,288,784	\$1,288,784
=====						
OTHER FUNDS:						
0006 State Highway Fund, No. 0006						
RIDER APPROPRIATIONS						
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$407,147	\$0	\$0	\$0	\$0
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	0	508,806	0	0	0
TRANSFERS						
	SB 1, Gross Weight/Axle Fee (81st Legislature, Regular Session, 2009)	5,400,000	0	0	0	0
	Estimated Appropriation – Strategy A.1.13. Gross Weight/Axle Fee	1,498,469	0	0	0	0
TOTAL, State Highway Fund, No. 0006		\$7,305,616	\$508,806	\$0	\$0	\$0
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SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902		Agency Name: Comptroller of Public Accounts				
CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OTHER FUNDS:						
0044	Permanent School Fund, No. 0044					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	\$0	\$1,500	\$0	\$0	\$0
TOTAL, Permanent School Fund, No. 0044		\$0	\$1,500	\$0	\$0	\$0
=====						
0374	Texas Veterans Homes Administration Fund, No. 0374					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$2,526	\$0	\$0	\$0	\$0
TOTAL, Texas Veterans Homes Administration Fund, No. 0374		\$2,526	\$0	\$0	\$0	\$0
=====						
0735	TPFA Series B Master Lease Project Fund, No. 0735					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$30	\$0	\$0	\$0	\$0
TOTAL, TPFA Series B Master Lease Project Fund, No. 0735		\$30	\$0	\$0	\$0	\$0
=====						
0849	Bob Bullock Texas State History Museum Trust Fund, No. 0849					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$516	\$0	\$0	\$0	\$0
TOTAL, Bob Bullock Texas State History Museum Trust Fund, No. 0849		\$516	\$0	\$0	\$0	\$0
=====						
0879	Capitol Giftshops Trust Fund, No. 0879					
	RIDER APPROPRIATION					
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$88	\$0	\$0	\$0	\$0
TOTAL, Capitol Giftshops Trust Fund, No. 0879		\$88	\$0	\$0	\$0	\$0
=====						

SUMMARY OF BASE REQUEST BY METHOD OF FINANCE – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts						
CODE	METHOD OF FINANCE	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OTHER FUNDS:						
0936 Unemployment Compensation Clearance Account, No. 0936						
RIDER APPROPRIATIONS						
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2008-09 GAA)	\$6,542	\$0	\$0	\$0	\$0
	Rider # 3 Appropriation, Payment of Miscellaneous Claims (2010-11 GAA)	0	961,366	0	0	0
TOTAL, Unemployment Compensation Clearance Account, No. 0936		\$6,542	\$961,366	\$0	\$0	\$0
TOTAL, Other Funds		\$7,315,318	\$1,471,672	\$0	\$0	\$0
GRAND TOTAL		\$335,198,023	\$406,486,524	\$685,593,847	\$420,913,461	\$346,117,707
FULL TIME EQUIVALENT POSITIONS						
REGULAR APPROPRIATIONS						
	Regular Appropriations	25.0	25.0	25.0	25.0	25.0
AVERAGE NUMBER BELOW CAP						
	Vacancies	(4.9)	(3.2)	0.0	0.0	0.0
TOTAL ADJUSTED FTES		20.1	21.8	25.0	25.0	25.0
NUMBER OF 100% FEDERALLY FUNDED FTES						
		14.8	18.7	19.0	19.0	19.0

SUMMARY OF BASE REQUEST BY OBJECT OF EXPENSE – FISCAL PROGRAMS

Agency Code: 902		Agency Name: Comptroller of Public Accounts				
CODE	DESCRIPTION	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
1001	Salaries and Wages	\$1,416,232	\$1,527,874	\$1,579,314	\$1,579,314	\$1,579,314
1002	Other Personnel Costs	360,221	355,785	405,153	405,153	405,153
2001	Professional Fees and Services	7,754,797	9,618,006	10,331,014	8,979,762	8,979,762
2003	Consumable Supplies	7,445	3,260	3,260	500	500
2004	Utilities	580,646	8,522,126	17,085,524	25,284,831	284,831
2005	Travel	47,530	34,503	35,899	21,452	21,452
2006	Rent – Building	0	3,000	3,000	0	0
2007	Rent – Machine and Other	17,413	21,389	22,487	15,571	15,571
2009	Other Operating Expense	156,903,349	207,045,001	193,459,669	173,382,653	170,877,601
3001	Client Services	1,331,140	431,092	450,000	450,000	450,000
4000	Grants	165,877,193	177,758,588	462,218,527	210,794,225	163,503,523
5000	Capital Expenditures	902,057	1,165,900	0	0	0
AGENCY TOTAL		\$335,198,023	\$406,486,524	\$685,593,847	\$420,913,461	\$346,117,707
=====						

SUMMARY OF BASE REQUEST OBJECTIVE OUTCOMES – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / OUTCOME	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
02	To develop and administer programs that promote energy efficiency					
01	Maintain \$95 million balance in LoanSTAR Program					
01	Energy Dollars Saved as Percent of Energy Expenditures (K)	21.0%	21.0%	21.0%	21.0%	21.0%
02	Energy Dollars Saved by LoanSTAR Projects (Millions) (K)	\$28.3	\$30.8	\$31.2	\$30.0	\$30.0

SUMMARY OF EXCEPTIONAL ITEMS REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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Priority	Item	2012			2013			Biennium	
		GR / GR Dedicated	All Funds	FTEs	GR / GR Dedicated	All Funds	FTEs	GR / GR Dedicated	All Funds
1	Voter Registration	\$425,045	\$425,045	0.0	\$56,780	\$56,780	0.0	\$481,825	\$481,825
2	Reimbursement – Commitment Hearings	2,000	2,000	0.0	0	0	0.0	2,000	2,000
3	Reimbursement – Mixed Beverage Tax	33,784,713	33,784,713	0.0	37,972,721	37,972,721	0.0	71,757,434	71,757,434
4	Judgments and Settlements	139,000	139,000	0.0	0	0	0.0	139,000	139,000
5	County Taxes – University Lands	661,174	661,174	0.0	576,452	576,452	0.0	1,237,626	1,237,626
6	Lateral Road Fund Districts	406,500	406,500	0.0	406,500	406,500	0.0	813,000	813,000
7	Unclaimed Property	34,295,145	34,295,145	0.0	34,409,197	34,409,197	0.0	68,704,342	68,704,342
8	Underage Tobacco Program	111,500	111,500	0.0	111,500	111,500	0.0	223,000	223,000
9	Local Continuing Education Grants	336,892	336,892	0.0	334,000	334,000	0.0	670,892	670,892
10	Gross Weight/Axle Fee Distribution	2,280,936	2,280,936	0.0	417,500	417,500	0.0	2,698,436	2,698,436
11	Oil Overcharge Settlement Funds	0	0	0.0	1,365,012	1,365,012	0.0	1,365,012	1,365,012
TOTAL, EXCEPTIONAL ITEMS REQUEST		\$72,442,905	\$72,442,905	0.0	\$75,649,662	\$75,649,662	0.0	\$148,092,567	\$148,092,567

METHOD OF FINANCING:

0001 General Revenue Fund	\$72,106,013	\$72,106,013		\$73,950,650	\$73,950,650		\$146,056,663	\$146,056,663
0116 GR Dedicated – Law Enforcement Standards Account	336,892	336,892		334,000	334,000		670,892	670,892
5005 GR Dedicated – Oil Overcharge Account	0	0		1,365,012	1,365,012		1,365,012	1,365,012
TOTAL, METHOD OF FINANCING	\$72,442,905	\$72,442,905	0.0	\$75,649,662	\$75,649,662	0.0	\$148,092,567	\$148,092,567

FULL TIME EQUIVALENT POSITIONS:

0.0 0.0

NUMBER OF 100% FEDERALLY FUNDED FTES

0.0 0.0

PREPARED BY: Robert Chapa

SUMMARY OF TOTAL REQUEST BY STRATEGY – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	BL 2012	BL 2013	EXCP 2012	EXCP 2013	TTL 2012	TTL 2013
01	Comptroller of Public Accounts – Fiscal Programs						
01	Comptroller of Public Accounts – Fiscal Programs						
01	Voter Registration	\$4,574,955	\$943,220	\$425,045	\$56,780	\$5,000,000	\$1,000,000
02	Miscellaneous Claims	6,500,000	6,500,000	0	0	6,500,000	6,500,000
03	Reimbursement – Commitment Hearings	0	UB	2,000	0	2,000	UB
04	Reimbursement – Mixed Beverage Tax	121,038,287	125,366,279	33,784,713	37,972,721	154,823,000	163,339,000
05	Judgments and Settlements	2,361,000	UB	139,000	0	2,500,000	UB
06	County Taxes – University Lands	2,753,222	3,022,359	661,174	576,452	3,414,396	3,598,811
07	Lateral Road Fund Districts	6,893,500	6,893,500	406,500	406,500	7,300,000	7,300,000
08	Unclaimed Property	163,078,565	162,964,513	34,295,145	34,409,197	197,373,710	197,373,710
09	Underage Tobacco Program	1,888,500	1,888,500	111,500	111,500	2,000,000	2,000,000
10	Local Continuing Education Grants	5,663,108	5,666,000	336,892	334,000	6,000,000	6,000,000
11	Advanced Tax Compliance	10,659,775	10,659,775	0	0	10,659,775	10,659,775
12	Subsequent CVC Claims	30,000	UB	0	0	30,000	UB
13	Gross Weight/Axle Fee Distribution	5,219,064	7,082,500	2,280,936	417,500	7,500,000	7,500,000
14	Jobs and Education for Texans	25,000,000	UB	0	0	25,000,000	UB
TOTAL, GOAL 01		\$355,659,976	\$330,986,646	\$72,442,905	\$74,284,650	\$428,102,881	\$405,271,296
=====							
02	Develop and administer programs that promote energy efficiency						
01	Maintain \$95 million balance in LoanSTAR Program						
01	Promote and manage energy programs	\$2,410,443	\$2,410,443	\$0	\$0	\$2,410,443	\$2,410,443
02	Oil Overcharge Settlement Funds	62,843,042	12,720,618	0	1,365,012	62,843,042	14,085,630
TOTAL, GOAL 02		\$65,253,485	\$15,131,061	\$0	\$1,365,012	\$65,253,485	\$16,496,073
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SUMMARY OF TOTAL REQUEST BY STRATEGY – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CODE	GOAL / OBJECTIVE / STRATEGY	BL 2012	BL 2013	EXCP 2012	EXCP 2013	TTL 2012	TTL 2013
TOTAL, AGENCY STRATEGY REQUEST		\$420,913,461	\$346,117,707	\$72,442,905	\$75,649,662	\$493,356,366	\$421,767,369
TOTAL, AGENCY RIDER APPROPRIATIONS REQUEST		\$0	\$0	\$0	\$0	\$0	\$0
GRAND TOTAL, AGENCY REQUEST		\$420,913,461	\$346,117,707	\$72,442,905	\$75,649,662	\$493,356,366	\$421,767,369
METHOD OF FINANCING:							
GENERAL REVENUE:							
0001	General Revenue Fund	\$350,528,865	\$325,882,643	\$72,106,013	\$73,950,650	\$422,634,878	\$399,833,293
TOTAL, GENERAL REVENUE		\$350,528,865	\$325,882,643	\$72,106,013	\$73,950,650	\$422,634,878	\$399,833,293
GENERAL REVENUE – DEDICATED FUNDS:							
0116	GR Dedicated – Law Enforcement Standards Account	\$5,663,108	\$5,666,000	\$336,892	\$334,000	\$6,000,000	\$6,000,000
0494	GR Dedicated – Compensation to Victims of Crime Auxiliary	30,000	UB	0	0	30,000	UB
5005	GR Dedicated – Oil Overcharge Settlement	63,402,704	13,280,280	0	1,365,012	63,402,704	14,645,292
TOTAL, GENERAL REVENUE – DEDICATED		\$69,095,812	\$18,946,280	\$336,892	\$1,699,012	\$69,432,704	\$20,645,292
FEDERAL FUNDS:							
0555	Federal Funds	\$1,288,784	\$1,288,784	\$0	\$0	\$1,288,784	\$1,288,784
TOTAL, FEDERAL FUNDS		\$1,288,784	\$1,288,784	\$0	\$0	\$1,288,784	\$1,288,784
TOTAL, METHOD OF FINANCING		\$420,913,461	\$346,117,707	\$72,442,905	\$75,649,662	\$493,356,366	\$421,767,369
FULL TIME EQUIVALENT POSITIONS:							
		25.0	25.0	0.0	0.0	25.0	25.0

SUMMARY OF TOTAL REQUEST OBJECTIVE OUTCOMES – FISCAL PROGRAMS

Agency Code: 902		Agency Name: Comptroller of Public Accounts					
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CODE	GOAL / OBJECTIVE / OUTCOME	BL 2012	BL 2013	EXCP 2012	EXCP 2013	TTL 2012	TTL 2013
02	To develop and administer programs that promote energy efficiency						
01	Maintain \$95 million balance in LoanSTAR Program						
01	Energy Dollars Saved as Percent of Energy Expenditures (K)	21.0%	21.0%	0.0%	0.0%	21.0%	21.0%
02	Energy Dollars Saved by LoanSTAR Projects (Millions) (K)	\$30.0	\$30.0	\$0.0	\$0.0	\$30.0	\$30.0

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 01 Voter Registration

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
4000 Grants	\$1,044,920	\$4,852,175	\$1,000,000	\$4,574,955	\$943,220
TOTAL, OBJECTS OF EXPENSE	<u>\$1,044,920</u>	<u>\$4,852,175</u>	<u>\$1,000,000</u>	<u>\$4,574,955</u>	<u>\$943,220</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$1,044,920	\$4,852,175	\$1,000,000	\$4,574,955	\$943,220
TOTAL, METHOD OF FINANCING	<u>\$1,044,920</u>	<u>\$4,852,175</u>	<u>\$1,000,000</u>	<u>\$4,574,955</u>	<u>\$943,220</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For payment to each county based upon the number of initial registrations, canceled registrations and updated registrations of voters in the county as shown by a certified statement submitted by the Registrar to the Secretary of State, as required by VACS, Election Code, Section 19.002. Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by claims made by counties for voter registration related expenses and must be fully funded.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 02 Miscellaneous Claims / Ranger Pensions

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
2009 Other Operating Expense	\$2,730,873	\$24,325,654	\$7,970,000	\$6,500,000	\$6,500,000
TOTAL, OBJECTS OF EXPENSE	\$2,730,873	\$24,325,654	\$7,970,000	\$6,500,000	\$6,500,000

METHOD OF FINANCING:

0001 General Revenue Fund	\$2,195,616	\$22,835,803	\$7,970,000	\$6,500,000	\$6,500,000
0002 Available School Fund	2,116	0	0	0	0
SUBTOTAL, METHOD OF FINANCING (GENERAL REVENUE)	\$2,197,732	\$22,835,803	\$7,970,000	\$6,500,000	\$6,500,000

METHOD OF FINANCING:

0009 GR Dedicated - Game, Fish and Water Safety Account	\$16,528	\$315	\$0	\$0	\$0
0019 GR Dedicated - Vital Statistics Account	0	22	0	0	0
0036 GR Dedicated - Texas Department of Insurance Operating Fund	8,021	7,584	0	0	0
0064 GR Dedicated - State Parks Account	1,179	335	0	0	0
0099 GR Dedicated - Operators and Chauffeurs License Account	0	1,207	0	0	0
0145 GR Dedicated - Oil Field Cleanup Account	100	0	0	0	0
0151 GR Dedicated - Clean Air Account	100	0	0	0	0
0153 GR Dedicated - Water Resource Management Account	0	1,279	0	0	0
0165 GR Dedicated - Unemployment Compensation Special Administration Account	772	0	0	0	0
0469 GR Dedicated - Compensation to Victims of Crime Account	156	0	0	0	0
5025 GR Dedicated - Lottery Account	1,220	0	0	0	0
5064 GR Dedicated - Volunteer Fire Department Assistance Account	0	480	0	0	0
5105 GR Dedicated - Public Assurance Account	41,301	800	0	0	0
SUBTOTAL, METHOD OF FINANCING (GENERAL REVENUE - DEDICATED)	\$69,377	\$12,022	\$0	\$0	\$0

STRATEGY REQUEST - FISCAL PROGRAMS

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
METHOD OF FINANCING:					
0148 Federal Health, Education and Welfare Fund	\$420	\$0	\$0	\$0	\$0
0222 Department of Public Safety Federal Fund Account	0	2,407	0	0	0
0273 Federal Health and Health Lab Funding Excess Revenue Fund Account	24,986	0	0	0	0
5026 Workforce Commission Federal Account	21,469	3,750	0	0	0
5041 Railroad Commission Federal Fund	40	0	0	0	0
SUBTOTAL, METHOD OF FINANCING (FEDERAL FUNDS)	<u>\$46,915</u>	<u>\$6,157</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
METHOD OF FINANCING:					
0006 State Highway Fund	\$407,147	\$508,806	\$0	\$0	\$0
0044 Permanent School Fund	0	1,500	0	0	0
0374 Texas Veterans Homes Administration Fund	2,526	0	0	0	0
0735 TPFA Series B Master Lease Project Fund	30	0	0	0	0
0849 Bob Bullock Texas State History Museum Trust Fund	516	0	0	0	0
0879 Capitol Giftshops Trust Fund	88	0	0	0	0
0936 Unemployment Compensation Clearance Account	6,542	961,366	0	0	0
SUBTOTAL, METHOD OF FINANCING (OTHER FUNDS)	<u>\$416,849</u>	<u>\$1,471,672</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
TOTAL, METHOD OF FINANCING	<u>\$2,730,873</u>	<u>\$24,325,654</u>	<u>\$7,970,000</u>	<u>\$6,500,000</u>	<u>\$6,500,000</u>
FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0

STRATEGY DESCRIPTION AND JUSTIFICATION:

For payment of state funds allocated for the Small Claims Program for which an appropriation does not otherwise exist or for which the appropriation has lapsed in a timely manner pursuant to VTCA, Government Code, Section 403.074, and for individuals wrongfully imprisoned by the state of Texas to entitled recipients. Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by citizens' claims against the state, including wrongful imprisonment claims as authorized by the Legislature. The General Revenue Fund total for fiscal 2009 includes the final payments made as part of the Ranger Pension Program.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 03 Reimbursement - Commitment Hearings

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
2009 Other Operating Expense	\$0	\$0	\$2,000	\$0	UB
TOTAL, OBJECTS OF EXPENSE	<u>\$0</u>	<u>\$0</u>	<u>\$2,000</u>	<u>\$0</u>	<u>UB</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$0	\$0	\$2,000	\$0	UB
TOTAL, METHOD OF FINANCING	<u>\$0</u>	<u>\$0</u>	<u>\$2,000</u>	<u>\$0</u>	<u>UB</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For reimbursement of counties for the cost of commitment hearings required by the Persons with Mental Retardation Act, Chapters 591-596, VTCA, Health and Safety Code.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by claims made by counties for the reimbursement of commitment hearings and must be fully funded.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 04 Reimbursement - Mixed Beverage Tax

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
4000 Grants	\$125,034,576	\$128,318,000	\$132,937,000	\$121,038,287	\$125,366,279
TOTAL, OBJECTS OF EXPENSE	<u>\$125,034,576</u>	<u>\$128,318,000</u>	<u>\$132,937,000</u>	<u>\$121,038,287</u>	<u>\$125,366,279</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$125,034,576	\$128,318,000	\$132,937,000	\$121,038,287	\$125,366,279
TOTAL, METHOD OF FINANCING	<u>\$125,034,576</u>	<u>\$128,318,000</u>	<u>\$132,937,000</u>	<u>\$121,038,287</u>	<u>\$125,366,279</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For reimbursement of taxes received as authorized by Texas Tax Code Annotated, Section 183.051(b). Payments to each eligible county and incorporated municipality shall be equal to 10.7143 percent of receipts from permittees within the county or incorporated municipality during the quarter. Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by collected receipts within the counties or incorporated municipalities and must be fully funded.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 05 Judgments and Settlements

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
2009 Other Operating Expense	\$481,107	\$250,000	\$2,250,000	\$2,361,000	UB
TOTAL, OBJECTS OF EXPENSE	<u>\$481,107</u>	<u>\$250,000</u>	<u>\$2,250,000</u>	<u>\$2,361,000</u>	<u>UB</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$481,107	\$250,000	\$2,250,000	\$2,361,000	UB
TOTAL, METHOD OF FINANCING	<u>\$481,107</u>	<u>\$250,000</u>	<u>\$2,250,000</u>	<u>\$2,361,000</u>	<u>UB</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

Payments for settlements and judgments for claims against state agencies payable under Chapters 101 and 104, Civil Practice and Remedies Code, including indemnification for criminal prosecutions and Federal Court judgments and settlements, shall be paid from special or local funds of the agency or institution to the extent available and then from General Revenue. The Comptroller's office shall maintain records of General Revenue paid and require reimbursement from agencies as special or local funds become available. Payments shall be made for eligible medical malpractice claims in conformance with Chapter 59, Education Code. All claims shall be prepared, verified and signed by the Attorney General's Office.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by settlements and judgments for claims against state agencies and must be fully funded.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 06 County Taxes - University Lands

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
4000 Grants	\$2,436,739	\$2,916,902	\$3,199,679	\$2,753,222	\$3,022,359
TOTAL, OBJECTS OF EXPENSE	<u>\$2,436,739</u>	<u>\$2,916,902</u>	<u>\$3,199,679</u>	<u>\$2,753,222</u>	<u>\$3,022,359</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$2,436,739	\$2,916,902	\$3,199,679	\$2,753,222	\$3,022,359
TOTAL, METHOD OF FINANCING	<u>\$2,436,739</u>	<u>\$2,916,902</u>	<u>\$3,199,679</u>	<u>\$2,753,222</u>	<u>\$3,022,359</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For payment of taxes, for county purposes only, to counties in which are located endowment lands set aside to The University of Texas by the Constitution and the Act of 1883. Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by tax payments to counties and must be fully funded.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 07 Lateral Road Fund Districts

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
4000 Grants	\$7,300,000	\$7,300,000	\$7,300,000	\$6,893,500	\$6,893,500
TOTAL, OBJECTS OF EXPENSE	<u>\$7,300,000</u>	<u>\$7,300,000</u>	<u>\$7,300,000</u>	<u>\$6,893,500</u>	<u>\$6,893,500</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$7,300,000	\$7,300,000	\$7,300,000	\$6,893,500	\$6,893,500
TOTAL, METHOD OF FINANCING	<u>\$7,300,000</u>	<u>\$7,300,000</u>	<u>\$7,300,000</u>	<u>\$6,893,500</u>	<u>\$6,893,500</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For distribution of payments to counties pursuant to Texas Constitution, Article VIII, Section 7-a and Texas Transportation Code, Section 256.002, to construct and maintain county roads.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by county allocations for construction and maintenance costs and must be fully funded.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 08 Unclaimed Property

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
2009 Other Operating Expense	\$152,388,647	\$170,149,750	\$170,149,750	\$163,078,565	\$162,964,513
TOTAL, OBJECTS OF EXPENSE	<u>\$152,388,647</u>	<u>\$170,149,750</u>	<u>\$170,149,750</u>	<u>\$163,078,565</u>	<u>\$162,964,513</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$152,388,647	\$170,149,750	\$170,149,750	\$163,078,565	\$162,964,513
TOTAL, METHOD OF FINANCING	<u>\$152,388,647</u>	<u>\$170,149,750</u>	<u>\$170,149,750</u>	<u>\$163,078,565</u>	<u>\$162,964,513</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For the payment of all legitimate claims for previously unclaimed property held by the state. Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by requests from the public and must be fully funded.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 09 Underage Tobacco Program

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
4000 Grants	\$1,988,200	\$2,000,000	\$2,000,000	\$1,888,500	\$1,888,500
TOTAL, OBJECTS OF EXPENSE	<u>\$1,988,200</u>	<u>\$2,000,000</u>	<u>\$2,000,000</u>	<u>\$1,888,500</u>	<u>\$1,888,500</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$1,988,200	\$2,000,000	\$2,000,000	\$1,888,500	\$1,888,500
TOTAL, METHOD OF FINANCING	<u>\$1,988,200</u>	<u>\$2,000,000</u>	<u>\$2,000,000</u>	<u>\$1,888,500</u>	<u>\$1,888,500</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For the allocation of grants to local law enforcement agencies for enforcing provisions of Health and Safety Code, Chapter 161, related to reducing minor access to and consumption of tobacco products.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

The Texas Department of State Health Services and Texas State University are major contract providers for this strategy. If contract rates increase, grant payments to local law enforcement agencies will decrease proportionately.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 10 Local Continuing Education Grants

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
4000 Grants	\$5,996,038	\$5,997,108	\$6,000,000	\$5,663,108	\$5,666,000
TOTAL, OBJECTS OF EXPENSE	<u>\$5,996,038</u>	<u>\$5,997,108</u>	<u>\$6,000,000</u>	<u>\$5,663,108</u>	<u>\$5,666,000</u>

METHOD OF FINANCING:

0116 GR Dedicated - Law Enforcement Officer Standards and Education Account	\$5,996,038	\$5,997,108	\$6,000,000	\$5,663,108	\$5,666,000
TOTAL, METHOD OF FINANCING	<u>\$5,996,038</u>	<u>\$5,997,108</u>	<u>\$6,000,000</u>	<u>\$5,663,108</u>	<u>\$5,666,000</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For the allocation of funds credited to the Law Enforcement Officer Standards and Education Account for grants to local law enforcement agencies to provide continuing education for licensed peace officers, as required by Section 1701.157, Occupation Code.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by grants to local law enforcement agencies.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-03, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 11 Advanced Tax Compliance

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
2001 Professional Fees and Services	\$7,729,358	\$8,249,894	\$8,979,762	\$8,979,762	\$8,979,762
2004 Utilities	575,519	320,065	283,272	283,272	283,272
2009 Other Operating Expense	1,237,607	923,916	1,396,741	1,396,741	1,396,741
5000 Capital Expenditures	902,057	1,165,900	0	0	0
TOTAL, OBJECTS OF EXPENSE	<u>\$10,444,541</u>	<u>\$10,659,775</u>	<u>\$10,659,775</u>	<u>\$10,659,775</u>	<u>\$10,659,775</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$10,444,541	\$10,659,775	\$10,659,775	\$10,659,775	\$10,659,775
TOTAL, METHOD OF FINANCING	<u>\$10,444,541</u>	<u>\$10,659,775</u>	<u>\$10,659,775</u>	<u>\$10,659,775</u>	<u>\$10,659,775</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

The 77th Legislature adopted legislation that addressed the need to modernize tax administration technology and strategies. One of the major components of the new legislation was to contract with outside tax examiners to perform tax audits, thereby increasing audit coverage and assessments without additional support staff. In addition, the new legislation enabled the agency to remain current with the latest technology and innovative techniques, including wireless data communications and an improved audit database to collect all legally due taxes as efficiently as possible.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

The success of the advanced tax compliance program is dependent upon continued funding by the 82nd Legislature.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 12 Subsequent CVC Claims

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
2009 Other Operating Expense	\$7,779	\$66,003	\$0	\$30,000	UB
TOTAL, OBJECTS OF EXPENSE	<u>\$7,779</u>	<u>\$66,003</u>	<u>\$0</u>	<u>\$30,000</u>	<u>UB</u>

METHOD OF FINANCING:

0494 GR Dedicated - Compensation to Victims of Crime Auxiliary Account	\$7,779	\$66,003	\$0	\$30,000	UB
TOTAL, METHOD OF FINANCING	<u>\$7,779</u>	<u>\$66,003</u>	<u>\$0</u>	<u>\$30,000</u>	<u>UB</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For payments to victims of crime who have not made a claim for restitution during the prescribed five year period pursuant to Government Code, Section 76.013(d). Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by claims from victims of crime and must be fully funded.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 13 Gross Weight/Axle Fee Distribution

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
4000 Grants	\$6,898,469	\$5,636,564	\$7,500,000	\$5,219,064	\$7,082,500
TOTAL, OBJECTS OF EXPENSE	<u>\$6,898,469</u>	<u>\$5,636,564</u>	<u>\$7,500,000</u>	<u>\$5,219,064</u>	<u>\$7,082,500</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$0	\$5,636,564	\$7,500,000	\$5,219,064	\$7,082,500
0006 State Highway Fund	6,898,469	0	0	0	0
TOTAL, METHOD OF FINANCING	<u>\$6,898,469</u>	<u>\$5,636,564</u>	<u>\$7,500,000</u>	<u>\$5,219,064</u>	<u>\$7,082,500</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

For distribution of gross weight/axle fees to counties pursuant to Transportation Code, Section 621.353. Estimated.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by collected receipts of gross weight/axle permit fees and must be fully funded.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 14 Jobs and Education for Texans

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
4000 Grants	\$0	\$8,200,000	\$16,800,000	\$25,000,000	\$0
TOTAL, OBJECTS OF EXPENSE	<u>\$0</u>	<u>\$8,200,000</u>	<u>\$16,800,000</u>	<u>\$25,000,000</u>	<u>\$0</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$0	\$8,200,000	\$16,800,000	\$25,000,000	\$0
TOTAL, METHOD OF FINANCING	<u>\$0</u>	<u>\$8,200,000</u>	<u>\$16,800,000</u>	<u>\$25,000,000</u>	<u>\$0</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

To award grants to expand existing programs and develop new programs that prepare students for careers in high-demand occupations, including the startup costs associated with career and technical education courses and to provide scholarships for students in career and technical programs pursuant to Government Code, Section 403.354.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Expenditures in this strategy are driven by demonstrated financial need. Grants are awarded on a competitive basis, with selections based largely on potential economic returns to the state.

STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 15 Major Events Trust Fund

CODE DESCRIPTION

OBJECTS OF EXPENSE:	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
4000 Grants	\$0	\$0	\$25,000,000	\$0	\$0
TOTAL, OBJECTS OF EXPENSE	<u>\$0</u>	<u>\$0</u>	<u>\$25,000,000</u>	<u>\$0</u>	<u>\$0</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$0	\$0	\$25,000,000	\$0	\$0
TOTAL, METHOD OF FINANCING	<u>\$0</u>	<u>\$0</u>	<u>\$25,000,000</u>	<u>\$0</u>	<u>\$0</u>

FULL TIME EQUIVALENT POSITIONS:	0	0	0	0	0
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STRATEGY DESCRIPTION AND JUSTIFICATION:

To provide an appropriation of funds for the purpose of attracting and securing a major event such as a Super Bowl or other activity as required by Article 5190.14, Vernon's Texas Civil Statutes.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

If the Comptroller's office determines that an event will generate at least \$15 million in state and local tax revenue, the Comptroller's office and one or more endorsing municipalities or endorsing counties may enter into an agreement to require an amount of local tax revenue determined by the agency and state revenue appropriated by the Legislature for that purpose be deposited in the Major Events Trust Fund (Trust Fund) before the event. The Comptroller's office may make disbursements from the Trust Fund in accordance with the agreement to pay costs relating to attracting and securing the event. On termination of the agreement, the total amount of the state's initial contribution must be repaid to the state from any source specified in the agreement. An agreement may allow funds to be held in the Trust Fund and made available to pay the cost of securing the event in future years.

STRATEGY REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-37, Income-A.2, Age-B.3
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GOAL: 02 To develop and administer programs that promote energy efficiency

OBJECTIVE: 01 Maintain \$95 million balance in LoanSTAR Program

STRATEGY: 01 Promote and manage energy programs

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OUTPUT MEASURE:					
01 Number of Active LoanSTAR Loans Processed and Managed by SECO	68.0	65.0	72.0	80.0	80.0
EFFICIENCY MEASURE:					
01 Energy Dollars Saved Per Dollar Spent for Energy Retrofit Programs	\$67.0	\$69.0	\$69.0	\$64.0	\$64.0
OBJECTS OF EXPENSE:					
1001 Salaries and Wages	\$1,416,232	\$1,527,874	\$1,579,314	\$1,579,314	\$1,579,314
1002 Other Personnel Costs	360,221	355,785	405,153	405,153	405,153
2001 Professional Fees and Services	24,789	1,368,112	1,351,252	0	0
2003 Consumable Supplies	7,445	3,260	3,260	500	500
2004 Utilities	5,127	2,061	2,252	1,559	1,559
2005 Travel	47,530	34,503	35,899	21,452	21,452
2006 Rent – Building	0	3,000	3,000	0	0
2007 Rent – Machine and Other	17,413	21,389	22,487	15,571	15,571
2009 Other Operating Expense	51,486	11,329,678	11,691,178	16,347	16,347
3001 Client Services	17,500	7,718	0	0	0
4000 Grants	272,203	5,043,734	260,808,495	370,547	370,547
TOTAL, OBJECTS OF EXPENSE	\$2,219,946	\$19,697,114	\$275,902,290	\$2,410,443	\$2,410,443
	=====	=====	=====	=====	=====

STRATEGY REQUEST – FISCAL PROGRAMS

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
METHOD OF FINANCING:					
0001 General Revenue Fund	\$548,979	\$561,997	\$561,997	\$561,997	\$561,997
5005 GR Dedicated – Oil Overcharge Account	255,784	559,662	559,662	559,662	559,662
0369 Federal American Recovery and Reinvestment Fund	119,814	16,934,089	273,139,265	0	0
0555 Federal Funds	1,295,369	1,641,366	1,641,366	1,288,784	1,288,784
TOTAL, METHOD OF FINANCING	\$2,219,946	\$19,697,114	\$275,902,290	\$2,410,443	\$2,410,443
=====					
FULL TIME EQUIVALENT POSITIONS:	20.1	21.8	25.0	25.0	25.0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The State Energy Conservation Office (SECO) promotes and supports energy efficiency and clean energy deployment to help preserve the environment and protect public health and safety. By ensuring that funds available to the Texas LoanSTAR (Saving Taxes and Resources) Program equal or exceed \$95 million at all times, SECO is able to successfully conserve and protect state resources by: increasing energy efficiency and related education in Texas school districts; fostering clean energy technology transfer; providing technical support to local governments as they implement energy saving projects; and increasing the number of state agencies involved in demand-side energy management. Beneficiaries of these services include state agencies, public schools, city and county governments, institutions of higher education, private industries, electric and gas utilities and residential energy consumers. SECO also received over \$290 million in formula grant funding from the U.S. Department of Energy through four separate programs as a result of the American Recovery and Reinvestment Act (ARRA). These programs are designed to conserve and protect energy supplies through energy efficiency, renewable energy, transportation efficiency and emergency planning projects.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Major external factors impacting this strategy include the following: (1) the availability of oil overcharge funding or the prospect of gaining alternative funding to finance SECO's most critical and productive programs; (2) the state of the economy, particularly as it affects interest rates to finance energy efficiency improvements; (3) federal, state or regional initiatives and guidelines that have a positive or negative impact on energy efficiency or renewable energy; (4) prevailing "first cost" mentality in new construction that focuses on the initial price of a building or facility and ignores lifecycle operating costs — initial investment plus the lifetime costs to operate and maintain it; and (5) changes in energy efficiency and renewable energy technologies.

STRATEGY REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories: Service-37, Income-A.2, Age-B.3
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GOAL: 02 To develop and administer programs that promote energy efficiency

OBJECTIVE: 01 Maintain \$95 million balance in LoanSTAR Program

STRATEGY: 02 Oil Overcharge Settlement Funds

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
OBJECTS OF EXPENSE:					
2001 Professional Fees and Services	\$650	\$0	\$0	\$0	\$0
2009 Other Operating Expense	5,850	0	0	0	0
3001 Client Services	1,313,640	423,374	450,000	450,000	450,000
4000 Grants	14,906,048	15,694,105	16,473,353	62,393,042	12,270,618
TOTAL, OBJECTS OF EXPENSE	\$16,226,188	\$16,117,479	\$16,923,353	\$62,843,042	\$12,720,618
METHOD OF FINANCING:					
5005 GR Dedicated – Oil Overcharge Account	\$16,226,188	\$16,117,479	\$16,923,353	\$62,843,042	\$12,720,618
TOTAL, METHOD OF FINANCING	\$16,226,188	\$16,117,479	\$16,923,353	\$62,843,042	\$12,720,618
FULL TIME EQUIVALENT POSITIONS:					
	0	0	0	0	0

STRATEGY DESCRIPTION AND JUSTIFICATION:

The State Energy Conservation Office (SECO) was created to promote energy efficiency, preserve the environment, and protect public health and safety. By ensuring that funds available to the Texas LoanSTAR (Saving Taxes and Resources) Program equal or exceed \$95 million at all times, SECO is able to successfully conserve and protect state resources by: increasing energy efficiency and related education in Texas school districts; and fostering clean energy technology transfer. Beneficiaries of these services include state agencies, public schools, city and county governments, institutions of higher education, private industries, electric and gas utilities and residential energy consumers.

EXTERNAL / INTERNAL FACTORS IMPACTING STRATEGY:

Major external factors impacting this strategy include the following: (1) the availability of oil overcharge funding or the prospect of gaining alternative funding to finance SECO's most critical and productive programs; (2) the state of the economy, particularly as it affects interest rates to finance energy efficiency improvements; (3) federal, state, or regional initiatives and guidelines that have a positive or negative impact on energy efficiency or renewable energy; (4) prevailing "first cost" mentality in new construction that focuses on the initial price of a building or facility and ignores the lifecycle operating costs — the initial investment plus the lifetime costs to operate and maintain it; and (5) changes in energy efficiency and renewable energy technologies.

**INFORMATIONAL LISTING OF FUNDS APPROPRIATED TO THE COMPTROLLER
FOR SOCIAL SECURITY CONTRIBUTIONS AND BENEFIT REPLACEMENT PAY**

1. **Informational Listing of Appropriated Funds.** The appropriations made in this and other articles of this Act to the Comptroller of Public Accounts-Social Security/Benefit Replacement Pay are subject to the following provisions. The following amounts shall be used for the purposes indicated.

A. Goal: SOCIAL SECURITY CONTRIBUTIONS/BENEFIT REPLACEMENT PAY

A.1.1. Strategy: STATE MATCH--EMPLOYER
Provide an employer match for Social Security contributions. Estimated

A.1.2. Strategy: BENEFIT REPLACEMENT PAY
Provide Benefit Replacement Pay to eligible employees. Estimated

Total, Goal A: SOCIAL SECURITY CONTRIBUTIONS/BENEFIT REPLACEMENT PAY

Grand Total, COMPTROLLER OF PUBLIC ACCOUNTS - SOCIAL SECURITY /
BENEFIT REPLACEMENT PAY

Method of Financing

General Revenue Fund, estimated
General Revenue - Dedicated, estimated
State Highway Fund No. 006, estimated
Federal Funds, estimated
Other Special State Funds, estimated

Total, Method of Financing

EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
\$734,277,852	\$767,020,311	\$774,709,690	\$747,965,350	\$747,965,350
\$44,656,366	\$41,786,516	\$39,070,393	\$39,268,255	\$37,304,842
\$778,934,218	\$808,806,827	\$813,780,083	\$787,233,605	\$785,270,192
\$778,934,218	\$808,806,827	\$813,780,083	\$787,233,605	\$785,270,192
\$500,048,200	\$531,448,378	\$534,742,413	\$517,702,848	\$516,424,221
78,257,886	75,458,200	75,912,527	77,553,143	77,362,880
78,528,491	75,573,592	75,997,835	79,322,713	79,115,479
105,433,542	112,023,772	112,735,270	98,574,929	98,321,807
16,666,099	14,302,885	14,392,038	14,079,972	14,045,805
\$778,934,218	\$808,806,827	\$813,780,083	\$787,233,605	\$785,270,192

2. **Transfer of Social Security Contributions and Benefit Replacement Pay.** Appropriations made in this and other articles of this Act for Social Security and Benefit Replacement Pay shall be transferred by each agency from the Comptroller of Public Accounts to the agency based on estimated amounts by funding source for each fiscal year. Transfers should be made no later than September 15th of the year in which the payments are to be made. Adjustments and return of excess appropriation authority to the Comptroller's office shall be completed by October 30th of the subsequent fiscal year.

RIDER REVISIONS AND ADDITIONS REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/30/10	Request Level: Base																					
Current Rider Number	Page Number In 2010-11 GAA	Proposed Rider Language																							
2	I-23	<p>Appropriation from the Compensation to Victims of Crime Auxiliary Fund. Included in amounts appropriated above in Strategy A.1.12., Subsequent CVC Claims, are funds received by the Comptroller from departments under Government Code § 76.013, for crime victims who have not made a claim for restitution during the prescribed five year period and who make a subsequent claim (estimated to be \$30,000 for the biennium). In addition to amounts identified herein and included above, all revenue collected on or after September 1, 2009 <u>2011</u>, is hereby appropriated for the same purpose. Any unobligated balances remaining as of August 31, 2010 <u>2012</u>, are hereby appropriated for the same purposes for the fiscal year beginning September 1, 2010 <u>2012</u>.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years.</i></p>																							
10	I-24	<p>Oil Overcharge Settlement Funds. In addition to funds appropriated to Strategy B.1.2., Oil Overcharge Settlement Funds, out of Oil Overcharge Account Number 5005, are funds allocated to the State of Texas through consent decrees, court decrees and administrative orders involving violation of the mandatory petroleum pricing and allocation regulations, including the interest earned on those used by the State Energy Conservation Office (SECO) for the biennium beginning September 1, 2009 <u>2011</u> (estimated to be \$26,526,638 <u>\$28,171,260</u>). Any unexpended balances as of August 31, 2009 <u>2011</u>, of Oil Overcharge Funds Account No. 5005 are included in Strategy B.1.2., and are to be used by SECO for the biennium beginning September 1, 2009 <u>2011</u> (estimated to be \$27,924,748 <u>\$48,757,412</u>). In addition to amounts identified herein and included above, all unexpended balances remaining as of August 31, 2009 <u>2011</u>, and all revenue generated on or after September 1, 2009 <u>2011</u> are hereby appropriated for the same purpose.</p> <p>Of these estimated balances and revenues, the State Energy Conservation Office shall allocate an estimated total of \$4,425,648 <u>\$1,712,710</u> over the biennium based on the designations listed below. SECO is granted the discretion to prorate Oil Overcharge funds based on these designations in the event that the total amount estimated by this allocation is not realized. The amounts below are hereby designated for the biennium beginning September 1, 2009 <u>2011</u>, for the following purposes:</p> <table><tr><td><u>State Energy Conservation Office Core Programs:</u></td><td><u>2010 2012</u></td><td><u>2011 2013</u></td></tr><tr><td>Schools/Local Government Program</td><td>\$368,804 <u>\$171,271</u> & UB</td><td>\$368,804 <u>\$171,271</u> & UB</td></tr><tr><td>State Agencies/Higher Education Program</td><td>\$368,804 <u>\$171,271</u> & UB</td><td>\$368,804 <u>\$171,271</u> & UB</td></tr><tr><td>Renewable Energy Program</td><td>\$368,804 <u>\$171,271</u> & UB</td><td>\$368,804 <u>\$171,271</u> & UB</td></tr><tr><td>Housing Partnership</td><td>\$368,804 & UB</td><td>\$368,804 & UB</td></tr><tr><td>Transportation Energy Program</td><td>\$368,804 <u>\$171,271</u> & UB</td><td>\$368,804 <u>\$171,271</u> & UB</td></tr><tr><td>Alternative Fuels Program</td><td>\$368,804 <u>\$171,271</u> & UB</td><td>\$368,804 <u>\$171,271</u> & UB</td></tr></table> <p>Funds de-obligated from contracts within the above programs shall remain within the program. State Energy Program Administration funds are appropriated in Strategy B.1.1., Energy Office.</p> <p>Pursuant to Texas Government Code 2305.032 (f), funds available to the LoanSTAR Revolving Loan Program shall equal or exceed \$95,000,000 at all times. All unexpended LoanSTAR balances (estimated to be \$26,805,425 <u>\$48,757,412</u> of total balances noted above) and all revenues, except depository interest earned on LoanSTAR balances, generated by funds in the LoanSTAR Program (estimated to be \$22,100,990 <u>\$26,458,550</u> of total balances noted above), shall remain in the program. If a state agency or institution of higher education is a recipient of a loan under the statewide retrofit demonstration and revolving loan program, the agency or institution shall repay the loan from agency funds budgeted for the energy costs of the agency or institution.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years and estimated amounts.</i></p>			<u>State Energy Conservation Office Core Programs:</u>	<u>2010 2012</u>	<u>2011 2013</u>	Schools/Local Government Program	\$368,804 <u>\$171,271</u> & UB	\$368,804 <u>\$171,271</u> & UB	State Agencies/Higher Education Program	\$368,804 <u>\$171,271</u> & UB	\$368,804 <u>\$171,271</u> & UB	Renewable Energy Program	\$368,804 <u>\$171,271</u> & UB	\$368,804 <u>\$171,271</u> & UB	Housing Partnership	\$368,804 & UB	\$368,804 & UB	Transportation Energy Program	\$368,804 <u>\$171,271</u> & UB	\$368,804 <u>\$171,271</u> & UB	Alternative Fuels Program	\$368,804 <u>\$171,271</u> & UB	\$368,804 <u>\$171,271</u> & UB
<u>State Energy Conservation Office Core Programs:</u>	<u>2010 2012</u>	<u>2011 2013</u>																							
Schools/Local Government Program	\$368,804 <u>\$171,271</u> & UB	\$368,804 <u>\$171,271</u> & UB																							
State Agencies/Higher Education Program	\$368,804 <u>\$171,271</u> & UB	\$368,804 <u>\$171,271</u> & UB																							
Renewable Energy Program	\$368,804 <u>\$171,271</u> & UB	\$368,804 <u>\$171,271</u> & UB																							
Housing Partnership	\$368,804 & UB	\$368,804 & UB																							
Transportation Energy Program	\$368,804 <u>\$171,271</u> & UB	\$368,804 <u>\$171,271</u> & UB																							
Alternative Fuels Program	\$368,804 <u>\$171,271</u> & UB	\$368,804 <u>\$171,271</u> & UB																							

RIDER REVISIONS AND ADDITIONS REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/30/10	Request Level: Base																		
Current Rider Number	Page Number In 2010-11 GAA	Proposed Rider Language																				
11	I-25	<p>Department of Energy (DOE) Federal Funds. In addition to funds appropriated above for administration of the State Energy Conservation Office, all funds allocated to the State of Texas by the U.S. Department of Energy to fund Pantex and State energy programs are detailed below for the biennium beginning September 1, 2009 2011.</p> <p>The SECO shall allocate funds based upon the designations listed below:</p> <table><tr><td></td><td>FY 2010 2012</td><td>FY 2011 2013</td></tr><tr><td><u>Federal Funds: Pantex Programs</u></td><td></td><td></td></tr><tr><td>Agreement in Principle (Remedial Clean Up Action)</td><td>\$835,975 \$966,153 & UB</td><td>\$835,975 \$966,153 & UB</td></tr><tr><td>Waste Isolation Pilot Plant</td><td>\$341,000 & UB</td><td>\$341,000 & UB</td></tr><tr><td><u>Federal Funds: State Energy Program</u></td><td></td><td></td></tr><tr><td>State Energy Program (SEP) Grant</td><td>\$1,176,975 \$308,254 & UB</td><td>\$1,176,975 \$308,254 & UB</td></tr></table> <p><i>This rider provision must be updated to reflect the change in fiscal years and the amount of funds allocated to the State of Texas by the Department of Energy.</i></p>				FY 2010 2012	FY 2011 2013	<u>Federal Funds: Pantex Programs</u>			Agreement in Principle (Remedial Clean Up Action)	\$835,975 \$966,153 & UB	\$835,975 \$966,153 & UB	Waste Isolation Pilot Plant	\$341,000 & UB	\$341,000 & UB	<u>Federal Funds: State Energy Program</u>			State Energy Program (SEP) Grant	\$1,176,975 \$308,254 & UB	\$1,176,975 \$308,254 & UB
	FY 2010 2012	FY 2011 2013																				
<u>Federal Funds: Pantex Programs</u>																						
Agreement in Principle (Remedial Clean Up Action)	\$835,975 \$966,153 & UB	\$835,975 \$966,153 & UB																				
Waste Isolation Pilot Plant	\$341,000 & UB	\$341,000 & UB																				
<u>Federal Funds: State Energy Program</u>																						
State Energy Program (SEP) Grant	\$1,176,975 \$308,254 & UB	\$1,176,975 \$308,254 & UB																				
12	I-25	<p>Appropriation of Tax Refunds. As much of the respective taxes, fees, and charges, including penalties or other financial transactions administered or collected by the Comptroller as may be necessary is hereby appropriated and set aside to pay refunds, interest, and any costs and attorney fees awarded in court cases, as provided by law, subject to the following limitations and conditions:</p> <p>a. Unless another law, or section of this Act, provides a period within which a particular refund claim must be made, funds appropriated herein may not be used to pay a refund claim made under this section after four years from the latest date on which the amount collected or received by the State was due, if the amount was required to be paid on or before a particular date. If the amount was not required to be paid on or before a particular date, a refund claim may not be made after four years from the date the amount was collected or received. A person who fails to make a refund claim within the period provided by law, or this provision, shall not be eligible to receive payment of a refund under this provision.</p> <p>b. As a specific limitation to the amount of refunds paid from funds appropriated in this Act during the 2010-11 2012-13 biennium, the Comptroller shall not approve claims or issue warrants for refunds in excess of the amount of revenue estimated to be available from the tax, fee, or other revenue source during the biennium according to the Biennial Revenue Estimate of the Comptroller of Public Accounts used for certification of this Act. Any claim or portion of a claim which is in excess of this limitation established by this subsection "b" shall be presented to the next legislature for a specific appropriation in order for payment to be made. The limitation established by this subsection "b" shall not apply to any taxes or fees paid under protest.</p>																				

RIDER REVISIONS AND ADDITIONS REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/30/10	Request Level: Base
Current Rider Number	Page Number In 2010-11 GAA	Proposed Rider Language		
		<p>c. Where the Biennial Revenue Estimate referenced in subsection (b) provides that no revenues are estimated to be available from a tax, fee, or other revenue source, and where a special fund or dedicated account has been abolished or the law creating the special fund or dedicated account has been repealed or has expired, any balances which may have been transferred or credited to the General Revenue Fund because of such abolishment, repeal or expiration are appropriated from that fund to pay refunds that are otherwise payable under this section.</p> <p>d. From amounts collected pursuant to Sec. 47.052, Business & Commerce Code, there are hereby appropriated amounts necessary to pay a refund, settlement or judgment arising from litigation relating to the validity of the fee. Any portion of a settlement or judgment in excess of the amounts collected under Section 47.051, et seq., including interest, courts costs, or attorneys fees, shall be presented to the next legislature for a specific appropriation in order for payment to be made.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years.</i></p>		
14	I-26	<p>Cash Flow Transfer. As required by Government Code, Section 403.092, for the state fiscal biennium beginning September 1, 2009 <u>2011</u>, the Comptroller of Public Accounts is appropriated from the General Revenue Fund the amount needed:</p> <p>a. to return any available cash that was transferred to the General Revenue Fund from a fund outside the state treasury; and</p> <p>b. to maintain the equity of the fund from which the transfer was made.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years.</i></p>		
15	I-26	<p>Jobs and Education for Texans (JET). Included in amounts appropriated above in Strategy A.1.14., Jobs and Education for Texans, is \$25,000,000 in fiscal year 2010-2012 out of the General Revenue Fund to be transferred to the General Revenue-Dedicated Jobs and Education for Texans (JET) Fund No. 5143 and is hereby appropriated out of the General Revenue-Dedicated Jobs and Education for Texans (JET) Fund No. 5143 for the biennium beginning September 1, 2009-2011 to award grants to expand existing programs and develop new programs that prepares students for careers in high-demand occupations, including the startup costs associated with career and technical education (CTE) courses, and to provide scholarships for students in career and technical programs. Any unexpended balances remaining as of August 31, 2010-2012 are hereby appropriated for the fiscal year beginning September 1, 2010-2012 for the same purpose.</p> <p>This appropriation is contingent upon the Comptroller's certification of available General Revenue of \$25,000,000 for the biennium above the Comptroller's January 2009 Biennial Revenue Estimate.</p> <p><i>This rider provision must be updated to reflect the change in fiscal years and delete language that is not applicable.</i></p>		

RIDER REVISIONS AND ADDITIONS REQUEST – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Prepared By: Robert Chapa	Date: 08/30/10	Request Level: Base
Current Rider Number	Page Number In 2010-11 GAA	Proposed Rider Language		
16	I-26	<p>Major Events Trust Fund. Included in amounts appropriated above in Strategy A.1.15, Major Events Trust Fund, is \$25,000,000 in fiscal year 2010 out of the General Revenue Fund to be transferred to the Major Events Trust Fund, a fund held outside the state Treasury, for the purpose of attracting and securing eligible events. The appropriation is contingent on:</p> <ul style="list-style-type: none"> (a) a written statement confirming the state's interest in the event that is signed by both the Governor and the Comptroller of Public Accounts; (b) certification by the Comptroller of Public Accounts that sufficient revenues will be generated by eligible events to offset the amounts appropriated; (c) an agreement with one or more endorsing municipalities or counties entered into pursuant to Article 5190.14, Section 5A(r), Vernon's Texas Civil Statutes, as added by Senate Bill 1515, 81st Legislature, Regular Session; and (d) the receipt of local funds from the endorsing entity(s) pursuant to Article 5190.14, Section 5A(r), Vernon's Texas Civil Statutes, as added by Senate Bill 1515, 81st Legislature, Regular Session. <p><i>This rider provision is not applicable for the 2012-13 biennium.</i></p>		
701	I	<p><u>Unexpended Balances Carried Forward Between Biennia. All unexpended balances appropriated to the Comptroller of Public Accounts from the 2010-11 biennium for the Major Events Trust Fund are hereby appropriated for the 2012-13 biennium to be transferred out of the General Revenue Fund to the Major Events Trust Fund, a fund held outside the state Treasury, for the purpose of attracting and securing eligible events. The appropriation is contingent on:</u></p> <ul style="list-style-type: none"> <u>(a) a written statement confirming the state's interest in the event that is signed by both the Governor and the Comptroller of Public Accounts;</u> <u>(b) certification by the Comptroller of Public Accounts that sufficient revenues will be generated by eligible events to offset the amounts appropriated;</u> <u>(c) an agreement with one or more endorsing municipalities or counties entered into pursuant to Article 5190.14, Section 5A(r), Vernon's Texas Civil Statutes; and</u> <u>(d) the receipt or commitment of local funds from the endorsing entity(s) pursuant to Article 5190.14, Section 5A(r), Vernon's Texas Civil Statutes.</u> <p><i>This rider provision will allow the state to fully utilize the funding provided by the 81st Legislature in the event that all funds are not expended during the 2010-11 biennium.</i></p>		

EXCEPTIONAL ITEMS REQUEST SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Voter Registration

ITEM PRIORITY: 1

FUNDING FOR STRATEGY: 01-01-01 Voter Registration

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$425,045	\$56,780
TOTAL, OBJECTS OF EXPENSE	<u>\$425,045</u>	<u>\$56,780</u>

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$425,045	\$56,780
TOTAL, METHOD OF FINANCING	<u>\$425,045</u>	<u>\$56,780</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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DESCRIPTION AND JUSTIFICATION:

Payments to each county are made based upon initial registrations, cancellations and updated registrations of voters in the county as shown by a certified statement submitted by the Registrar to the Secretary of State, as required by VACS, Election Code, Section 19.002. This request would match the level of expected disbursements based on the Secretary of State's voter registration projections. A total of \$334,000 would restore the 5 percent reduction amount and an additional \$147,825 is needed to achieve the anticipated level of payments. Estimated.

EXTERNAL / INTERNAL FACTORS:

Expenditures in this strategy are driven by claims made by counties for voter registration related expenses and must be fully funded.

EXCEPTIONAL ITEMS REQUEST SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Reimbursement - Commitment Hearings

ITEM PRIORITY: 2

FUNDING FOR STRATEGY: 01-01-03 Reimbursement - Commitment Hearings

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
2009 Other Operating Expense	\$2,000	\$0
TOTAL, OBJECTS OF EXPENSE	\$2,000	\$0

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$2,000	\$0
TOTAL, METHOD OF FINANCING	\$2,000	\$0

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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DESCRIPTION AND JUSTIFICATION:

Reimbursements are made to counties for the cost of commitment hearings required by the Persons with Mental Retardation Act, Chapters 591-596, VTCA, Health and Safety Code. This request would restore the 5 percent reduction amount.

EXTERNAL / INTERNAL FACTORS:

Expenditures in this strategy are driven by claims made by counties for the reimbursement of commitment hearings and must be fully funded.

EXCEPTIONAL ITEMS REQUEST SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Reimbursement - Mixed Beverage Tax

ITEM PRIORITY: 3

FUNDING FOR STRATEGY: 01-01-04 Reimbursement - Mixed Beverage Tax

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$33,784,713	\$37,972,721
TOTAL, OBJECTS OF EXPENSE	<u>\$33,784,713</u>	<u>\$37,972,721</u>

METHOD OF FINANCING:		
0001 General Revenue Fund	\$33,784,713	\$37,972,721
TOTAL, METHOD OF FINANCING	<u>\$33,784,713</u>	<u>\$37,972,721</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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DESCRIPTION AND JUSTIFICATION:

Payments to counties are made for reimbursement of taxes received as authorized by Texas Tax Code Annotated, Section 183.051(b). A total of \$14,850,434 would restore the 5 percent reduction amount and an additional \$56,907,000 is required to make the anticipated payments using the current reimbursement rate and expected receipts in 2012 and 2013. Payments to each eligible county and incorporated municipality shall be equal to 10.7143 percent of receipts from permittees within the county or incorporated municipality during the quarter. Estimated.

EXTERNAL / INTERNAL FACTORS:

Expenditures in this strategy are driven by receipts collected within the counties or incorporated municipalities and must be fully funded.

EXCEPTIONAL ITEMS REQUEST SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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ITEM NAME: Judgments and Settlements

ITEM PRIORITY: 4

FUNDING FOR STRATEGY: 01-01-05 Judgments and Settlements

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
2009 Other Operating Expense	\$139,000	\$0
TOTAL, OBJECTS OF EXPENSE	\$139,000	\$0

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$139,000	\$0
TOTAL, METHOD OF FINANCING	\$139,000	\$0

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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DESCRIPTION AND JUSTIFICATION:

Payments for settlements and judgments for claims against state agencies payable under Chapters 101 and 104, Civil Practice and Remedies Code, including indemnification for criminal prosecutions and Federal Court judgments and settlements, shall be paid from special or local funds of the agency or institution to the extent available and then from General Revenue. The Comptroller's office shall maintain records of General Revenue paid and require reimbursement from agencies as special or local funds become available. Payments shall be made for eligible medical malpractice claims in conformance with Chapter 59, Education Code. All claims shall be prepared, verified and signed by the Attorney General's Office. This request would restore the 5 percent reduction amount.

EXTERNAL / INTERNAL FACTORS:

Expenditures in this strategy are driven by settlements and judgments for claims against state agencies and must be fully funded.

EXCEPTIONAL ITEMS REQUEST SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: County Taxes - University Lands

ITEM PRIORITY: 5

FUNDING FOR STRATEGY: 01-01-06 County Taxes - University Lands

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$661,174	\$576,452
TOTAL, OBJECTS OF EXPENSE	<u>\$661,174</u>	<u>\$576,452</u>

METHOD OF FINANCING:		
0001 General Revenue Fund	\$661,174	\$576,452
TOTAL, METHOD OF FINANCING	<u>\$661,174</u>	<u>\$576,452</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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DESCRIPTION AND JUSTIFICATION:

Payments of taxes, for county purposes only, are made to counties in which are located endowment lands set aside to the University of Texas by the Constitution and the Act of 1883. A total of \$341,000 would restore the 5 percent reduction amount and an additional \$896,626 is needed to match the expected tax billings on endowment lands in 2012 and 2013, respectively. Estimated.

EXTERNAL / INTERNAL FACTORS:

Expenditures in this strategy are driven by tax payments to counties and must be fully funded.

EXCEPTIONAL ITEMS REQUEST SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Lateral Road Fund Districts

ITEM PRIORITY: 6

FUNDING FOR STRATEGY: 01-01-07 Lateral Road Fund Districts

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$406,500	\$406,500
TOTAL, OBJECTS OF EXPENSE	\$406,500	\$406,500

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$406,500	\$406,500
TOTAL, METHOD OF FINANCING	\$406,500	\$406,500

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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DESCRIPTION AND JUSTIFICATION:

Payments are distributed to counties pursuant to the Texas Constitution, Article VIII, Section 7-a and the Texas Transportation Code, Section 256.002, to construct and maintain county roads. This request would restore the 5 percent reduction amount.

EXTERNAL / INTERNAL FACTORS:

Expenditures in this strategy are driven by county allocations for construction and maintenance costs and must be fully funded.

EXCEPTIONAL ITEMS REQUEST SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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ITEM NAME: Unclaimed Property

ITEM PRIORITY: 7

FUNDING FOR STRATEGY: 01-01-08 Unclaimed Property

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
2009 Other Operating Expense	\$56,921,435	\$87,035,487
TOTAL, OBJECTS OF EXPENSE	\$56,921,435	\$87,035,487

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$56,921,435	\$87,035,487
TOTAL, METHOD OF FINANCING	\$56,921,435	\$87,035,487

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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DESCRIPTION AND JUSTIFICATION:

Payments are made to legitimate claimants for previously unclaimed property held by the state. A total of \$14,256,422 is needed to restore the 5 percent reduction amount and an additional \$54,447,920 is needed to fully satisfy all expected claims for the return of unclaimed property in fiscal years 2012 and 2013. Estimated.

EXTERNAL / INTERNAL FACTORS:

Expenditures in this strategy are driven by requests from the public and must be fully funded. There has been a significant growth in valid claims over the past several years and the trend is expected to continue.

EXCEPTIONAL ITEMS REQUEST SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Underage Tobacco Program

ITEM PRIORITY: 8

FUNDING FOR STRATEGY: 01-01-9 Underage Tobacco Program

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$111,500	\$111,500
TOTAL, OBJECTS OF EXPENSE	\$111,500	\$111,500

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$111,500	\$111,500
TOTAL, METHOD OF FINANCING	\$111,500	\$111,500

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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DESCRIPTION AND JUSTIFICATION:

Grants to local law enforcement agencies are allocated for enforcing provisions of Health and Safety Code, Chapter 161, related to reducing minor access to and consumption of tobacco products. This request would restore the 5 percent reduction amount.

EXTERNAL / INTERNAL FACTORS:

Expenditures in this strategy are driven by grants to local law enforcement agencies.

EXCEPTIONAL ITEMS REQUEST SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Local Continuing Education Grants

ITEM PRIORITY: 9

FUNDING FOR STRATEGY: 01-01-10 Local Continuing Education Grants

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$336,892	\$334,000
TOTAL, OBJECTS OF EXPENSE	\$336,892	\$334,000

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0116 GR Dedicated - Law Enforcement Officer Standards and Education Account	\$336,892	\$334,000
TOTAL, METHOD OF FINANCING	\$336,892	\$334,000

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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DESCRIPTION AND JUSTIFICATION:

Funds credited to the Law Enforcement Officer Standards and Education Account are allocated for grants to local law enforcement agencies to provide continuing education for licensed peace officers, as required by Section 1701.157, Occupation Code. A total of \$668,000 would restore the 5 percent reduction amount and an additional \$2,892 is needed to fully fund the grant program at the 2010-11 appropriated level.

EXTERNAL / INTERNAL FACTORS:

Expenditures in this strategy are driven by grants to local law enforcement agencies.

EXCEPTIONAL ITEMS REQUEST SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Gross Weight/Axle Fee Distribution

ITEM PRIORITY: 10

FUNDING FOR STRATEGY: 01-01-13 Gross Weight/Axle Fee Distribution

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$2,280,936	\$417,500
TOTAL, OBJECTS OF EXPENSE	\$2,280,936	\$417,500

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$2,280,936	\$417,500
TOTAL, METHOD OF FINANCING	\$2,280,936	\$417,500

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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DESCRIPTION AND JUSTIFICATION:

Gross weight/axle fees are distributed to counties pursuant to Transportation Code, Section 621.353. A total of \$835,000 would restore the 5 percent reduction amount and an additional \$1,863,436 is needed to fund anticipated payments. Estimated.

EXTERNAL / INTERNAL FACTORS:

Expenditures in this strategy are driven by collected receipts of gross weight/axle permit fees and must be fully funded.

EXCEPTIONAL ITEMS REQUEST SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories Service-37, Income-A.2, Age-B.3
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ITEM NAME: Oil Overcharge Settlement Funds

ITEM PRIORITY: 11

FUNDING FOR STRATEGY: 02-01-02 Oil Overcharge Settlement Funds

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$0	\$1,365,012
TOTAL, OBJECTS OF EXPENSE	<u>\$0</u>	<u>\$1,365,012</u>

METHOD OF FINANCING:		
5005 GR Dedicated - Oil Overcharge Account	\$0	\$1,365,012
TOTAL, METHOD OF FINANCING	<u>\$0</u>	<u>\$1,365,012</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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DESCRIPTION AND JUSTIFICATION:

The State Energy Conservation Office (SECO) was created to promote energy efficiency, preserve the environment, and protect public health and safety. By ensuring that funds available to the Texas LoanSTAR (Saving Taxes and Resources) Program equal or exceed \$95 million at all times, SECO is able to successfully conserve and protect state resources by: increasing energy efficiency and related education in Texas school districts; and fostering clean energy technology transfer. Beneficiaries of these services include state agencies, public schools, city and county governments, institutions of higher education, private industries, electric and gas utilities and residential energy consumers.

EXTERNAL / INTERNAL FACTORS:

Interest generated on oil overcharge funds is available only to the program. Since estimated funds for the 2012-13 biennium exceed the 2010-11 baseline, an exceptional item was required. Approval of the exceptional item is necessary in order to be able to utilize all oil overcharge funding available to the program. There is no cost to the General Revenue Fund. Additionally, oil overcharge funds can only be expended on energy programs approved by the Department of Energy.

EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Voter Registration

ALLOCATION TO STRATEGY: 01-01-01 Voter Registration

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$425,045	\$56,780
TOTAL, OBJECTS OF EXPENSE	<u>\$425,045</u>	<u>\$56,780</u>
METHOD OF FINANCING:		
0001 General Revenue Fund	\$425,045	\$56,780
TOTAL, METHOD OF FINANCING	<u>\$425,045</u>	<u>\$56,780</u>
FULL TIME EQUIVALENT POSITIONS:	0.0	0.0

EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Reimbursement - Commitment Hearings

ALLOCATION TO STRATEGY: 01-01-03 Reimbursement - Commitment Hearings

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
2009 Other Operating Expense	\$2,000	\$0
TOTAL, OBJECTS OF EXPENSE	<u>\$2,000</u>	<u>\$0</u>
METHOD OF FINANCING:		
0001 General Revenue Fund	\$2,000	\$0
TOTAL, METHOD OF FINANCING	<u>\$2,000</u>	<u>\$0</u>
FULL TIME EQUIVALENT POSITIONS:	0.0	0.0

EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Reimbursement - Mixed Beverage Tax

ALLOCATION TO STRATEGY: 01-01-04 Reimbursement - Mixed Beverage Tax

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$33,784,713	\$37,972,721
TOTAL, OBJECTS OF EXPENSE	<u>\$33,784,713</u>	<u>\$37,972,721</u>

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$33,784,713	\$37,972,721
TOTAL, METHOD OF FINANCING	<u>\$33,784,713</u>	<u>\$37,972,721</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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ITEM NAME: Judgments and Settlements

ALLOCATION TO STRATEGY: 01-01-05 Judgments and Settlements

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
2009 Other Operating Expense	\$139,000	\$0
TOTAL, OBJECTS OF EXPENSE	<u>\$139,000</u>	<u>\$0</u>
METHOD OF FINANCING:		
0001 General Revenue Fund	\$139,000	\$0
TOTAL, METHOD OF FINANCING	<u>\$139,000</u>	<u>\$0</u>
FULL TIME EQUIVALENT POSITIONS:	0.0	0.0

EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: County Taxes - University Lands

ALLOCATION TO STRATEGY: 01-01-06 County Taxes - University Lands

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$661,174	\$576,452
TOTAL, OBJECTS OF EXPENSE	<u>\$661,174</u>	<u>\$576,452</u>
METHOD OF FINANCING:		
0001 General Revenue Fund	\$661,174	\$576,452
TOTAL, METHOD OF FINANCING	<u>\$661,174</u>	<u>\$576,452</u>
FULL TIME EQUIVALENT POSITIONS:	0.0	0.0

EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Lateral Road Fund Districts

ALLOCATION TO STRATEGY: 01-01-07 Lateral Road Fund Districts

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$406,500	\$406,500
TOTAL, OBJECTS OF EXPENSE	<u>\$406,500</u>	<u>\$406,500</u>
METHOD OF FINANCING:		
0001 General Revenue Fund	\$406,500	\$406,500
TOTAL, METHOD OF FINANCING	<u>\$406,500</u>	<u>\$406,500</u>
FULL TIME EQUIVALENT POSITIONS:	0.0	0.0

EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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ITEM NAME: Unclaimed Property

ALLOCATION TO STRATEGY: 01-01-08 Unclaimed Property

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
2009 Other Operating Expense	\$34,295,145	\$34,409,197
TOTAL, OBJECTS OF EXPENSE	<u>\$34,295,145</u>	<u>\$34,409,197</u>

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$34,295,145	\$34,409,197
TOTAL, METHOD OF FINANCING	<u>\$34,295,145</u>	<u>\$34,409,197</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Underage Tobacco Program

ALLOCATION TO STRATEGY: 01-01-09 Underage Tobacco Program

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$111,500	\$111,500
TOTAL, OBJECTS OF EXPENSE	<u>\$111,500</u>	<u>\$111,500</u>

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$111,500	\$111,500
TOTAL, METHOD OF FINANCING	<u>\$111,500</u>	<u>\$111,500</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Local Continuing Education Grants

ALLOCATION TO STRATEGY: 01-01-10 Local Continuing Education Grants

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$336,892	\$334,000
TOTAL, OBJECTS OF EXPENSE	<u>\$336,892</u>	<u>\$334,000</u>

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0116 GR Dedicated - Law Enforcement Officer Standards and Education Account	\$336,892	\$334,000
TOTAL, METHOD OF FINANCING	<u>\$336,892</u>	<u>\$334,000</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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ITEM NAME: Gross Weight/Axle Fee Distribution

ALLOCATION TO STRATEGY: 01-01-13 Gross Weight/Axle Fee Distribution

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$2,280,936	\$417,500
TOTAL, OBJECTS OF EXPENSE	<u>\$2,280,936</u>	<u>\$417,500</u>

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$2,280,936	\$417,500
TOTAL, METHOD OF FINANCING	<u>\$2,280,936</u>	<u>\$417,500</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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EXCEPTIONAL ITEMS STRATEGY ALLOCATION SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories Service-37, Income-A.2, Age-B.3
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ITEM NAME: Oil Overcharge Settlement Funds

ALLOCATION TO STRATEGY: 02-01-02 Oil Overcharge Settlement Funds

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$0	\$1,365,012
TOTAL, OBJECTS OF EXPENSE	<u>\$0</u>	<u>\$1,365,012</u>

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
5005 Oil Overcharge Account	\$0	\$1,365,012
TOTAL, METHOD OF FINANCING	<u>\$0</u>	<u>\$1,365,012</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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EXCEPTIONAL ITEMS STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 01 Voter Registration

OBJECTS OF EXPENSE:

4000 Grants

TOTAL, OBJECTS OF EXPENSE

EXCP 2012	EXCP 2013
\$425,045	\$56,780
<u>\$425,045</u>	<u>\$56,780</u>

METHOD OF FINANCING:

0001 General Revenue Fund

TOTAL, METHOD OF FINANCING

\$425,045	\$56,780
<u>\$425,045</u>	<u>\$56,780</u>

FULL TIME EQUIVALENT POSITIONS:

0.0 0.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: Voter Registration

EXCEPTIONAL ITEMS STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 03 Reimbursement - Commitment Hearings

OBJECTS OF EXPENSE:

	EXCP 2012	EXCP 2013
2009 Other Operating Expense	\$2,000	\$0
TOTAL, OBJECTS OF EXPENSE	\$2,000	\$0

METHOD OF FINANCING:

0001 General Revenue Fund	\$2,000	\$0
TOTAL, METHOD OF FINANCING	\$2,000	\$0

FULL TIME EQUIVALENT POSITIONS:

0.0	0.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: Reimbursement - Commitment Hearings

EXCEPTIONAL ITEMS STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 04 Reimbursement - Mixed Beverage Tax

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$33,784,713	\$37,972,721
TOTAL, OBJECTS OF EXPENSE	<u>\$33,784,713</u>	<u>\$37,972,721</u>

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$33,784,713	\$37,972,721
TOTAL, METHOD OF FINANCING	<u>\$33,784,713</u>	<u>\$37,972,721</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: Reimbursement - Mixed Beverage Tax

EXCEPTIONAL ITEMS STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 05 Judgments and Settlements

OBJECTS OF EXPENSE:

	EXCP 2012	EXCP 2013
2009 Other Operating Expense	\$139,000	\$0
TOTAL, OBJECTS OF EXPENSE	<u>\$139,000</u>	<u>\$0</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$139,000	\$0
TOTAL, METHOD OF FINANCING	<u>\$139,000</u>	<u>\$0</u>

FULL TIME EQUIVALENT POSITIONS:

	0.0	0.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: Judgments and Settlements

EXCEPTIONAL ITEMS STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 06 County Taxes - University Lands

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$661,174	\$576,452
TOTAL, OBJECTS OF EXPENSE	<u>\$661,174</u>	<u>\$576,452</u>

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$661,174	\$576,452
TOTAL, METHOD OF FINANCING	<u>\$661,174</u>	<u>\$576,452</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: County Taxes - University Lands

EXCEPTIONAL ITEMS STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 07 Lateral Road Fund Districts

OBJECTS OF EXPENSE:

4000 Grants

TOTAL, OBJECTS OF EXPENSE

EXCP 2012	EXCP 2013
\$406,500	\$406,500
<u>\$406,500</u>	<u>\$406,500</u>

METHOD OF FINANCING:

0001 General Revenue Fund

TOTAL, METHOD OF FINANCING

\$406,500	\$406,500
<u>\$406,500</u>	<u>\$406,500</u>

FULL TIME EQUIVALENT POSITIONS:

0.0 0.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: Lateral Road Fund Districts

EXCEPTIONAL ITEMS STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-05, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 08 Unclaimed Property

OBJECTS OF EXPENSE:

	EXCP 2012	EXCP 2013
2009 Other Operating Expense	\$34,295,145	\$34,409,197
TOTAL, OBJECTS OF EXPENSE	<u>\$34,295,145</u>	<u>\$34,409,197</u>

METHOD OF FINANCING:

0001 General Revenue Fund	\$34,295,145	\$34,409,197
TOTAL, METHOD OF FINANCING	<u>\$34,295,145</u>	<u>\$34,409,197</u>

FULL TIME EQUIVALENT POSITIONS:

	0.0	0.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: Unclaimed Property

EXCEPTIONAL ITEMS STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 09 Underage Tobacco Program

OBJECTS OF EXPENSE:

4000 Grants

TOTAL, OBJECTS OF EXPENSE

EXCP 2012	EXCP 2013
\$111,500	\$111,500
<u>\$111,500</u>	<u>\$111,500</u>

METHOD OF FINANCING:

0001 General Revenue Fund

TOTAL, METHOD OF FINANCING

\$111,500	\$111,500
<u>\$111,500</u>	<u>\$111,500</u>

FULL TIME EQUIVALENT POSITIONS:

0.0 0.0

EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: Underage Tobacco Program

EXCEPTIONAL ITEMS STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 10 Local Continuing Education Grants

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$336,892	\$334,000
TOTAL, OBJECTS OF EXPENSE	<u>\$336,892</u>	<u>\$334,000</u>

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0116 GR Dedicated - Law Enforcement Officer Standards and Education Account	\$336,892	\$334,000
TOTAL, METHOD OF FINANCING	<u>\$336,892</u>	<u>\$334,000</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: Local Continuing Education Grants

EXCEPTIONAL ITEMS STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-00	Service Categories Service-07, Income-A.2, Age-B.3
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GOAL: 01 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 13 Gross Weight/Axle Fee Distribution

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$2,280,936	\$417,500
TOTAL, OBJECTS OF EXPENSE	<u>\$2,280,936</u>	<u>\$417,500</u>

METHOD OF FINANCING:	EXCP 2012	EXCP 2013
0001 General Revenue Fund	\$2,280,936	\$417,500
TOTAL, METHOD OF FINANCING	<u>\$2,280,936</u>	<u>\$417,500</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: Gross Weight/Axle Fee Distribution

EXCEPTIONAL ITEMS STRATEGY REQUEST - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts	Statewide Goal/Benchmark: 08-02	Service Categories Service-37, Income-A.2, Age-B.3
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GOAL: 02 Comptroller of Public Accounts

OBJECTIVE: 01 Comptroller of Public Accounts

STRATEGY: 02 Oil Overcharge Settlement Funds

OBJECTS OF EXPENSE:	EXCP 2012	EXCP 2013
4000 Grants	\$0	\$1,365,012
TOTAL, OBJECTS OF EXPENSE	<u>\$0</u>	<u>\$1,365,012</u>

METHOD OF FINANCING:		
5005 Oil Overcharge Account	\$0	\$1,365,012
TOTAL, METHOD OF FINANCING	<u>\$0</u>	<u>\$1,365,012</u>

FULL TIME EQUIVALENT POSITIONS:	0.0	0.0
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EXCEPTIONAL ITEM(S) INCLUDED IN STRATEGY: Oil Overcharge Settlement Funds

CAPITAL BUDGET PROJECT SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2010

BUD 2011

BL 2012

BL 2013

5005 Acquisition of Information Resource Technologies

1/1 Advanced Tax Compliance Daily Operations - Capital

OBJECTS OF EXPENSE - CAPITAL

5000 Capital Expenditures

\$445,000

\$0

\$0

\$0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 001

\$445,000

\$0

\$0

\$0

SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 001

\$445,000

\$0

\$0

\$0

TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund

\$445,000

\$0

\$0

\$0

CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 001

\$445,000

\$0

\$0

\$0

SUBTOTAL, TYPE OF FINANCING, PROJECT 001

\$445,000

\$0

\$0

\$0

CAPITAL BUDGET PROJECT SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2010

BUD 2011

BL 2012

BL 2013

5005 Acquisition of Information Resource Technologies

2/2 Advanced Tax Compliance - Treasury Modernization

OBJECTS OF EXPENSE - CAPITAL

2001 Professional Fees and Services

\$4,604,568

\$2,512,000

\$0

\$0

2009 Other Operating Expenses

79,810

0

0

0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 002

\$4,684,378

\$2,512,000

\$0

\$0

SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 002

\$4,684,378

\$2,512,000

\$0

\$0

TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund

\$4,684,378

\$2,512,000

\$0

\$0

CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 002

\$4,684,378

\$2,512,000

\$0

\$0

SUBTOTAL, TYPE OF FINANCING, PROJECT 002

\$4,684,378

\$2,512,000

\$0

\$0

CAPITAL BUDGET PROJECT SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2010

BUD 2011

BL 2012

BL 2013

5005 Acquisition of Information Resource Technologies

3/3 Advanced Tax Compliance - Tax System Modernization

OBJECTS OF EXPENSE - CAPITAL

2009 Other Operating Expenses

\$203,563

\$0

\$0

\$0

5000 Capital Expenditures

720,900

0

0

0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 003

\$924,463

\$0

\$0

\$0

SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 003

\$924,463

\$0

\$0

\$0

TYPE OF FINANCING - CAPITAL

CA 0001 General Revenue Fund

\$924,463

\$0

\$0

\$0

CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 003

\$924,463

\$0

\$0

\$0

SUBTOTAL, TYPE OF FINANCING, PROJECT 003

\$924,463

\$0

\$0

\$0

CAPITAL BUDGET PROJECT SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

EST 2010

BUD 2011

BL 2012

BL 2013

5005 Acquisition of Information Resource Technologies

4/4 State Energy Conservation Office - Grants System

OBJECTS OF EXPENSE - CAPITAL

2001 Professional Fees and Services

\$1,109,600

\$100,954

\$0

\$0

CAPITAL SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 004

\$1,109,600

\$100,954

\$0

\$0

SUBTOTAL, OBJECTS OF EXPENSE, PROJECT 004

\$1,109,600

\$100,954

\$0

\$0

TYPE OF FINANCING - CAPITAL

CA 00369 Federal American Recovery and Reinvestment Fund

\$1,109,600

\$100,954

\$0

\$0

CAPITAL SUBTOTAL, TYPE OF FINANCING, PROJECT 004

\$1,109,600

\$100,954

\$0

\$0

SUBTOTAL, TYPE OF FINANCING, PROJECT 004

\$1,109,600

\$100,954

\$0

\$0

CAPITAL BUDGET PROJECT SCHEDULE - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / CATEGORY NAME

PROJECT NUMBER / NAME

OOE / TOF / MOF CODE

	EST 2010	BUD 2011	BL 2012	BL 2013
Capital Subtotal, Category 5005	\$7,163,441	\$2,612,954	\$0	\$0
Informational Subtotal, Category 5005	0	0	0	0
TOTAL, CATEGORY 5005	<u>\$7,163,441</u>	<u>\$2,612,954</u>	<u>\$0</u>	<u>\$0</u>
=====				
AGENCY TOTAL - CAPITAL	\$7,163,441	\$2,612,954	\$0	\$0
AGENCY TOTAL - INFORMATIONAL	0	0	0	0
AGENCY TOTAL	<u>\$7,163,441</u>	<u>\$2,612,954</u>	<u>\$0</u>	<u>\$0</u>
=====				
METHOD OF FINANCING - CAPITAL				
0001 General Revenue Fund	\$6,053,841	\$2,512,000	\$0	\$0
0369 Federal American Recovery and Reinvestment Fund	1,109,600	100,954	0	0
TOTAL, METHOD OF FINANCING - CAPITAL	<u>\$7,163,441</u>	<u>\$2,612,954</u>	<u>\$0</u>	<u>\$0</u>
=====				
TOTAL, METHOD OF FINANCING	<u>\$7,163,441</u>	<u>\$2,612,954</u>	<u>\$0</u>	<u>\$0</u>
=====				
TYPE OF FINANCING - CAPITAL				
CA Current Appropriations	\$7,163,441	\$2,612,954	\$0	\$0
TOTAL, TYPE OF FINANCING - CAPITAL	<u>\$7,163,441</u>	<u>\$2,612,954</u>	<u>\$0</u>	<u>\$0</u>
=====				
TOTAL, TYPE OF FINANCING	<u>\$7,163,441</u>	<u>\$2,612,954</u>	<u>\$0</u>	<u>\$0</u>
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CAPITAL BUDGET ALLOCATION TO STRATEGIES - FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CATEGORY CODE / NAME PROJECT NUMBER / NAME STRATEGY NAME		GOAL OBJECTIVE STRATEGY	EST 2010	BUD 2011	BL 2012	BL 2013
5005 Acquisition of Information Resource Technologies						
1/1 Advanced Tax Compliance Daily Operations - Capital						
Capital	Advanced Tax Compliance	01-01-11	\$445,000	\$0	\$0	\$0
TOTAL, PROJECT			<u>\$445,000</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
2/2 Advanced Tax Compliance - Treasury Modernization						
Capital	Advanced Tax Compliance	01-01-11	\$4,684,378	\$2,512,000	\$0	\$0
TOTAL, PROJECT			<u>\$4,684,378</u>	<u>\$2,512,000</u>	<u>\$0</u>	<u>\$0</u>
3/3 Advanced Tax Compliance - Tax System Modernization						
Capital	Advanced Tax Compliance	01-01-11	\$924,463	\$0	\$0	\$0
TOTAL, PROJECT			<u>\$924,463</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
4/4 State Energy Conservation Office - Grants System						
Capital	State Energy Conservation Office	02-01-01	\$1,109,600	\$100,954	\$0	\$0
TOTAL, PROJECT			<u>\$1,109,600</u>	<u>\$100,954</u>	<u>\$0</u>	<u>\$0</u>
TOTAL CAPITAL, ALL PROJECTS			\$7,163,441	\$2,612,954	\$0	\$0
TOTAL INFORMATIONAL, ALL PROJECTS			0	0	0	0
TOTAL, ALL PROJECTS			<u>\$7,163,441</u>	<u>\$2,612,954</u>	<u>\$0</u>	<u>\$0</u>

FEDERAL FUNDS SUPPORTING SCHEDULE – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts

CFDA NUMBER / STRATEGY

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
00.000.001 Miscellaneous Claims					
01-01-02 Miscellaneous Claims – Federal Health, Education and Welfare Fund	\$420	\$0	\$0	\$0	\$0
01-01-02 Miscellaneous Claims – Department of Public Safety Federal Fund	0	2,407	0	0	0
01-01-02 Miscellaneous Claims – Federal Health and Health Lab Revenue Fund	24,986	0	0	0	0
01-01-02 Miscellaneous Claims – Workforce Commission Federal Account	21,469	3,750	0	0	0
01-01-02 Miscellaneous Claims – Railroad Commission Federal Fund	40	0	0	0	0
TOTAL, ALL STRATEGIES	\$46,915	\$6,157	\$0	\$0	\$0
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$46,915	\$6,157	\$0	\$0	\$0
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0
81.041.000 State Energy Program					
02-01-01 Promote and manage energy programs	\$1,030,908	\$1,367,724	\$1,367,724	\$987,746	\$987,746
TOTAL, ALL STRATEGIES	\$1,030,908	\$1,367,724	\$1,367,724	\$987,746	\$987,746
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$1,030,908	\$1,367,724	\$1,367,724	\$987,746	\$987,746
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0
81.041.001 State Energy Program – Stimulus					
02-01-01 Promote and manage energy programs	\$119,814	\$3,863,651	\$214,798,535	\$0	\$0
TOTAL, ALL STRATEGIES	\$119,814	\$3,863,651	\$214,798,535	\$0	\$0
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$119,814	\$3,863,651	\$214,798,535	\$0	\$0
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0

FEDERAL FUNDS SUPPORTING SCHEDULE – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts

CFDA NUMBER / STRATEGY

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
81.092.000 Pantex – Environmental Restoration – AIP					
02-01-01 Promote and manage energy programs	\$203,635	\$239,642	\$239,642	\$242,038	\$242,038
TOTAL, ALL STRATEGIES	\$203,635	\$239,642	\$239,642	\$242,038	\$242,038
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$203,635	\$239,642	\$239,642	\$242,038	\$242,038
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0
81.106.000 Transport of Transuranic Wastes to the Waste Isolation Plant – WIPP					
02-01-01 Promote and manage energy programs	\$60,826	\$34,000	\$34,000	\$59,000	\$59,000
TOTAL, ALL STRATEGIES	\$60,826	\$34,000	\$34,000	\$59,000	\$59,000
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$60,826	\$34,000	\$34,000	\$59,000	\$59,000
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0
81.122.000 Energy Assurance Program – Stimulus					
02-01-01 Promote and manage energy programs	\$0	\$373,807	\$2,058,261	\$0	\$0
TOTAL, ALL STRATEGIES	\$0	\$373,807	\$2,058,261	\$0	\$0
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$0	\$373,807	\$2,058,261	\$0	\$0
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0

FEDERAL FUNDS SUPPORTING SCHEDULE – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts

CFDA NUMBER / STRATEGY

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
81.127.001 ENERGY STAR Appliance Rebate Program – Stimulus					
02-01-01 Promote and manage energy programs	\$0	\$11,500,000	\$11,841,000	\$0	\$0
TOTAL, ALL STRATEGIES	\$0	\$11,500,000	\$11,841,000	\$0	\$0
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$0	\$11,500,000	\$11,841,000	\$0	\$0
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0
81.128.000 Energy Efficiency and Conservation Block Grant Program – Stimulus					
02-01-01 Promote and manage energy programs	\$0	\$1,196,631	\$44,441,469	\$0	\$0
TOTAL, ALL STRATEGIES	\$0	\$1,196,631	\$44,441,469	\$0	\$0
ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$0	\$1,196,631	\$44,441,469	\$0	\$0
ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0

FEDERAL FUNDS SUPPORTING SCHEDULE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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CFDA NUMBER / STRATEGY

	EXP 2009	EST 2010	BUD 2011	BL 2012	BL 2013
SUMMARY LISTING OF FEDERAL PROGRAM AMOUNTS					
00.000.001 Miscellaneous Claims	\$46,915	\$6,157	\$0	\$0	\$0
81.041.000 State Energy Program	1,030,908	1,367,724	1,367,724	987,746	987,746
81.041.001 State Energy Program – Stimulus	119,814	3,863,651	214,798,535	0	0
81.092.000 Pantex – Environmental Restoration – AIP	203,635	239,642	239,642	242,038	242,038
81.106.000 Transport of Transuranic Wastes to the Waste Isolation Plant – WIPP	60,826	34,000	34,000	59,000	59,000
81.122.000 Energy Assurance Program – Stimulus	0	373,807	2,058,261	0	0
81.127.001 ENERGY STAR Appliance Rebate Program – Stimulus	0	11,500,000	11,841,000	0	0
81.128.000 Energy Efficiency and Conservation Block Grant Program – Stimulus	0	1,196,631	44,441,469	0	0
TOTAL, ALL STRATEGIES	\$1,462,098	\$18,581,612	\$274,780,631	\$1,288,784	\$1,288,784
TOTAL, ADDITIONAL FEDERAL FUNDS FOR EMPLOYEE BENEFITS	0	0	0	0	0
TOTAL, FEDERAL FUNDS	\$1,462,098	\$18,581,612	\$274,780,631	\$1,288,784	\$1,288,784
TOTAL, ADDITIONAL GENERAL REVENUE FOR EMPLOYEE BENEFITS	\$0	\$0	\$0	\$0	\$0

ASSUMPTIONS AND METHODOLOGY

The State Energy Conservation Office (SECO) applied for and received federal Department of Energy (DOE) funding for the State Energy Program (SEP). This program is located under CFDA number 81.041.000. The purpose of this program is to promote energy conservation and efficiency and reduce the rate of growth of energy demand by developing and implementing comprehensive state energy conservation plans supported by federal financial and technical assistance. SECO also received over \$290 million in formula grant funding from DOE through four separate programs as a result of the American Recovery and Reinvestment Act (ARRA). These programs are designed to conserve and protect energy supplies through energy efficiency, renewable energy, transportation efficiency and emergency planning projects.

POTENTIAL LOSS OF FEDERAL FUNDS

The State Energy Program Grant (SEP) from the DOE requires a 20 percent match by the recipient. Failure by the State Energy Conservation Office to provide this match would result in a loss of federal funds. Continued and future federal funding for this program (CFDA number 81.041.000) is dependent upon the DOE federal budget for future years. Continued and future federal funding for the Pantex Program (CFDA numbers 81.092.000 and 81.106.000) is also dependent upon the DOE federal budget for future years. Additional ARRA funding is not expected for the 2012-13 biennium.

FEDERAL FUNDS TRACKING SCHEDULE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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FEDERAL FY	AWARD AMOUNT	EXPENDED SFY 2009	BUDGETED SFY 2010	ESTIMATED SFY 2011	TOTAL	DIFFERENCE FROM AWARD
81.041.001 State Energy Program - Stimulus						
2009	\$218,782,000	\$119,814	\$3,863,651	\$214,798,535	\$218,782,000	\$0
TOTAL		\$119,814	\$3,863,651	\$214,798,535	\$218,782,000	\$0
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EMPLOYEE BENEFITS PAYMENTS (*)		\$11,730	\$65,077	\$67,772	\$144,579	
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(*) Employee Benefits paid with federal funds are a subset of the total amounts above.

FEDERAL FUNDS TRACKING SCHEDULE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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FEDERAL FY	AWARD AMOUNT	EXPENDED SFY 2009	BUDGETED SFY 2010	ESTIMATED SFY 2011	TOTAL	DIFFERENCE FROM AWARD
81.122.000 Energy Assurance Program - Stimulus						
2009	\$2,432,068	\$0	\$373,807	\$2,058,261	\$2,432,068	\$0
TOTAL		\$0	\$373,807	\$2,058,261	\$2,432,068	\$0
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EMPLOYEE BENEFITS PAYMENTS (*)		\$0	\$0	\$0	\$0	
=====						

(*) Employee Benefits paid with federal funds are a subset of the total amounts above.

FEDERAL FUNDS TRACKING SCHEDULE – FISCAL PROGRAMS

Agency Code: 902 Agency Name: Comptroller of Public Accounts

FEDERAL FY	AWARD AMOUNT	EXPENDED SFY 2009	BUDGETED SFY 2010	ESTIMATED SFY 2011	TOTAL	DIFFERENCE FROM AWARD
81.127.001 ENERGY STAR Appliance Rebate Program - Stimulus						
2009	\$23,341,000	\$0	\$11,500,000	\$11,841,000	\$23,341,000	\$0
TOTAL		\$0	\$11,500,000	\$11,841,000	\$23,341,000	\$0
EMPLOYEE BENEFITS PAYMENTS (*)		\$0	\$0	\$0	\$0	

(*) Employee Benefits paid with federal funds are a subset of the total amounts above.

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FEDERAL FUNDS TRACKING SCHEDULE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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FEDERAL FY	AWARD AMOUNT	EXPENDED SFY 2009	BUDGETED SFY 2010	ESTIMATED SFY 2011	TOTAL	DIFFERENCE FROM AWARD
81.128.000 Energy Efficiency and Conservation Block Grant - Stimulus						
2009	\$45,638,100	\$0	\$1,196,631	\$44,441,469	\$45,638,100	\$0
TOTAL		\$0	\$1,196,631	\$44,441,469	\$45,638,100	\$0
EMPLOYEE BENEFITS PAYMENTS (*)		\$0	\$50,062	\$53,585	\$103,647	

(*) Employee Benefits paid with federal funds are a subset of the total amounts above.

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TEN PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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Reduction Target: **\$76,445,360**

Item Priority and Name	Revenue Loss			Reduction Amount		
	2012	2013	Biennial Total	2012	2013	Biennial Total

1 1-1-4 Mixed Beverage

Category: Programs and Services – Grants, Loan or Pass-through Reductions

Collectively, the Unclaimed Property and Mixed Beverage Tax programs make up the bulk of the funds appropriated to the Comptroller's Fiscal Programs. From a dollars appropriated standpoint, other strategies are not material by comparison. For this reason, the entire 10 percent reduction in General Revenue funds is being taken from the two largest programs. The monies appropriated in the Mixed Beverage strategy are used to reimburse counties. Expenditures in this strategy are driven by receipts collected within the counties or incorporated municipalities. The reduction amount is needed to pay an estimated \$33.2 million in county mixed beverage reimbursements.

METHOD OF FINANCING:

0001 General Revenue Fund	\$0	\$0	\$0	\$16,600,102	\$16,600,103	\$33,200,205
TOTAL, METHOD OF FINANCING	\$0	\$0	\$0	\$16,600,102	\$16,600,103	\$33,200,205
ITEM TOTAL	\$0	\$0	\$0	\$16,600,102	\$16,600,103	\$33,200,205
FTE REDUCTIONS (From FY 2012 and FY 2013 Base Request)				0.0	0.0	0.0

2 1-1-8 Unclaimed Property

Category: Programs and Services – Grants, Loan or Pass-through Reductions

Collectively, the Unclaimed Property and Mixed Beverage Tax programs make up the bulk of the funds appropriated to the Comptroller's Fiscal Programs. From a dollars appropriated standpoint, other strategies are not material by comparison. For this reason, the entire 10 percent reduction in General Revenue funds is being taken from the two largest programs. The monies appropriated in the Unclaimed Property strategy are used to pay claims against the state of Texas. The majority of the recipients of these funds are local governments or citizens and expenditures are driven by claims requests. The reduction amount is needed to pay an estimated \$43.2 million in unclaimed property claims in the 2012-13 biennium.

METHOD OF FINANCING:

0001 General Revenue Fund	\$0	\$0	\$0	\$21,622,578	\$21,622,577	\$43,245,155
TOTAL, METHOD OF FINANCING	\$0	\$0	\$0	\$21,622,578	\$21,622,577	\$43,245,155
ITEM TOTAL	\$0	\$0	\$0	\$21,622,578	\$21,622,577	\$43,245,155
FTE REDUCTIONS (From FY 2012 and FY 2013 Base Request)				0.0	0.0	0.0

TEN PERCENT BIENNIAL BASE REDUCTION OPTIONS SCHEDULE – FISCAL PROGRAMS

Agency Code: 902	Agency Name: Comptroller of Public Accounts
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Reduction Target: **\$76,445,360**

Item Priority and Name	Revenue Loss			Reduction Amount		
	2012	2013	Biennial Total	2012	2013	Biennial Total
AGENCY TOTALS:						
General Revenue Funds	\$0	\$0	\$0	\$38,222,680	\$38,222,680	\$76,445,360
General Revenue Funds – Dedicated	\$0	\$0	\$0	0	\$0	\$0
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AGENCY GRAND TOTAL	\$0	\$0	\$0	\$38,222,680	\$38,222,680	\$76,445,360
=====	=====	=====	=====	=====	=====	=====
AGENCY FTE REDUCTIONS (From FY 2012 and FY 2013 Base Request)				0.0	0.0	0.0

Texas Comptroller of Public Accounts
Budget and Internal Accounting
111 E. 17th Street
Austin, Texas 78774

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